Electrospinning Nanofibers from Chitosan-Hyaluronic Acid Complex Coacervates

juanfeng sun

Follow this and additional works at: https://scholarworks.umass.edu/masters_theses_2

Part of the Chemical Engineering Commons

Recommended Citation
sun, juanfeng, "Electrospinning Nanofibers from Chitosan-Hyaluronic Acid Complex Coacervates" (2019). Masters Theses. 800.
https://scholarworks.umass.edu/masters_theses_2/800

This Open Access Thesis is brought to you for free and open access by the Dissertations and Theses at ScholarWorks@UMass Amherst. It has been accepted for inclusion in Masters Theses by an authorized administrator of ScholarWorks@UMass Amherst. For more information, please contact scholarworks@library.umass.edu.
ELECTROSPINNING NANOFIBERS FROM CHITOSAN-HYALURONIC ACID COMPLEX COACERVATES

A Thesis Presented by

JUANFENG SUN

Submitted to the Graduate School of the University of Massachusetts Amherst in partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE IN CHEMICAL ENGINEERING

May 2019
Department of Chemical Engineering
ELECTROSPINNING NANOFIBERS FROM CHITOSAN-HYALURONIC ACID COMPLEX COACERVATES

A Thesis Presented by

JUANFENG SUN

Approved as to style and content by:

______________________________
Sarah L. Perry, Member

______________________________
Jessica D. Schiffman, Member

______________________________
Wei Fan, Member

______________________________
John Klier, Department Head
Chemical Engineering Department
ABSTRACT

ELECTROSPINNING NANOFIBERS FROM CHITOSAN-HYALURONIC ACID COMPLEX COACERVATES

MAY 2019

JUANFENG SUN

B.S., UNIVERSITY OF NEW HAMPSHIRE

M.S.Ch.E., UNIVERSITY OF MASSACHUSETTS-AMHERST

Directed by: Professors Jessica D. Schiffman & Sarah L. Perry

Electrospun nanofibers have been used for many applications, but a reliance on organic solvents limits their use in biomedical fields. In this study, we successfully electrospun nanofibers from aqueous chitosan-hyaluronic acid complex coacervates. We studied how solvent’ properties affected the average nanofiber diameter by using pure water as a solvent versus ethanol-water solutions. Experimentally, we investigated the effect of electrospinning apparatus parameters, such as how the applied voltage affected fiber formation and morphology. The smallest average nanofiber diameter was determined to be around 115 ± 30 nm when 3 wt% ethanol coacervate samples were electrospun using the applied voltage of 24 kV. Linear viscoelastic measurements were used to study the rheological characterization of complex coacervate with different salt concentrations and
cosolvents (e.g., ethanol weight percent). Chitosan-hyaluronic acid nanofibers hold potential in biomedical applications such as wound dressing, tissue engineering, wound healing scaffolds.
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Chapter</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABSTRACT</td>
<td>iv</td>
</tr>
<tr>
<td>LIST OF TABLES</td>
<td>viii</td>
</tr>
<tr>
<td>LIST OF FIGURES</td>
<td>ix</td>
</tr>
<tr>
<td>LIST OF ABBREVIATION</td>
<td>xi</td>
</tr>
<tr>
<td>CHAPTER 1</td>
<td>1</td>
</tr>
<tr>
<td>1. INTRODUCTION</td>
<td>1</td>
</tr>
<tr>
<td>1.1 Electrospinning Nanofibers</td>
<td>1</td>
</tr>
<tr>
<td>1.1.1 Introduction</td>
<td>1</td>
</tr>
<tr>
<td>1.1.2 Electrospinning Process</td>
<td>1</td>
</tr>
<tr>
<td>1.1.3 Rheological Characterization of Precursor Solutions</td>
<td>2</td>
</tr>
<tr>
<td>1.2 Synthetic Polymers vs. Natural Polymers</td>
<td>3</td>
</tr>
<tr>
<td>1.2.1 Synthetic Polymers</td>
<td>3</td>
</tr>
<tr>
<td>1.2.2 Natural Polymers</td>
<td>4</td>
</tr>
<tr>
<td>1.3 Chitosan and Hyaluronic Acid</td>
<td>4</td>
</tr>
<tr>
<td>1.3.1 Electrospinning Chitosan Nanofibers</td>
<td>5</td>
</tr>
<tr>
<td>1.3.2 Electrospinning Hyaluronic Acid Nanofibers</td>
<td>6</td>
</tr>
<tr>
<td>1.4 Polyelectrolyte Complex</td>
<td>7</td>
</tr>
<tr>
<td>1.4.1 Introduction</td>
<td>7</td>
</tr>
<tr>
<td>1.4.2 Characterizing Coacervate Phase Behavior</td>
<td>7</td>
</tr>
<tr>
<td>2. DEFENSE OBJECTIVES</td>
<td>9</td>
</tr>
<tr>
<td>2.1 Overall Scope</td>
<td>9</td>
</tr>
<tr>
<td>3. MATERIALS AND METHODS</td>
<td>10</td>
</tr>
<tr>
<td>3.1 Chemicals</td>
<td>10</td>
</tr>
<tr>
<td>3.2 Coacervates Preparation</td>
<td>10</td>
</tr>
<tr>
<td>3.3 Turbidity and Optical Microscopy</td>
<td>11</td>
</tr>
</tbody>
</table>
3.4 Rheological Characterization of Complex Coacervates ........................................ 11
3.5 Electrospinning Aqueous Complex Coacervates into PEC Nanofibers .............. 12
3.6 Nanofiber Characterization ............................................................................. 12

