

June 2022

The Relationship Between PM2.5 and Chronic Respiratory Disease in Senegal

Bailey Glenn
University of Massachusetts Amherst

Follow this and additional works at: https://scholarworks.umass.edu/masters_theses_2



Part of the [Environmental Public Health Commons](#), and the [Epidemiology Commons](#)

Recommended Citation

Glenn, Bailey, "The Relationship Between PM2.5 and Chronic Respiratory Disease in Senegal" (2022). *Masters Theses*. 1185.
<https://doi.org/10.7275/28640138> https://scholarworks.umass.edu/masters_theses_2/1185

This Open Access Thesis is brought to you for free and open access by the Dissertations and Theses at ScholarWorks@UMass Amherst. It has been accepted for inclusion in Masters Theses by an authorized administrator of ScholarWorks@UMass Amherst. For more information, please contact scholarworks@library.umass.edu.

**THE RELATIONSHIP BETWEEN PM_{2.5} AND CHRONIC RESPIRATORY
DISEASE IN SENEGAL**

A Thesis Presented

by

BAILEY E. GLENN

Submitted to the Graduate School of the
University of Massachusetts Amherst in partial fulfillment
of the requirements for the degree of

MASTER OF SCIENCE

May 2022

Biostatistics and Epidemiology

© Copyright by Bailey E. Glenn 2022

All Rights Reserved

**THE RELATIONSHIP BETWEEN PM_{2.5} AND CHRONIC RESPIRATORY
DISEASE IN SENEGAL**

A Thesis Presented

by

BAILEY E. GLENN

Approved as to style and content by:

Youssef Oulhote, Chair

Lisa Chasan-Taber, Member

Peter Larson, Outside Member

Lisa Chasan-Taber, Department Head
Biostatistics and Epidemiology

DEDICATION

To Susan Foster and John Baker,

Inspiring scientists, dedicated professors, and invaluable mentors.

Gone but never forgotten.

ACKNOWLEDGMENTS

First, I would like to thank my mentor Dr. Peter Larson for taking me under his wing. He has provided me with his great wisdom, unmatched guidance, and continual support. His dedication came in the form of endless hours on Zoom, prompt email responses at all hours, and becoming my personal R tutor and tech support. I am lucky and grateful to have him as my mentor, as he has kept me sane and motivated throughout the thesis process.

Second, I would like to thank Dr. Youssef Oulhote for being the chair of my committee and for challenging me to produce the best work possible. He has taught me to be a better critical and analytical thinker. I will always be grateful for all of the knowledge he has share with me during our time together.

Third, I would like to thank Dr. Lisa Chasan-Taber for her guidance in the writing process. Her experience, comments and critiques significantly enhanced my writing and improved the overall quality of my thesis. I am very thankful to have her as a member of my thesis committee.

Lastly, I would like to thank my family and friends. Your constant love and support is deeply valued and has allowed me to pursue and achieve my goals. I don't know where I would be without you.

ABSTRACT

THE RELATIONSHIP BETWEEN PM_{2.5} AND CHRONIC RESPIRATORY DISEASE IN SENEGAL

MAY 2022

BAILEY E. GLENN, B.A., CLARK UNIVERSITY

M.S., UNIVERSITY OF MASSACHUSETTS AMHERST

Directed by: Dr. Youssef Oulhote

Chronic respiratory diseases such as asthma and chronic bronchitis have significantly increased in prevalence in Africa over the past 10 years. Recent studies have demonstrated that exposure to air pollution may be associated with an increased risk of chronic respiratory diseases. However, such studies have predominantly been conducted in western societies or often used urbanicity as a proxy for exposure to air pollution. Therefore, we evaluated the association between PM_{2.5} exposure and asthma/chronic bronchitis in Senegal. A cross-sectional study was conducted for the time period of 3 October 2010 to 28 April 2011 using annual concentrations of PM_{2.5} measured via multiple satellite instruments, and asthma/chronic bronchitis, which was self-reported at baseline via a health survey questionnaire. We used mixed model logistic regression to evaluate the relationship between PM_{2.5} exposure and asthma/chronic bronchitis risk while adjusting for lifestyle factors, location, and other air pollutants. Sex was evaluated as an effect modifier. The adjusted association between PM_{2.5} and asthma/chronic bronchitis was 1.03 (95%CI: 0.99 – 1.06). In males the adjusted odds ratio was 1.09 (95%CI: 1.03-1.15), compared to females (aOR 1.01 (95%CI: 0.97 – 1.05). Our results suggest that increasing levels of exposure to PM_{2.5} puts individuals at a higher risk for

chronic respiratory diseases, especially men. These findings have significant policy implications and should be built upon in future research.

