Whose book is this (and does it matter)?

How shared print programs are redefining our understanding of local collections

ACRL New England Conference
May 12, 2017
Burlington, Vermont
Our Speakers

• Susan Stearns, Project Director, Eastern Academic Scholars’ Trust (EAST) and Executive Director, Boston Library Consortium

• Lizanne Payne, Shared Print Program Officer, Hathi Trust

• Daniel Cherubin, University Librarian, Wesleyan University

• Matthew Sheehy, Interim University Librarian, Brandeis University
EAST – Our members and our mission
EAST: Major milestones

- Analyze 16+M holdings
- Retain 6+M items
- Sample validation
- Policy development
- Operating practices
- Collaborate
- Expand membership
Retention model

- Scarcely held
- Widely used
- One of all
97% availability               90% average or better condition

Validation Sample Study

https://github.com/samato88/EastValidationTool
## Our challenges

<table>
<thead>
<tr>
<th>Diversity</th>
<th>Public</th>
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<tr>
<td></td>
<td>Private</td>
</tr>
<tr>
<td></td>
<td>Moderately large to quite small</td>
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<td></td>
<td>Research, liberal arts, college and university</td>
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<thead>
<tr>
<th>Collection philosophy</th>
<th>Build strong research collection</th>
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<tr>
<td></td>
<td>Focus on meeting teaching/learning needs</td>
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<td>E-preferred</td>
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## Our challenges

<table>
<thead>
<tr>
<th>Institutional commitment</th>
<th>Across the board</th>
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<tr>
<td></td>
<td>Library centered</td>
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<td>Faculty resistance</td>
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<thead>
<tr>
<th>Alignment with EAST mission</th>
<th>Retention first</th>
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<tr>
<td></td>
<td>Retention and lending</td>
</tr>
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<td></td>
<td>Retention in order to weed</td>
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Where the rubber met the road

EAST Mission

Equitable allocation

No charge lending

EASTERN ACADEMIC SCHOLARS’ TRUST
Thank you.

Susan Stearns
sstearns@blc.org
www.eastlibraries.org
Present: Whose book is this [and does it matter]?

Matthew Sheehy
Interim University Librarian
ACRLNEC May 12, 2017
Discovery
Discovery
Interlibrary Loan
Discovery
Interlibrary Loan Systems

C
O

Brandeis University

Brandeis
Library
Discovery
Interlibrary Loan Systems
Collections
Discovery
Interlibrary Loan
Systems
Collections
Over dependence on Ivies
Discovery
Interlibrary Loan
Systems
Collections
Over dependence on Ivies

Stayin’ Alive
Thank you
Matthew Sheehy
sheehy@brandeis.edu
The HathiTrust Shared Print Program: Implementation 2016 - 2017

Lizanne Payne
Shared Print Program Officer
elpayne@hathitrust.org
Key Goals of the HathiTrust Shared Print Program

• Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection
• Maintain a lendable print collection distributed among HathiTrust member collections
• Reflect support by and provide benefits to all HathiTrust members (not a subset)
• Build on existing shared print and resource-sharing arrangements
• Reduce overall costs of collection management for HathiTrust members
• Catalyze national/continental collective management of collections
HathiTrust Shared Print Program Phases

Phase 1: Quick Launch

*Build momentum*
- Finalize policies & MOU (from planning phase 2014-2015)
- Identify initial retentions

2016-2017

51 libraries in Phase 1!

Phase 2: Infra-structure

*Build infrastructure*
- Adopt tools for collection analysis, collection management, resource-sharing
- Plan next priorities and services (e.g. digitization)

2018--
Major Policy Recommendations

- **Scope:** Monographs from circulating general collections that correspond to items in the HathiTrust Digital Library

- **Retention Period:** 25 years (fixed date 12/31/2042)

- **Environment:** Storage facility preferred, campus shelving acceptable

- **Validation:** Verification of holdings and condition are not required

- **Disclosure:** Libraries are expected to disclose retention commitments in HathiTrust and WorldCat

- **Access:** Libraries agree to lend retained volumes to other HathiTrust members; may use local ILL policies including fees (if any)
Business Model Recommendations

Program management

Disclosure* and Lending

Systems support

Long-term retention of physical volumes

Costs paid by HathiTrust, shared by all members as part of HathiTrust member fee

Costs absorbed by libraries

No grant funding to date

*HathiTrust hoping to provide some funding for OCLC disclosure

Lizanne Payne, HathiTrust Shared Print Program Officer

ACRL New England Conference

May 12, 2017
Phase 1 Retention Commitment Process

HathiTrust provided
Print Holdings Review File

- Used holdings data provided annually by HT members
- Monographs matching HT
- Overlap count within Shared Print libraries
- Overlap count within HT