4. RESULTS AND DISCUSSION ............................................................................. 13

4.1 CH-HA Coacervate Formation and Characterization ....................................... 13
  4.1.1 Effect of pH Value ...................................................................................... 13
  4.1.2 Effect of Charge Stoichiometry ................................................................. 13
  4.1.3 Effect of Salt Concentration ..................................................................... 14

4.2 Electrospinning CH-HA Nanofibers ................................................................. 15

4.3 Rheological Characterization of Chitosan-Hyaluronic Acid Coacervates ........ 19

5. CONCLUSION ..................................................................................................... 22

6. FUTURE WORK .................................................................................................. 23

APPENDIX: RHEOLOGICAL CHARACTERIZATION OF CHITOSAN-
HYALURONIC ACID COACERVATES ................................................................. 24

REFERENCES ........................................................................................................ 28
## LIST OF TABLES

<table>
<thead>
<tr>
<th>Table</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The characterization of different solvents</td>
<td>19</td>
</tr>
</tbody>
</table>
## LIST OF FIGURES

<table>
<thead>
<tr>
<th>Figure</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Figure 1. The schematic displays an electrospinning apparatus, which is composed of a spinneret, a high voltage supply, and a collector.</td>
<td>2</td>
</tr>
<tr>
<td>Figure 2. (a) Plot of specific viscosity vs concentration of chitosan (148,000 g/mol) in acetic acid/water in a log-log plot.</td>
<td>3</td>
</tr>
<tr>
<td>Figure 3. Molecular structures of chitosan (CH) and hyaluronic acid (HA).</td>
<td>5</td>
</tr>
<tr>
<td>Figure 4. Schematic of the formation of polyelectrolyte complex.</td>
<td>7</td>
</tr>
<tr>
<td>Figure 5. Schematic depiction of a phase diagram (binodal curve) for complex coacervation, as a function of total salt concentration and total polymer concentration.</td>
<td>8</td>
</tr>
<tr>
<td>Figure 6. pH titration profiles for HA (234.4 kDa) and CH (260 kDa, 83% DD) in 0.5 M NaCl.</td>
<td>13</td>
</tr>
<tr>
<td>Figure 7. (a) A plot of turbidity as a function of the mole fraction of the chitosan for coacervates of chitosan and hyaluronic acid at different salt concentrations.</td>
<td>15</td>
</tr>
<tr>
<td>Figure 8. (a) SEM micrographs and (b) plots of the corresponding average fiber diameter as a function of voltage for 0 wt% ethanol.</td>
<td>16</td>
</tr>
<tr>
<td>Figure 9. (a) SEM micrographs and (b) plots of the corresponding average fiber diameter for different cosolvent concentrations.</td>
<td>17</td>
</tr>
<tr>
<td>Figure 10. (a) SEM micrographs (b) plots of the corresponding average fiber diameter as a function of voltage for 3 wt% ethanol.</td>
<td>18</td>
</tr>
<tr>
<td>Figure 11. Frequency sweep data for ethanol samples prepared at 300 mM (black), 400 mM (red), 500 mM (green), 600 mM (blue) and 650/700 mM (purple) NaCl.</td>
<td>20</td>
</tr>
<tr>
<td>Figure 12. Plots of (a) zero-shear viscosity of CH-HA coacervates as a function of salt and ethanol content, and (b) the corresponding relaxation spectra for coacervates prepared at 600 mM NaCl over a range of ethanol concentrations.</td>
<td>21</td>
</tr>
<tr>
<td>Figure 13. Plots of relaxation spectra for CH-HA coacervates prepared at 300 mM, 400 mM 500 mM 600 mM 700 mM NaCl over a range of ethanol concentrations.</td>
<td>24</td>
</tr>
<tr>
<td>Figure 14. Plots of the time-salt superposition of frequency sweep data for CH-HA complex coacervates (300 mM to 700 mM NaCl), shifted with respect to the 300 mM “as prepared” data.</td>
<td>25</td>
</tr>
<tr>
<td>Figure 15. Frequency sweep data for CH-HA methanol cosolvent.</td>
<td>26</td>
</tr>
</tbody>
</table>
Figure 16. Plots of (a) zero-shear viscosity of CH-HA coacervates as a function of salt and methanol content, and (b) (c) (d) the corresponding relaxation spectra for coacervates prepared at 500 Mm 600 mM 700 mM NaCl over a range of methanol concentrations....27
LIST OF ABBREVIATION

CH: chitosan
DI water: deionized water
HA: hyaluronic acid
HCl: hydrochloric acid
NaCl: sodium chloride
NaOH: sodium hydroxide
PEC: polyelectrolyte complex
SEM: scanning electron microscopy
wt%: weight percent
CHAPTER 1