TABLE OF CONTENTS

	Page
ACKNOWLEDGMENTS	v
ABSTRACT.....	vi
LIST OF TABLES	ix
LIST OF FIGURES	x
CHAPTER	
INTRODUCTION	11
1.1 Public Health Impact.....	11
1.2 Physiology of Relationship.....	12
1.3 Epidemiology of the Relationship	13
1.4 Aims and Hypotheses	14
1.5 Significance and Innovation	15
METHODS	16
2.1 Study Design.....	16
2.2 Study Population.....	16
2.3 Exposure Assessment.....	17
2.4 Validity of Exposure.....	18
2.5 Outcome Assessment	18
2.6 Validity of Outcome	18
2.7 Covariate Assessment	19
2.8 Data Analysis	19
RESULTS	21
3.1 Unweighted Distribution of Sample Characteristics.....	21
3.2 Weighted Sample Characteristics by Asthma/Chronic Bronchitis.....	22
3.3 Multivariable Associations between PM _{2.5} Exposure and Asthma/Chronic Bronchitis	22
DISCUSSION	24
THE TABLES.....	29
BIBLIOGRAPHY.....	32

LIST OF TABLES

Table	Page
Table 1: Unweighted Distribution of Sample Characteristics	29
Table 2: Weighted Distribution of Sample Characteristics by Asthma/Chronic Bronchitis and Bivariate Analysis (N=19843).....	30
Table 3: Odds ratios for the association between PM _{2.5} and asthma/chronic bronchitis.....	31

LIST OF FIGURES

Figure	Page
Figure 1: 2010 Annual PM _{2.5} Concentrations ($\mu\text{g}/\text{m}^3$) in Senegal.....	21

CHAPTER 1

INTRODUCTION

1.1 Public Health Impact

Asthma is a common chronic respiratory disease worldwide.¹ In 2019, there were 262 million prevalent cases, 37 million incident cases and 461,000 deaths attributed to asthma.² While estimates for Senegal are not readily available due to lack of research, there is evidence that the prevalence of asthma in Africa increased from roughly 74.4 million in 1990 to 119.5 million cases in 2010.³

While the exact cause of asthma remains unknown, asthma attacks are often the result of an immune response to a substance or allergen that entered the lungs.⁴ If left untreated, lung scarring can occur as well as severe and sometimes fatal asthma attacks that prevent sufficient oxygen intake leading to death through suffocation.⁵ There are multiple risk factors for asthma, some of which are modifiable while others are not. Unmodifiable risk factors of asthma include family history and allergies.⁶ Modifiable risk factors include prior respiratory infections, occupational exposure to dust, chemical fumes, and mold, smoking, obesity, and air pollution.⁶ While air pollution such as ozone or living in urban areas has been identified as a risk factor in western societies, the impact of the dose of such exposures in Africa are less clear. Therefore, air pollution, specifically PM_{2.5} will be the exposure of interest for this study.

Chronic Obstructive Pulmonary Disease (COPD) is an overarching medical term that refers mainly to chronic bronchitis and emphysema. Due to the absence of statistics that solely refer to chronic bronchitis, COPD statistics will be reported. While estimates vary, COPD has a high prevalence worldwide at about 10% of the adult population.⁷ In

addition, in 2019, COPD caused over 3.2 million deaths⁸, and was the third leading cause of death worldwide, with 90% of COPD deaths occurring in low and middle income countries.⁹ While studies on COPD are sparse in Senegal, as well as Africa, a systematic review of COPD prevalence in Africa estimates the COPD prevalence in 2010 to be 26.3 million, a 31.5% increase since 2000.¹⁰

Chronic bronchitis refers to long term inflammation of the bronchi.¹⁰ Chronic bronchitis is progressive and becomes worse over time, allowing less and less oxygen into the lungs, leading to difficulty breathing and potential suffocation which can result in death.¹¹ The main risk factor for COPD is smoking, but other risk factors include exposure to air pollution, secondhand smoke, exposure to chemical fumes, alpha-1 deficiency (a genetic condition) and history of childhood respiratory infections.¹²

1.2 Physiology of Relationship

PM_{2.5} refers to particulate matter that has a diameter less than 2.5 micrometers and is known to be a measure of fine particle air pollution. PM_{2.5} when inhaled can get deep into the lungs, irritating and corroding the alveolar wall and therefore inhibiting lung function, leading to chronic respiratory diseases.¹³ There are three proposed mechanisms in which this can occur: **(1)** injury from free radical peroxidation where PM_{2.5} can induce free radical production to oxidize lung cells which can cause lung damage and inhibit lung function.¹³ **(2)** Imbalanced intracellular calcium homeostasis: PM_{2.5} can increase calcium concentration in cells which activates an inflammatory reaction causing limited lung function and chronic respiratory diseases over time.¹³ **(3)** Inflammatory injury: PM_{2.5} stimulates overexpression of inflammatory cytokines in the

lungs, leading to inflammation that inhibits lung function.¹³ All three mechanisms lead to cellular damage and or inflammation. With continuous exposure and therefore continued inflammation in the respiratory system, chronic respiratory diseases such as asthma or chronic bronchitis can develop.