Libraries determine criteria

Required criteria:
- Monograph
- Matches HathiTrust Digital Library
- Willing to lend

Optional criteria:
- Storage facility location
- Amount of overlap
- Any other library criteria

Libraries export proposed commitments

HathiTrust ingests, updates Shared Print Registry

Library provides
Shared Print Commitments File

Light-weight analysis and library-volunteered commitments
No rules about desired number of copies or preventing duplicates
Current Status of Proposed Retention Commitments

Summary as of May 10

- 46 libraries have identified commitments; expecting a few more
- Proposed commitments total more than 15 MILLION volumes
- Individual commitments range from ~1,000 to over 2 million volumes

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<tr>
<th>Consortium</th>
<th>Total retentions</th>
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<tr>
<td>Big Ten Academic Alliance members</td>
<td>6.3 million</td>
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<tr>
<td>Ivy Plus members</td>
<td>4.4 million</td>
</tr>
<tr>
<td>ReCAP members</td>
<td>2.3 million</td>
</tr>
<tr>
<td>University of California system</td>
<td>1.7 million</td>
</tr>
<tr>
<td>ASERL members</td>
<td>700,000</td>
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<tr>
<td>GWLA members</td>
<td>425,000</td>
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Coordination with Other Shared Print Programs

• EAST: Six libraries (Brandeis, Bryn Mawr, Colby, Lafayette, Swarthmore, Tufts) are committing ~300,000 EAST commitments volumes also to HathiTrust

• ReCAP: Three libraries (Columbia, Princeton, New York Public Library) are committing ~2.3 million volumes in ReCAP Shared Collection also to HathiTrust

• University of California: The Regional Library Facilities (RLFs) (plus two campus libraries) are committing ~1.8 million monograph holdings also to HathiTrust

• Ivy Plus: Worked with these libraries to analyze and coordinate shared print commitments, now postponed to Phase 2, libraries making individual HT commitments
Phase 1 Steps: Final Planning and Launch

June – December 2016
- Finalize policies, business model, & MOU

January – June 2017
- Identify proposed retention commitments

July 2017 --
- Libraries agree to MOU
- Board approves MOU
- HT Shared Print retentions confirmed

The Phase 1 libraries are not expected to make any binding retention commitments until they have had a chance to review and agree to the final policies and MOU (expected spring/summer 2017).
Next Steps for Phase 2

Adopt tools for collection analysis, collection management, discovery and resource-sharing:

- Identify and prioritize HathiTrust digital holdings NOT covered by Phase 1 commitments
- Develop comparison reports
- Develop export for OCLC disclosure (depending on OCLC development)
- Provide search/display in HathiTrust Catalog
- Consider options for resource-sharing

Coordinate shared print commitments with other efforts:

- new digital content in HathiTrust
- HathiTrust Federal Documents program
- other shared print programs
Potential Impact of the HathiTrust Shared Print Program

• Stewardship of print holdings that match HathiTrust may affect other programs’ retention decisions

• Significant holdings concentrated in storage facilities may support a future national network of archival and/or service copies

• Focused collection analysis of HathiTrust member print collections could enhance development of the HathiTrust digital collection
Thank You!
The CTW Consortium: Decades in Resource Sharing

Dan Cherubin
Caleb T. Winchester University Librarian
Wesleyan University
Formed in 1984
Originally for a shared ILS and reciprocal borrowing.

Since then:
Annual Retreats
DDA Initiatives
Committees & SIGs:
• Collection Development
• Tech Services
• Digital Projects
• Access Services
• Government Information

“A benchmark for the rest of our institutions”
Where we are now:

- Smaller staffs, rising expectations and changing priorities
- Budgets still 40% below pre-2009 levels and relatively flat
- Vying expenditures:
  - Unique content vs. format options vs. preferences
  - Monographs vs. journals vs. eResources
Shared Print Approval Plan (via GOBI) – started January 2017

- Focus on University Presses
- 1 copy of new title to share across CTW (duplicate copies upon request)
- Rotate shipments on weekly basis
- Minimum of 3 Years

- Offers broader collection, more unique titles
- Improves workflows with fewer duplicates
- Reduces selection workload
CTW in EAST

• Balance of EAST retention copies vs. CTW needs
• CC and TC moving forward on serials retention

HATHI Trust

• WU 1st CTW partner to join.
• Mellon funded project w/Wesleyan University Press to add OOP publications for Open Access
Thank You!!