INTRODUCTION

1.1 Electrospinning Nanofibers

1.1.1 Introduction

Electrospinning is considered one of the most efficient techniques for producing continuous polymer fibers with diameters ranging from the nano to the micrometer scale. Their advantages include being low cost, with a high production rate and they can be scaled up for manufacturing.\(^1\)\(^2\) Electrospun fibers have outstanding intrinsic structure-properties, such as: high porosity and specific surface area, interconnectivity, and a large surface-to-volume ratio, which make them excellent materials for a wide range of applications, especially for medical applications,\(^2\)\(^-\)\(^4\) such as tissue templates,\(^2\)\(^5\) artificial organs,\(^5\) nanofibrous scaffolds,\(^2\)\(^5\)\(^6\) drug delivery,\(^2\)\(^4\)\(^6\)\(^-\)\(^8\) wound dressings,\(^4\)\(^5\)\(^7\)\(^9\) etc. Furthermore, electrospinning can be used to fabricate nonwoven fibers from a wide range of materials, such as synthetic and natural polymers.\(^4\)

1.1.2 Electrospinning Process

A conventional electrospinning apparatus includes a spinneret, a high voltage power supply, and a collector, \textbf{Figure 1}. During electrospinning, a precursor solution is loaded into the spinneret and forced through a narrow orifice to form dependent droplets at the needle tip. As the voltage increases, the repulsive force pulls out the solution into a Taylor cone.\(^1\)\(^0\) Once the voltage reaches a critical value, the repulsive force overcomes the surface tension of the solution and a liquid jet is ejected from the Taylor cone to the collector. During this process, the fiber jet experiences whipping and bending to allow the
solvent to evaporate before fibers are accumulated on the collector,\textsuperscript{3} and the whole process usually takes place in milliseconds.\textsuperscript{11,12}

During the electrospinning process, there are many parameters that impact fiber formation and their morphology, such as precursor solution (concentration, conductivity, surface tension, viscosity, and appropriate polymer and solvents); processing variables (distance from the nozzle to the collector, initial jet radius, applied voltage, and flow rate of the solution); and environmental conditions (temperature and humidity).\textsuperscript{3,13} These different processing parameters can be used to control the fabrication of fibers. For example: increasing the voltage or decreasing the solution flow rate will tend to decrease the diameter of the resulting fibers.\textsuperscript{14}

\textbf{Figure 1.} The schematic displays an electrospinning apparatus, which is composed of a spinneret, a high voltage supply, and a collector. Usually, an advancement pump is used to regulate the flow rate of the polymeric solution.\textsuperscript{25}

\textbf{1.1.3 Rheological Characterization of Precursor Solutions}

The properties of the precursor solution are the parameters that tend to have the most significant effect on the formation of fibers. Empirical observations show that a minimum polymer concentration is required for fiber formation to occur.\textsuperscript{15-19} Below this critical value, electrospraying of droplets will occur instead of electrospinning.\textsuperscript{17,18} It has been reported that the polymer concentration must be at least 2 to 2.5 times the entanglement concentration ($c_e$) to form continuous defect-free fibers. However, polymers like polyamides can form hydrogen-bond or charge repulsion intra/intermolecularly in
solvents, so the polymer-polymer interactions become more important to be considered.\textsuperscript{17,18} For example, a medium molecular weight (148,000 g/mol) chitosan solution would have a viscosity over 170,000 P because of the rigid backbone, which would be impossible to spin (Figure 2).\textsuperscript{17} Therefore, it is important to understand the rheological behavior of the polymer solution to determine its electrospinnability and investigate alternative methods to spin polyelectrolyte containing solutions.

\textbf{Figure 2.} (a) Plot of specific viscosity vs concentration of chitosan (148,000 g/mol) in acetic acid/water in a log-log plot. The entanglement concentration (C\textsubscript{e}) was determined to be 2.9 wt\% from the figure. (b) Scanning electron microscope (SEM) micrographs of “electrosprayed” beads from an acetic acid/water solution with 3 wt\% chitosan, and (c) electrospun fiber from solution made of 8:9 chitosan:poly(ethylene oxide) blend in acetic acid/water solution with 3.4 wt\% total polymer.\textsuperscript{17}

\subsection*{1.2 Synthetic Polymers vs. Natural Polymers}

\subsubsection*{1.2.1 Synthetic Polymers}

For successful application, the nanofibers should have suitable physical and biological properties that match the desired requirements. Synthetic polymers have more advantages over natural polymers in physical properties; the strength and durability of them and they can be designed to give a wide range predictable properties.\textsuperscript{4} There are synthetic polymers that have been electrospun into nanofibers for biomedical applications, such as poly(glycolic acid) (PGA),\textsuperscript{20} polyurethane,\textsuperscript{21} poly(vinyl alcohol),\textsuperscript{22,23} poly(ethylene oxide)
(PEO), poly(4-styrene sulfonate sodium salt) (PSS) and poly(diallyldimethyl ammonium chloride) (PDADMAC), etc.

### 1.2.2 Natural Polymers

Electrospinning of biopolymers (e.g., alginate, cellulose, gelatin, etc.) has drawn great interest since biopolymers tend to have lower toxicity and immunogenicity, are derived from renewable resources (crustacean shells, wood, etc.), and have a higher biocompatibility compared to many synthetic polymers. It has been reported that a large number of biopolymers such as proteins and polysaccharides can be fabricated into nanofibers by electrospinning. Specially, the polysaccharides chitosan and hyaluronic acid have been electrospun for localized drug delivery.