1.3 Epidemiology of the Relationship

One study evaluated PM_{2.5} and asthma and chronic bronchitis in Senegal, however this study was observational and did not conduct statistical analyses to determine that relationship between the two variables.¹⁴ Looking more broadly, in Sub-Saharan Africa only one study has evaluated the association between PM 2.5 and asthma,¹⁵ while two studies evaluated the association between PM 2.5 and lung function parameters,^{16,17} and seven studies evaluated the association between urbanity and asthma and or chronic bronchitis prevalence.¹⁸⁻²⁴

In the only study which evaluated the association between PM 2.5 and asthma, Mustapha et al. conducted a cross-sectional study in Nigeria in 2011.¹⁵ This study created exposure indexes that involved various components, ranging from PM_{2.5} to truck traffic.¹⁵ The authors did not find a statistically significant relationship between PM_{2.5} and asthma when adjusting for sex, age, presence of pets in the house, overcrowding, traffic disturbance at home, type of home cooking fuel (wood or coal; kerosene), and presence of smokers in the house (OR=1.05 95% CI 0.77-1.44).¹⁵

A total of two epidemiologic studies evaluated the relationship between PM_{2.5} exposure and lung function parameters.^{16,17} Both studies were cohort studies, one looking at male e-waste workers in Ghana¹⁶ and the other schoolchildren in South Africa.¹⁷ Both

studies did not find a significant association between PM_{2.5} and any lung function parameters (OR=0.86 95% CI 0.32-2.30).^{16,17}

Urbanicity can be considered a proxy for air pollution. A total of seven epidemiologic studies have evaluated the relationship between urbanicity and asthma and or chronic bronchitis prevalence in SSA.¹⁸⁻²⁴ Six of the studies were cross-sectional^{18-22,24} and one study was a case control.²³ The majority of studies focused on children^{18-20,23,24} while one study looked at individuals over 12²¹ and one looked at adults 35 and older.²¹ Most studies measured urbanicity by selecting participants from predetermined rural and urban areas,²⁰⁻²⁴ while others used hospital records or questionnaires to obtain addresses which they would later use to categorize urban versus rural based on national criteria.^{18,19} These studies found that there were more asthma hospital admissions of individuals from urban areas than from rural areas,¹⁸ that urbanicity appears to negatively impact asthma control,¹⁹ and that there was a higher prevalence of asthma among those living in urban areas compared to rural areas²¹⁻²⁴ with one finding a two-fold increased risk (OR 2.01 95%CI: 1.23-3.27),²² but others not observing statistically significant results.²⁴

1.4 Aims and Hypotheses

Specific Aim 1: To evaluate the association between PM_{2.5} exposure and asthma/chronic bronchitis in Senegal.

Hypothesis 1a: There will be a positive association between PM_{2.5} exposure and asthma/chronic bronchitis.

Specific Aim 2: To evaluate effect modification by sex on the association between PM_{2.5} exposure and asthma/chronic bronchitis in Senegal.

Hypothesis 2a: Sex will be a statistically significant effect modifier of the association between PM_{2.5} exposure and PM_{2.5} and asthma/chronic bronchitis in Senegal.

1.5 Significance and Innovation

This study is innovative in being the first, to our knowledge, to study the relationship between PM_{2.5}, and asthma/chronic bronchitis in Senegal. It is innovative in the fact that it measures PM_{2.5} instead of using urbanity as a proxy and that it measures asthma/chronic bronchitis instead of using lung function parameters as a proxy. The significance of the study lies in the fact that chronic respiratory diseases are associated with high levels of morbidity and mortality worldwide and are increasing in prevalence in Africa. PM_{2.5} is a component of air pollution, an identified modifiable risk factor of chronic respiratory diseases. If PM_{2.5} is associated with an increased risk of asthma/chronic bronchitis, then the findings of this study can inform future policy makers and their policies.

