The HathiTrust Shared Print Program: Implementation 2016 - 2017

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Key Goals of the HathiTrust Shared Print Program

- Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection
- Maintain a lendable print collection distributed among HathiTrust member collections
- Reflect support by and provide benefits to all HathiTrust members (not a subset)
- Build on existing shared print and resource-sharing arrangements
- Reduce overall costs of collection management for HathiTrust members
- Catalyze national/continental collective management of collections
HathiTrust Shared Print Program Phases

**Phase 1: Quick Launch**
- **Build momentum**
  - Finalize policies & MOU (from planning phase 2014-2015)
  - Identify initial retentions

  2016-2017

**51 libraries in Phase 1!**

**Phase 2: Infrastructure**
- **Build infrastructure**
  - Adopt tools for collection analysis, collection management, resource-sharing
  - Plan next priorities and services (e.g. digitization)

2018--
Major Policy Recommendations

• Scope: Monographs from circulating general collections that correspond to items in the HathiTrust Digital Library

• Retention Period: 25 years (fixed date 12/31/2042)

• Environment: Storage facility preferred, campus shelving acceptable

• Validation: Verification of holdings and condition are not required

• Disclosure: Libraries are expected to disclose retention commitments in HathiTrust and WorldCat

• Access: Libraries agree to lend retained volumes to other HathiTrust members; may use local ILL policies including fees (if any)
Business Model Recommendations

- **Program management**
  - Costs paid by HathiTrust, shared by all members as part of HathiTrust member fee
  - No grant funding to date

- **Disclosure* and Lending**

- **Systems support**

- **Long-term retention of physical volumes**
  - Costs absorbed by libraries
  - *HathiTrust hoping to provide some funding for OCLC disclosure
Phase 1 Retention Commitment Process

Light-weight analysis and library-volunteered commitments
No rules about desired number of copies or preventing duplicates

HathiTrust provided
Print Holdings Review File

- Used holdings data provided annually by HT members
- Monographs matching HT
- Overlap count within Shared Print libraries
- Overlap count within HT

Libraries determine criteria

Libraries export proposed commitments

Library provides
Shared Print Commitments File

HathiTrust ingests, updates Shared Print Registry

Required criteria:
- Monograph
- Matches HathiTrust Digital Library
- Willing to lend

Optional criteria:
- Storage facility location
- Amount of overlap
- Any other library criteria

Lizanne Payne, HathiTrust Shared Print Program Officer
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Current Status of Proposed Retention Commitments

Summary as of May 10

- 46 libraries have identified commitments; expecting a few more
- Proposed commitments total more than 15 MILLION volumes
- Individual commitments range from ~1,000 to over 2 million volumes

<table>
<thead>
<tr>
<th>Consortium</th>
<th>Total retentions</th>
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<tbody>
<tr>
<td>Big Ten Academic Alliance members</td>
<td>6.3 million</td>
</tr>
<tr>
<td>Ivy Plus members</td>
<td>4.4 million</td>
</tr>
<tr>
<td>ReCAP members</td>
<td>2.3 million</td>
</tr>
<tr>
<td>University of California system</td>
<td>1.7 million</td>
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<tr>
<td>ASERL members</td>
<td>700,000</td>
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<tr>
<td>GWLA members</td>
<td>425,000</td>
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Coordination with Other Shared Print Programs

• EAST: Six libraries (Brandeis, Bryn Mawr, Colby, Lafayette, Swarthmore, Tufts) are committing ~300,000 EAST commitments volumes also to HathiTrust

• ReCAP: Three libraries (Columbia, Princeton, New York Public Library) are committing ~2.3 million volumes in ReCAP Shared Collection also to HathiTrust

• University of California: The Regional Library Facilities (RLFs) (plus two campus libraries) are committing ~1.8 million monograph holdings also to HathiTrust

• Ivy Plus: Worked with these libraries to analyze and coordinate shared print commitments, now postponed to Phase 2, libraries making individual HT commitments
The Phase 1 libraries are not expected to make any binding retention commitments until they have had a chance to review and agree to the final policies and MOU (expected spring/summer 2017).
Next Steps for Phase 2

Adopt tools for collection analysis, collection management, discovery and resource-sharing:

- Identify and prioritize HathiTrust digital holdings NOT covered by Phase 1 commitments
- Develop comparison reports
- Develop export for OCLC disclosure (depending on OCLC development)
- Provide search/display in HathiTrust Catalog
- Consider options for resource-sharing

Coordinate shared print commitments with other efforts:

- new digital content in HathiTrust
- HathiTrust Federal Documents program
- other shared print programs
Potential Impact of the HathiTrust Shared Print Program

• Stewardship of print holdings that match HathiTrust may affect other programs’ retention decisions

• Significant holdings concentrated in storage facilities may support a future national network of archival and/or service copies

• Focused collection analysis of HathiTrust member print collections could enhance development of the HathiTrust digital collection
Thank You!