### 1.3 Chitosan and Hyaluronic Acid

Both chitosan and hyaluronic acid have drawn attention from the field of biomaterials due to their desirable properties such as biocompatibility, and biodegradability. For example: Chitosan based nanofibers can be used in tissue engineering, filtration, enzyme immobilization, drug delivery, and wound dressing; the applications of the hyaluronic acid based nanofibers are in areas such as: drug delivery, dermatology, tissue engineering, and medical implants.

Electrospinning polymer blends might be able to combine different polymers for desired materials properties, thus, electrospun chitosan-hyaluronic acid fiber mats would have potential application in wounding dressing due to their specific properties that promote would healing, such as chitosan has antibacterial and hemostatic activity and hyaluronic acid can promote different type of wounds: heavily exuding wounds, dry wounds.
Chitosan is produced by the deacetylation of crab/insect shells and is the second most abundant polysaccharide after cellulose. Chitosan is a cationic biopolymer composed of 2-acetamide-2-deoxy-β-D-glucopyranose and 2-amino-2-deoxy-β-D-glucopyranose (Figure 2). The pKa of chitosan is around 6.3 due to the amino group of glucosamine residues, but varies depending on the level of deacetylation that makes chitosan tend to have blocky regions of charge/not charge; chitosan will be positively charged in acidic solutions, which allows for electrostatic interaction with negatively charged molecules.

On the other hand, hyaluronic acid is negatively charged when the pH value is above its pKa of 2.9. Hyaluronic acid can be sourced from a broad range of tissue, animals and its structure. It is composed of an alternating disaccharide unit of α-1,4-D-glucuroronic acid and β-1,3-N-acetyl-D-glucosamine (Figure 3). However, electrospinning these two polysaccharides has historically been challenging due to the long-range electrostatic interactions and high viscous solutions at low polymer concentrations.

![Figure 3. Molecular structures of chitosan (CH) and hyaluronic acid (HA). The degree of acetylation (DA) of chitosan is proportional to the number of acetylglucosamine units in the polymer.](image)

### 1.3.1 Electrospinning Chitosan Nanofibers

Chitosan is soluble in most aqueous acids because protonation of the amino group facilitates dissolution in water. However, as described earlier, repulsive charge-charge interactions along the polymer backbone and between polymers can make the formation of
continuous fibers during electrospinning challenging.\textsuperscript{57-59} Previous reports were able to successfully electrospin chitosan fibers by using harsh acidic solvents and/or polymer mixtures. For example: 1) Dissolving chitosan in trifluoroacetic acid (TFA) allows for the substitution of TFA as the counterion for the charged amino group of chitosan.\textsuperscript{60-62} 2) Mixing chitosan with other linear polymers (\textit{e.g.} protein,\textsuperscript{34,63} or syntenic polymers\textsuperscript{64,65}) to reduce the free amino groups by forming intermolecular interactions with the substances, instead between chitosan molecules. 3) Derivatives of chitosan (\textit{e.g.} hexanoyl chitosan,\textsuperscript{66} N-carboxyethyl chitosan (N-CECS),\textsuperscript{67,68} etc.) allow for improved solubility and thus spinnability. Furthermore, most of these fibers are not chemically stable without further crosslinking.

\textbf{1.3.2 Electrospinning Hyaluronic Acid Nanofibers}

Another polysaccharide, hyaluronic acid forms high viscous solutions even at low concentration due to its long-range electrostatic interactions and the presence of counter ions, which hinders the electrospinning process.\textsuperscript{35} While solubility is not as significant an issue for hyaluronic acid as compared to chitosan, the issue of reaching the critical chain entanglement concentration to successfully electrospin remains. There are literature reports of electrospinning hyaluronic acid blended with uncharged polymers, including collagen and gelatin.\textsuperscript{69-71} It has been successfully demonstrated by attaching an air blowing feature to the conventional electrospinning system to have both electrical force and an air-blowing shear force to fabricate hyaluronic acid nanofibers.\textsuperscript{54} Furthermore, electrospinning pure hyaluronic acid has been achieved in dimethylformamide (DMF),\textsuperscript{72} while blended hyaluronic acid with formic acid and DMF.\textsuperscript{69}
1.4 Polyelectrolyte Complex

1.4.1 Introduction

Complex coacervation is a liquid-liquid phase separation resulting from the interaction of oppositely charged polyelectrolytes in water, and results in a dense, polymer-rich liquid coacervate phase in equilibrium with a polymer-poor supernatant.\textsuperscript{73-76} Coacervation may be driven by enthalpic contributions from inter-macroionic electrostatic interactions, but is dominated by entropic contributions from the release of bound counterions and the reconstructing of water upon complex formation.\textsuperscript{74-78} Generally, the self-assembly and phase separation behaviour of coacervates can be influenced by various parameters, such as ionic strength, pH, stoichiometry, polymer chain length and concentration.\textsuperscript{73-78} Complex coacervation has a long history of use in fields such as: food and personal care products,\textsuperscript{79-82} encapsulation,\textsuperscript{83-85} drug delivery,\textsuperscript{83,85,86} adhesive,\textsuperscript{87,88} etc.