CHAPTER 2

METHODS

2.1 Study Design

A cross-sectional design was used to assess the relationship between exposure to PM_{2.5} and asthma/chronic bronchitis among residents of Senegal from October 2010 to April 2011 who participated in the Senegal Demographic and Health Survey (DHS). The DHS is designed to collect data on household characteristics, marriage, fertility, infant and child mortality, maternal health, child health, nutrition, malaria, HIV, adult mortality, and characteristics of survey respondents such as occupation, chronic disease, and education level.²⁵ The DHS conducted sampling using a two-stage stratified randomly drawn national sample based on census district and urban vs. rural.²⁵ A sample of 8,232 households in Senegal was drawn with the expectation that 15,044 women and 4,429 men would be surveyed.²⁵ In total the DHS surveyed 15,688 women 15-49 years old and 5,668 men 15-59 years old.²⁵ From this dataset our study used asthma/chronic bronchitis prevalence, as well as some of the demographics data to use as covariates in our model. For PM_{2.5} exposure we used the Surface PM_{2.5} dataset from the Atmospheric Composition Analysis Group at the University of Washington in Saint Louis for the year 2011. This dataset provides annual global surface concentrations of PM_{2.5} measured using multiple satellite instruments.²⁶

2.2 Study Population

The study population was participants from the DHS, which included 15,688 women aged 15-49 years and 5,668 men aged 15-59 years.²⁵ To be surveyed the following criteria needed to be met: 1) women aged 15-49 years, men aged 15-59 years

and 2) a household member or someone who slept in the selected household the night before the survey, and 3) provided consent.²⁵ Therefore, individuals were excluded if they did not fall within the selected age range, were not a member of the selected household in Senegal or did not provide consent to participate in the study.²⁵ Our analyses included all individuals who were surveyed from October 2010 to April 2011 with the exception of those who were deemed visitors, as opposed to residents, and those for those who had missing PM_{2.5} data.

2.3 Exposure Assessment

For PM_{2.5} exposure we used the Surface PM_{2.5} dataset created by the Atmospheric Composition Analysis Group at Washington University in Saint Louis for the year 2011. Annual global concentrations of PM_{2.5} were measured using aerosol optical depth (AOD) retrievals from multiple satellite instruments as well as models.²⁶ The satellite instruments used include the NASA Moderate Resolution Imaging Spectroradiometer (MODIS), the Multi-angle Imaging SpectroRadiometer (MISR), and the Sea-Viewing Wide Field-of-View Sensor (SeaWiFS).²⁶ In addition, the GEOS-Chem chemical transport model was used to calculate the near surface PM_{2.5} based on the total measure of aerosol and the Geographically Weighted Regression (GWR) was used to predict and adjust for residual bias.²⁶ The dataset is in raster form and was provided at a fine resolution scale of 0.01-degree grids.²⁶ For our study we evaluated the annual PM_{2.5} concentration at the location of the selected household where the survey participants resided for the year the DHS started, 2010. R was utilized to overlay the PM_{2.5} raster data with the participants' household location to obtain the specific annual PM_{2.5} concentration for the household location.

2.4 Validity of Exposure

The methods that the Surface PM_{2.5} dataset used to calculate the annual PM_{2.5} concentrations were found to be highly consistent with out-of-sample cross validated PM_{2.5} concentrations from monitors ($R^2=0.81$).²⁶ While ground-based monitors can provide more insight when measuring PM_{2.5}, the lack of ground-based monitors globally, particularly in developing areas, makes it difficult to conduct studies relying only on ground-based monitors.²⁶ Using satellite data in addition to available monitors and models, this form of PM_{2.5} concentration assessment limits sources of bias associated with satellite data, in addition to globally characterizing PM_{2.5}, especially in regions where ground-based monitors are scarce.²⁶

2.5 Outcome Assessment

Prevalence of asthma or chronic bronchitis was assessed through self-report in which participants were required to report if they had asthma or chronic bronchitis and if so if it was diagnosed by a medical provider.²⁵ If a participant responded “yes” to having either asthma or chronic bronchitis they will be categorized as having a chronic respiratory disease, participants who responded “no” to having asthma and chronic bronchitis will be categorized as not having a chronic respiratory disease.

2.6 Validity of Outcome

In this study self-reports of asthma/chronic bronchitis are not validated or confirmed with medical records. To our knowledge few or no validity studies have been conducted in Africa. However, a validity study among Finnish public sector workers on the accuracy of self-reported prevalent asthma found that sensitivity for self-reported asthma was 91% with specificities ranging from 96%-99%.²⁷ In addition, a validation

study on chronic diseases in Iran found a kappa agreements of 82.33 for diabetes and 86.76 for ischemic heart disease.²⁸ These validation studies indicate that the form of assessment used to collect our outcome data should be valid even though it was self-reported. It should also be noted that asthma and chronic bronchitis are measured together and not differentiated. Asthma and chronic bronchitis can have similar symptoms and can be difficult to differentiate, combining these measures allows us to assess chronic non-communicable respiratory disease and its relationship with PM_{2.5} exposure.