![Figure 4. Schematic of the formation of polyelectrolyte complex. Aqueous solutions of polycation (blue) are mixed with solutions of polyanions (red), resulting in liquid-liquid phase separation.](image)

1.4.2 Characterizing Coacervate Phase Behavior

It is important to understand the phase behavior of coacervates for coacervate-based materials. By using turbidimetry and light scattering measurements to provide qualitative information for both polymer-rich coacervate
and the polymer-poor supernatant phase to map out the of coacervate phase behaviour. While there are many control parameters, our effects have focused on understanding the effect of salt on coacervate phase behaviour. Figure 5 shows a general schematic of a coacervate phase diagram: at low salt concentration, polyelectrolyte complex (PECs) are solid; with increasing salt concentration, the screening affects strength of the electrostatic interaction between polyelectrolytes, which leads to a liquid-liquid phase separation following the tie line; above the critical point, there will just be single-phase due to large number of salts present in system the disfavors the release of counterions. Furthermore, binodal curve shows increase the total polymer concentration does not end up increase the polymer concentration in the coacervate phase due to the effects of salt concentration in the system as well.

![Figure 5](image)

**Figure 5.** Schematic depiction of a phase diagram (binodal curve) for complex coacervation, as a function of total salt concentration and total polymer concentration. Solid circles indicate the as-prepared samples, which phase separate along the dashed tie-lines to form a polymer-rich coacervate phase and a polymer-poor supernatant phase (open circles).
CHAPTER 2

DEFENSE OBJECTIVES

2.1 Overall Scope

While chitosan and hyaluronic acid have been successfully electrospun, the toxic solvents used for electrospinning limit their use in biomedical applications. The “green” electrospinning of fiber mats from aqueous complex coacervates has previously been reported for synthetic polyelectrolytes.\(^{25}\) Here, for the first time we have demonstrated the electrospinning of nanofibers from CH-HA coacervate. The present study involves two ways to prepare the complex coacervates. In the first way, the pure water solvent system was examined. In the second way, the blended solvent (water-ethanol) was examined using 3wt%, 5wt%, and 8 wt% ethanol. Ethanol was chosen as a cosolvent because (1) ethanol is biocompatible and (2) ethanol improves solvent evaporation during the electrospinning process. Scanning electron microscopy was used to characterize the resulting fibers, while rheology was used to elucidate the properties of CH-HA PECs. Electrospun CH-HA fiber mat could be used in wound dressing. These “green” biopolymer nanofibers hold potential in biomedical application, such as wound dressings.
CHAPTER 3

MATERIALS AND METHODS

3.1 Chemicals

Sodium hyaluronate (Lifecore Biomedical, Chaska, MN, USA) with average molecular weights of 199 kDa was filtered using a 0.22 µm pore size filter (Millipore Express) prior to use. Chitosan (Sigma-Aldrich with 75-85% deacetylated and 50-190 kDa), was filtered using 0.45 µm pore size filter (Millipore Express) prior to use. ACS-grade sodium chloride (NaCl), hydrochloric acid (HCl), and sodium hydroxide (NaOH) were used as received from Fisher Scientific. Deionized (DI) water was obtained from a Barnstead Nanopure Infinity water purification system (Thermo Fisher Scientific, Waltham, MA). Methanol and ethanol (ACS grade), as well as aqueous buffers (pH=4.0, 7.0, and 10.0) were utilized as received from Fisher Scientific.

3.2 Coacervates Preparation

Stocks solutions of chitosan and hyaluronic acid were prepared gravimetrically at 60 mM on a monomer basis. An aqueous solution of 5 M NaCl was prepared gravimetrically, and all solutions were adjusted to pH 4.5 by adding concentrated HCl and NaOH solutions. Complex coacervates were prepared by first combining a solution of the NaCl with water in a Falcon round bottom tube (14 mL, Fisher Scientific) and methanol or ethanol if added for alcohol-water cosolvent samples. Chitosan and hyaluronic acid were then added sequentially in a 1:1 molar ratio at a total monomer concentration of 40 mM. The mixture was vortexed for 10 s immediately after adding each solution to ensure
complete mixing. Samples were then centrifuged (Sorvall Legend X1r Centrifuge, Thermo Fisher Scientific) at 2000 rpm for 15 min to separate the dense coacervate phase.

3.3 Turbidity and Optical Microscopy

Samples for turbidity and microscopy were prepared using the same method as described in section 3.2 but at a smaller 120 µL scale. Immediately after samples preparation, three 35 µL replicate aliquots of each sample were pipetted into a 384-well plate (Falcon). Turbidity measurements were performed using a microplate reader (BioTek Synergy H1) at a wavelength of 562 nm. Samples were then inspected visually using optical microscopy (EVOS XL Core) to confirm the presence or absence of phase separation, as well as the liquid or solid nature of complexes that might have formed.