2.7 Covariate Assessment

We investigated established risk factors for asthma/chronic bronchitis as possible confounders. Covariates considered include location, age, sex, wealth quintile, type of fuel used for cooking, such as solid vs. nonsolid, place of cooking, smoking status, education level, urbanicity, and NO₂.²⁹ Information on all covariates except NO₂ were collected from the DHS.

2.8 Data Analysis

First, we present an unweighted distribution of sample characteristics. The following analyses considered the clustered sampling design as well as the sample weights provided by the DHS. Sample characteristics were then presented by the outcome, asthma/chronic bronchitis status. Bivariate analyses for the exposure of interest, PM_{2.5}, as well as all potential confounders that were previously identified. For multivariate analysis, a mixed effects logistic regression model was used to model the relation between PM_{2.5} exposure and asthma/chronic bronchitis while accounting for cluster level effects and adjusting for all potential confounders. Interaction by sex was

also tested using the same technique. Results were presented as adjusted odds ratios (aORs). All analyses were performed using R Statistical Software (v4.1.3).

CHAPTER 3

RESULTS

3.1 Unweighted Distribution of Sample Characteristics

The unweighted distribution of sample characteristics is presented in Table 1. A total of 19,843 individuals were included in the study. Of the total sample, 23.8% were males ages 15-59 and 76.2% were females ages 15-49, with an average age of 28.3 years. Of the 19,843 individuals, 59.3% lived in a rural area, and 57.5% had no formal education. The majority of individuals used solid fuel (82.2%) and were non-smokers (94.9%). Of all individuals 45.7% were from poor households (poorest and poor together) and 66% were from a household with an indoor kitchen. The overall prevalence of asthma/chronic bronchitis in the sample was 2.99%. The mean of PM_{2.5} exposure was 40.6 µg/m³ and ranged from 31.8 µg/m³ to 51.3 µg/m³. The spatial distribution of annual PM_{2.5} concentrations is presented in Figure 1. The mean NO₂ exposure was 0.24ppb.

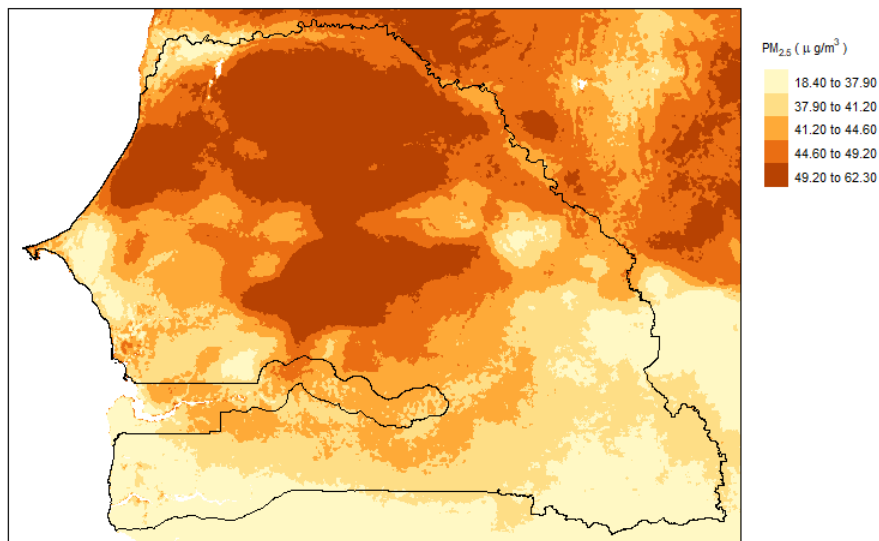


Figure 1: 2010 Annual PM_{2.5} Concentrations (µg/m³) in Senegal

3.2 Weighted Sample Characteristics by Asthma/Chronic Bronchitis

The bivariate models showed that significant predictors of asthma/chronic bronchitis included cooking fuel type, urbanicity, socioeconomic status, smoking status, and education level (Table 2). Asthma/chronic bronchitis prevalence was higher among individuals who were male (4%) compared to female (3%), used nonsolid fuel for cooking (5%) compared to solid (3%), resided in urban areas (5%) compared to rural areas (2%), smoked (5%) compared to non-smokers (3%), and had a kitchen in their house (4%) compared to a separate building (3%) or outdoors (3%). Asthma prevalence also appeared to increase with increasing socioeconomic status and education level. The mean level of exposure to PM_{2.5} was the same (40.59 µg/m³) between those with and without asthma/chronic bronchitis.