3.4 Rheological Characterization of Complex Coacervates

Experiments were done by using a Malvern Kinexus Pro stress-controlled instrument. First, small amplitude oscillatory shear measurements were ran to determine what strain rate to use within the linear viscoelastic region, then frequency sweeps were conducted at chosen strain rates over the range of frequencies from 100 to 1. A 20 mm diameter stainless steel parallel plate fixture with a solvent trap was used for rheological experiments for samples prepared at 500 mM and 600 mM NaCl; a 50 mm 2° core-and-plate fixture was used for samples prepared at 700 mM NaCl. For each sample, the whole experiment was ran at least twice and the frequency sweep process was running at least four time to make sure solvent evaporation was neglectable. Furthermore, the trend of zero-shear viscosity changes with salt concentrations were generated, and the relaxation spectrum and modulus were used to study the longest relaxation time. All data analysis
was conducted by using *IRIS* software (Interactive Rheology Information Systems Development LLC).  

### 3.5 Electrospinning Aqueous Complex Coacervates into PEC Nanofibers

Coacervates were loaded into a 5 mL syringe (Henke Sass Wolf, Norm-Ject Luer Lock) capped with a PrecisionGlide 22-gauge needle (Becton, Dickinson & Co., Franklin Lakes, NJ). The syringe was secured to a PHD Ultra syringe pump (Harvard Apparatus, Plymouth Meeting, PA). Alligator clips were used to connect the positive anode of a high-voltage supply (Gamma High Voltage Research Inc., Ormond Beach, FL) to the needle, and the negative anode to a copper plate covered with aluminum foil. For all experiments, the coacervate was fed at a constant rate of 1.0 mL/hr to test the effects of the electrospinning apparatus parameters. The ethanol-water cosolvent was explored at 0 wt%, 3 wt% or 5 wt% while the applied voltage was changed (18 kV, 20 kV, 22 kV, and 24 kV), and needle-to-collector separation distance was held constant at 12 cm. The electrospinning apparatus was housed in an environmental chamber (CleaTech, Santa Ana, CA) at constant temperature of 24±1 ℃ and a relative humidity of 23-25% using a desiccant unit (Drierite, Xenia, OH).

### 3.6 Nanofiber Characterization

Fiber morphology was examined using a scanning electron microscope (SEM, FEI-Magellan 400). All SEM samples were sputter coated for 180 s with gold (Cressington high-resolution ion beam coater model 108). Fiber diameter was determined using *Image J* 1.80 software (National Institutes of Health, Bethesda, MD) by measuring 30 fibers from high-resolution SEM micrographs.
CHAPTER 4

RESULTS AND DISCUSSION

4.1 CH-HA Coacervate Formation and Characterization

Turbidity was used as a qualitative measure to determine the effect that ionic strength, charge stichometry and, pH value had on coacervate formation.\textsuperscript{78,73,92-95}

4.1.1 Effect of pH Value

Kayitmazer’s paper shows the pK\textsubscript{a} in 500 mM NaCl was 2.9 for hyaluronic acid and 6.4 for chitosan from titration experiment (Figure 6).\textsuperscript{78} We optimized the results that chitosan and hyaluronic acid are both fully soluble between pH values of 2.0 and 6.7, and fully charged around pH=4.5.\textsuperscript{78} Therefore, we used pH=4.5 for all the turbidity measurements.

\begin{figure}[h]
\centering
\includegraphics[width=0.8\textwidth]{figure6.png}
\caption{pH titration profiles for HA (234.4 kDa) and CH (260 kDa, 83\% DD) in 500 mM NaCl.\textsuperscript{78}}
\end{figure}

4.1.2 Effect of Charge Stoichiometry

Maintained a constant 0.001 M total polymer concentration and varied the relative amounts of one polymer to the other with salt present, we observed a max in coacervate formation near 50/50\% chitosan/hyaluronic acid (Figure 7a). This
observation of maximum coacervate formation at conditions of charge neutrality was expected.\textsuperscript{73,78} Coacervation was not observed at 200 mM NaCl, only precipitates formed, with additional 400 mM NaCl, coacervation was observed in the range from 0.4 to 0.6 (red dashed lines range), whereas precipitates were observed at lower and higher ratios. This broadening of the compositional range for coacervation is thought to be a result of charge screening effects by the additional salt.\textsuperscript{75}

4.1.3 Effect of Salt Concentration

As evidenced by our stoichiometry experiments, the concentration of salt present can heavily impact coacervation. Figure 7b shows the range of salt concentrations over which liquid coacervation was observed. At salt concentrations below this range, only solid precipitates were observed; as more salt is present, the larger screening decreases the strength of the electrostatic interaction between polyelectrolytes and enables molecular rearrangement into a liquid coacervate phase; at even higher salt concentrations, the high numbers of ions in solution disfavor the entropic release of counterions, suppressing coacervation and resulting in a single-phase solution.\textsuperscript{73}

Since the properties of the solvent are known to have a significant effect on the fiber diameters during electrospinning process, we also studied coacervation in ethanol-water mixtures. With increasing ethanol concentration, we observed a shift in the range of salt concentrations over which coacervation occurred. The minimum salt concentration needed to achieve liquid-liquid phase separation increased from 0.28 M to 0.45 M with the addition of 8wt\% ethanol. A similar increase in the salt resistance of the system, above which no phase separation was observed, although
the magnitude of the change was smaller. Thus, we observed a decrease in the overall range of salt concentrations over which coacervation was observed with increasing ethanol. We hypothesize that the addition of ethanol resulted in a decrease in the dielectric constant of the solvent that strengthened the electrostatic interactions driving polyelectrolyte complexation or chitosan and hyaluronic acid can form additional hydrogen bonding.