3.3 Multivariable Associations between PM_{2.5} Exposure and Asthma/Chronic Bronchitis

We then conducted a mixed effects logistic regression to estimate the association between PM_{2.5} exposure and asthma/chronic bronchitis, while taking into account sampling design. The unadjusted odds ratio for the association between PM_{2.5} exposure and asthma/chronic bronchitis was 0.97 (95%CI: 0.95 - 0.99) (Table 3). After adjusting for age, sex, cooking fuel type, smoking status, socioeconomic status, urbanicity, education level, location of the kitchen and NO₂, this association was attenuated and no longer statistically significant 1.03 (95%CI: 0.99 – 1.06) (Table 3). In other words, for every one µg/m³ increase in PM_{2.5} exposure there is a 3% increased risk of asthma/chronic bronchitis. In this model significant confounders of the relationship

between PM_{2.5} exposure and asthma/chronic bronchitis included urbanicity, smoking status, and education level.

We then evaluated whether the association between PM_{2.5} exposure and asthma/chronic bronchitis differed within strata of sex. We found that the association was stronger among males with an adjusted odds ratio of 1.09 (95% CI: 1.03-1.15), compared to the association among females (aOR 1.01 (95% CI: 0.97 – 1.05) (p value for interaction term = 0.008) (Table 3).

CHAPTER 4

DISCUSSION

In this nationally representative sample provided by the DHS, we found that exposure to PM_{2.5} is positively associated with asthma/chronic bronchitis, with males facing a greater risk than females. The main results of our study, which agree with our first hypothesis, suggest that for every ten unit µg/m³ increase of PM_{2.5} exposure there is a 30% increased risk of asthma/chronic bronchitis (aOR: 1.03 95%CI 0.99-1.06) when adjusting for age, sex, cooking fuel type, smoking status, socioeconomic status, urbanicity, education level, location of the kitchen and NO₂. Although prior studies suggest air pollution is positively associated with asthma, very few studies attempted to evaluate PM_{2.5} as a risk factor for asthma in African countries and can identify the magnitude of the association between PM_{2.5} and chronic respiratory diseases as we have here. To our knowledge only one other study evaluated PM_{2.5} and asthma/chronic bronchitis in Senegal. This 2015-2016 observational study collected PM_{2.5} exposure data and health data using similar method to our study, and similarly found unhealthy levels of PM_{2.5}.¹⁴ Unfortunately, the authors did not conduct statistical analyses to evaluate the relationship between PM_{2.5} and asthma/chronic bronchitis.¹⁴ Instead, the authors observed the spatial temporal distribution of asthma and bronchitis and found the highest prevalence to be in the capital city, Dakar and suggested that urban sources contribute to poor air quality.¹⁴

Within Africa we found only one study that evaluated the relationship between PM_{2.5} and asthma. This cross-sectional study conducted in Nigeria in 2011 did not find a statistically significant relationship between PM_{2.5} and asthma when adjusting for sex,

age, presence of pets in the house, overcrowding, traffic disturbance at home, type of home cooking fuel (wood or coal; kerosene), and presence of smokers in the house (OR=1.05 95% CI 0.77-1.44).¹⁵ Statistical significance aside, PM_{2.5} was found to be a risk factor for asthma, a result very similar to that of our study. Therefore, the findings of our study on the association between PM_{2.5} and asthma/chronic bronchitis are consistent with the current literature by suggesting PM_{2.5} is a risk factor for chronic respiratory diseases such as asthma and chronic bronchitis.

When evaluating the relationship between PM_{2.5} and asthma/chronic bronchitis, sex was found to be a statistically significant effect modifier (p-value=0.008). Males faced a 9% increased risk (aOR 1.09 95%CI 1.03-1.15) for every unit increase in PM_{2.5} exposure compared to females at 1% (aOR 1.01 95%CI 0.97-1.05). We did not expect males to have a greater risk than females, as a prior study on sex differences in air pollution-related acute circulatory and respiratory mortality and hospitalization in Canadian cities, found women to be more vulnerable and at increased risk for disease compared to males.³⁰ We found opposing results, that men were more vulnerable compared to women. Upon speculation this could possibly be explained by the gender roles observed in Senegal. Our study looked at outdoor PM_{2.5} exposure, and in Senegal males are more likely than females to spend time outside due to their occupations. More time outside could lead to longer exposures to the outdoor PM_{2.5} present in their area, which could explain why they are at higher risk for asthma/chronic bronchitis than females. To truly understand the mechanism leading to an increased risk in males further research is suggested and encouraged. While previous studies have adjusted for sex as a

confounder, our study is unique in that it evaluated sex as an effect modifier for the relationship between PM_{2.5} and asthma/chronic bronchitis.