**Figure 7.** (a) A plot of turbidity as a function of the mole fraction of the chitosan for coacervates of chitosan and hyaluronic acid at different salt concentrations. The red dashes indicated the mole fraction (+) range that form coacervates. (b) A plot of the range of salt concentration over which liquid coacervation was observed at 50 mol% chitosan/hyaluronic acid ratio as a function of ethanol concentration, above this range no complexes were observed and below this range precipitation was formed. All samples were prepared at 1 mM total monomer concentration at pH 4.5.

4.2 Electrospinning CH-HA Nanofibers

It has reported that electrospinning can be used to form solid polyelectrolyte complex (PEC) fibers from the synthetic polymer system of PSS and PDADMAC in potassium bromide (KBr) solution. By using the same traditional single nozzle
spinneret setup, we were able to successfully electrospin continuous, cylindrical CH-HA fibers. For 0 wt% ethanol samples, the lowest voltage to form continuous fibers was 22 kV, resulting in large fibers (average diameter 667 ± 141 nm), which decreased in size with increasing voltage (162 ± 37 nm at 24 kV, Figure 8). This trend is consistent with literature reports that increasing applied voltage results in thinner fibers at a fixed distance from spinneret to collector.13,25,96

![Image](image_url)

**Figure 8.** (a) SEM micrographs and (b) plots of the corresponding average fiber diameter as a function of voltage for 0 wt% ethanol. All fibers were electrospun from 40 mM chitosan-hyaluronic acid, on a monomer basic, in 600 mM NaCl. Electrospinning conditions used were 1 mL/hr, 20 cm and 24% humidity.

We further investigated the effects of increasing the concentration of ethanol on the average fiber diameter. During the electrospinning process, the electric field deforms droplets into a Taylor cone,10 and a fiber jet is formed and the whips and bends as it moves towards the collector. Ethanol has a low boiling point (78.37°C as compared to 100°C for water, Table 1), which is beneficial for faster solvent evaporation during fiber whipping.
and bending. This increased rate of evaporation would be expected to facilitate the formation of smaller diameter fibers. While we observed this trend going from 0 wt% to 3 wt% ethanol, the average fiber diameter slightly increased with 5 wt% ethanol (Figure 9b), when increasing the ethanol concentration to 8 wt%, there was no fiber formation. If adding ethanol only made coacervates stiffer (Figure 12), we would expect larger fiber diameters with higher ethanol concentration, but the decreased fiber diameter at 3 wt% ethanol is more likely due to the faster solvent evaporation.

Figure 9. (a) SEM micrographs and (b) plots of the corresponding average fiber diameter for different cosolvent concentrations. All fibers were electrospun from 40 mM chitosan/hyaluronic acid, on a monomer basis, in 600 mM NaCl. Electrospinning conditions used were 1 mL/hr, 22kV, 20 cm and 24% humidity.
Figure 10. (a) SEM micrographs (b) plots of the corresponding average fiber diameter as a function of voltage for 3 wt% ethanol. All fibers were electrospun from 40 mM chitosan-hyaluronic acid, on a monomer basic, in 600 mM NaCl, respectively. Electrospinning conditions used were 1 mL/hr, 20 cm and 24% humidity.

Then we investigated how voltage affected the fiber diameter for 3 wt% ethanol co-solvent samples (Figure 10). Continuous, cylindrical fibers were
successfully electrospun from a larger range of operating condition compared to 0 wt% ethanol samples. PEC fibers started to form at an applied voltage of 18 kV and had an average fiber diameter of 244 ± 76 nm, while the fibers spun at the higher voltage of 24 kV had the smallest average fiber diameter (115 ± 30 nm). Moreover, the trend from our investigation is also consistent with literature reports.\textsuperscript{13,25,96}

4.3 Rheological Characterization of Chitosan-Hyaluronic Acid Coacervates

The frequency sweep data showed a decrease in the overall magnitude of the storage (\(G'\)) and loss (\(G''\)) moduli with increasing salt concentration (\textbf{Figure 11a}), which indicates salt-induced softening. This trend was observed for all samples, regardless of ethanol composition. For samples at the lowest salt concentration, the linear viscoelastic respond was dominated by the storage modulus over the entire frequency range. However, the loss modulus starts dominating at higher salt concentrations, which demonstrates the more liquid-like materials. Relatedly, we observed a shift in the crossover point to higher frequencies, which is suggestive of salt-induced decrease in the friction between polymer molecules, resulting in a shorter time for disentanglement and relaxation.\textsuperscript{97,98}

\textbf{Table 1.} The characterization of different solvents.