The prevalence of asthma/chronic bronchitis in our sample was about three percent. This is lower than expected as the estimated prevalence of asthma in Africa was 12.8% in 2010.³¹ It is possible that asthma/chronic bronchitis was under reported in our study because individuals were unaware of their disease status. Lack of visiting medical professionals, and therefore lack of diagnosis for medical problems could explain possible under reporting. In the future study subjects could be evaluated by a doctor, opposed to using self-reporting via questionnaires, to ensure a more accurate assessment of the outcome of interest.

The average annual concentration of PM_{2.5} for the sample was 40.6 µg/m³. This level of PM_{2.5} is much greater than the EPA standard of at or below 12 µg/m³ and is considered particularly unhealthy for sensitive groups, which include but not limited to asthmatics, children, and the elderly.³² However, this result is not uncommon as the World Bank reported that the annual PM_{2.5} concentration in Senegal in 2010 was about 35 µg/m³.³³ In addition, surrounding countries such as Mali and Mauritania had 2010 annual PM_{2.5} concentrations that fell into the same air quality index category; unhealthy for sensitive groups.³³

This study has some key strengths. The DHS had a large sample size, of which we were able to include almost 20,000 individuals in our study, after excluding those who were visitors and were missing data for the exposure or outcome of interest. This large sample size gave us greater power to detect differences between groups and allows us to more closely approximate the population. In addition, we used the sample weights in our

models to ensure that our sample was as nationally representative as possible, mitigating any impact of selection bias. Lastly our study used a direct measure of PM_{2.5}, opposed to a proxy for air pollution such as urbanicity. This allowed us to add new information to the literature, which is important because our level of specificity could impact policy level changes for acceptable PM_{2.5} limits.

Our study has a number of limitations. First, since this is a cross-sectional study, we need to take temporal bias into consideration. Temporal bias occurs when we assume the wrong sequence of events of causality. We need to be careful because it is possible that individuals had asthma before they were exposed to high levels of PM_{2.5}. We cannot assume PM_{2.5} causes asthma/chronic bronchitis because the temporality of the relationship cannot be defined using a cross-sectional study.

Second, we are aware of the potential non-differential misclassification of exposure. PM_{2.5} exposure was measured using global annual concentrations of PM_{2.5} that were obtained using aerosol optical depth (AOD) retrievals from multiple satellite instruments as well as models.²⁶ While this method was found to be highly consistent with out-of-sample cross validated PM_{2.5} concentrations from ground monitors, the ground monitors used were likely to have only been found in the capital city of Dakar, meaning that we do not know if the model used to calculate PM_{2.5} exposure was calibrated correctly for Senegal, especially in rural areas. In addition, the locations used for our samples were only available at the cluster level in order to deidentify health data, meaning the coordinates used are only estimates not exact locations of where the subjects live. Lastly PM_{2.5} exposure is based on environmental estimates, not actual human inhalation. Since we did not use personal monitors for each individual, we could have

incorrectly assessed their personal level of exposure to PM_{2.5}. Incorrect categorizing of PM_{2.5} exposure will cause the reported OR for asthma/chronic bronchitis to be an underestimation of the true OR, which then causes a bias towards the null value.

Lastly, it is possible that there are confounding factors impacting our results that were not accounted for in our study. For example, common air pollutants in addition to PM_{2.5} include CO, NO₂, NO, PM₁₀, SO₂ and ozone. Only NO₂ was adjusted for in our analysis indicating that all other pollutants have the potential to be confounding the relationship between PM_{2.5} and asthma/chronic bronchitis in our model.

Overall, the present study found that exposure to PM_{2.5} is positively associated with asthma/chronic bronchitis, with males facing a greater risk than females. This study suggests that specific air pollution measures, such as PM_{2.5} can be associated with poor health outcomes such as chronic respiratory diseases and can differ according to sex. It should be noted that this study is likely only generalizable to individuals living within Sub-Saharan Africa, as PM_{2.5} levels in this area are very high comparative to other parts of the world. Nonetheless, understanding the negative health impacts at certain levels of air pollution is critical in informing policy. If policy makers are aware of the relationships between air pollution and disease, they can create policies that help to mitigate air pollution and in turn hopefully reduce burden of disease. Further research examining the associations between air pollutants and chronic respiratory disease is warranted, especially in Africa where there appears to be a paucity of research on this topic.