<table>
<thead>
<tr>
<th>Solvent</th>
<th>Melting point (°C)</th>
<th>Boiling point (°C)</th>
<th>Dipole Moment (Debye)</th>
<th>Dielectric Constant</th>
</tr>
</thead>
<tbody>
<tr>
<td>Water</td>
<td>0</td>
<td>100</td>
<td>1.85</td>
<td>80.1</td>
</tr>
<tr>
<td>Methanol</td>
<td>-97.6</td>
<td>64.7</td>
<td>1.70</td>
<td>32.7</td>
</tr>
<tr>
<td>Ethanol</td>
<td>-114.1</td>
<td>78.4</td>
<td>1.66</td>
<td>24.5</td>
</tr>
</tbody>
</table>

We can also compare samples at the same salt concentration but with different amounts of ethanol. We observed an increasing in the magnitude of \(G'\) and \(G''\) with
increasing ethanol weight percent, which indicates stiffening of the samples. We hypothesize that chitosan and hyaluronic acid formed additional hydrogen bonding force with ethanol to make the materials more solid-like; or the additional ethanol resulted in a decrease in the dielectric constant of the solvent as explained before.

Figure 11. Frequency sweep data for ethanol samples prepared at 300 mM (black), 400 mM (red), 500 mM (green), 600 mM (blue) and 650/700 mM (purple) NaCl. Shown as the storage (G’) moduli (filled circle) and loss (G”) moduli (open circle).
Our goal in exploring the rheological properties of the CH-HA coacervates was to understand electrospinnability of these materials. Zero-shear viscosity plots are shown in Figure 12a. We observed that increasing the ethanol weight percent increased the viscosity of the coacervate,\(^{80}\) while increasing the salt concentration decreased the viscosity. Viscosity could be one of the predictors of spinnability; 8wt% ethanol coacervates were too viscous to form fibers. We also examined the relaxation spectrum as a function of salt and ethanol content (Figure 12b). The trend in relaxation behaviour matches with the phase behaviour characterization from turbidity that showed that increasing ethanol resulted in stiffer coacervates.

**Figure 12.** Plots of (a) zero-shear viscosity of CH-HA coacervates as a function of salt and ethanol content, and (b) the corresponding relaxation spectra for coacervates prepared at 600 mM NaCl over a range of ethanol concentrations.
CHAPTER 5

CONCLUSION

In summary, we successfully electrospun PEC fibers from CH-HA coacervates in pure water and ethanol-water co-solvent mixtures. We have demonstrated that the addition of ethanol helps solvent evaporation during electrospinning process, as well as facilitating electrospinning across a broader range of electrospinning apparatus parameters. Furthermore, ethanol is a relatively biocompatible solvent, which would make these nanofibers excellent candidates for use in medical applications.
CHAPTER 6

FUTURE WORK

Although this work has successfully electrospun nanofibers from CH-HA coacervates, the further biomedical application could follow from this project. As-spun nanofibers mats can be modified with functional agents (e.g., drugs, polymers). For example, lysostaphin was immobilized onto biocompatible fibers to obtain bandage that showed bactericidal activity against *S. aureus* displayed low toxicity towards keratinocytes which showed these materials could be used in wound healing applications.\(^{99}\)
Figure 13. Plots of relaxation spectra for CH-HA coacervates prepared at 300 mM, 400 mM 500 mM 600 mM 700 mM NaCl over a range of ethanol concentrations.
Figure 14. Plots of the time-salt superposition of frequency sweep data for CH-HA complex coacervates (300 mM to 700 mM NaCl), shifted with respect to the 300 mM “as prepared” data. 300 mM (black), 400 mM (red), 500 mM (green), 600 mM (blue), and 650/700 mM (purple) NaCl.
Figure 15. Frequency sweep data for CH-HA methanol cosolvent. Coacervates prepared using 500 mM (green), 600 mM (blue) and 650/700 mM (purple) NaCl. Shown as the storage ($G'$) moduli (filled circle) and loss ($G''$) moduli (open circle).
Figure 16. Plots of (a) zero-shear viscosity of CH-HA coacervates as a function of salt and methanol content, and (b) (c) (d) the corresponding relaxation spectra for coacervates prepared at 500 mM 600 mM 700 mM NaCl over a range of methanol concentrations.
REFERENCES


(35) Brenner, E. K.; Schiffman, J. D.; Thompson, E. A.; Toth, L. J.; Schauer, C. L.


(57) Schiffman, J. D.; Schauer, C. L. A Review: Electrospinning of Biopolymer Nanofibers and Their A Review: Electrospinning of Biopolymer Nanofibers and


(70) Li, J.; He, A.; Zheng, J.; Han, C. C. Gelatin and Gelatin - Hyaluronic Acid Nanofibrous Membranes Produced by Electrospinning of Their Aqueous Solutions.


(91) Fu, J.; Fares, H. M. Ion-Pairing Strength in Polyelectrolyte Complexes. 2017. https://doi.org/10.1021/acs.macromol.6b02445.


(94) Dimitrios Priftis a, ♂; Katie Megley a; Nicolas Laugel a, b; Matthew Tirrell a, l. Complex Coacervation of Poly(Ethylene-Imine)/Polypeptide Aqueous Solutions: Thermodynamic and Rheological Characterization. J. Colloid Interface Sci. 2013, 398, 39–50.


(97) Spruijt, E.; Cohen Stuart, M. A.; Van Der Gucht, J. Linear Viscoelasticity of