THE TABLES

Table 1: Unweighted Distribution of Sample Characteristics

Categorical Variables	N (%) N=19843
Asthma/CB:	
Yes	593 (2.99%)
No	19250 (97.0%)
Sex:	
Female	15112 (76.2%)
Male	4731 (23.8%)
Cooking fuel type:	
Solid	16305 (82.2%)
Nonsolid	3538 (17.8%)
Smoker:	
Yes	1010 (5.09%)
No	18833 (94.9%)
Wealth Index:	
Poorest	4582 (23.1%)
Poor	4487 (22.6%)
Middle	4600 (23.2%)
Richer	3497 (17.6%)
Richest	2677 (13.5%)
Urbanicity:	
Urban	8069 (40.7%)
Rural	11774 (59.3%)
Education Level:	
None	11412 (57.5%)
Primary	4251 (21.4%)
Secondary	3928 (19.8%)
Higher	252 (1.27%)
Kitchen Location:	
In house	13106 (66.0%)
Separate building	4506 (22.7%)
Outdoors	2183 (11.0%)
Other	48 (0.24%)
Continuous Variables	Mean (SD)
Age	28.3 (10.2)
PM_{2.5}	40.6 (3.95)
NO₂	0.24 (0.09)

*Note these sample characteristics are unweighted and therefore do not account for sampling weights.

Table 2: Weighted Distribution of Sample Characteristics by Asthma/Chronic Bronchitis and Bivariate Analysis (N=19843)

Categorical Variables	Asthma/CB % (95%CI)	No Asthma/CB % (95%CI)	OR (95%CI)
Sex:			
Female	0.03 (0.03, 0.04)	0.97 (0.96, 0.97)	-
Male	0.04 (0.03, 0.04)	0.96 (0.96, 0.97)	1.10 (0.86, 1.41)
Cooking fuel type:			
Solid	0.03 (0.02, 0.03)	0.97 (0.97, 0.98)	-
Nonsolid	0.05 (0.04, 0.06)	0.95 (0.94, 0.96)	1.73 (1.38, 2.10)
Smoker:			
Yes	0.05 (0.03, 0.07)	0.95 (0.93, 0.97)	-
No	0.03 (0.03, 0.04)	0.97 (0.96, 0.97)	0.66 (0.45, 0.94)
Wealth Index:			
Poorest	0.02 (0.02, 0.03)	0.98 (0.97, 0.98)	-
Poor	0.02 (0.02, 0.02)	0.98 (0.98, 0.98)	0.88 (0.64, 1.21)
Middle	0.03 (0.02, 0.04)	0.97 (0.96, 0.98)	1.4 (1.04, 1.88)
Richer	0.04 (0.03, 0.05)	0.96 (0.95, 0.97)	1.93 (1.41, 2.64)
Richest	0.05 (0.04, 0.06)	0.95 (0.94, 0.96)	2.36 (1.73, 3.23)
Urbanicity:			
Urban	0.05 (0.04, 0.05)	0.95 (0.95, 0.96)	-
Rural	0.02 (0.02, 0.03)	0.98 (0.97, 0.98)	0.47 (0.39, 0.58)
Education Level:			
None	0.02 (0.02, 0.03)	0.98 (0.97, 0.98)	-
Primary	0.04 (0.03, 0.05)	0.96 (0.95, 0.97)	1.69 (1.29, 2.21)
Secondary	0.06 (0.05, 0.07)	0.94 (0.93, 0.95)	2.59 (2.01, 3.34)
Higher	0.05 (0.02, 0.09)	0.95 (0.91, 0.98)	2.38 (1.11, 5.07)
Kitchen Location:			
In house	0.04 (0.03, 0.04)	0.96 (0.96, 0.97)	-
Separate building	0.03 (0.03, 0.04)	0.97 (0.96, 0.97)	0.92 (0.71, 1.18)
Outdoors	0.03 (0.02, 0.04)	0.97 (0.96, 0.98)	0.82 (0.59, 1.13)
Other	0.00 (0.00, 0.00)	1.00 (1.00, 1.00)	0.00 (0.00, 0.00)
Continuous Variables	Mean (SD)	Mean (SD)	OR (95%CI)
Age	28.65 (10.38)	28.29 (10.14)	1 (0.98, 1.01)
PM_{2.5}	40.59 (4.02)	40.59 (3.95)	0.97 (0.95, 0.99)
NO₂	0.24 (0.10)	0.24 (0.09)	1 (0.98, 1.01)

*Note the statistics presented above are weighted using the provided sample weights. The bivariate models were adjusted for the survey design to account for cluster level effects.

Table 3: Odds ratios for the association between PM_{2.5} and asthma/chronic bronchitis

	Odds Ratio	95% Confidence Interval
Unadjusted	0.97	(0.95, 0.99)
Adjusted	1.03	(0.99, 1.06)
Male Adjusted	1.09	(1.03, 1.15)
Female Adjusted	1.01	(0.97, 1.05)

*Note: Adjusted for age, sex, wealth quintile, fuel used for cooking, place of cooking, smoking status, education level, urbanicity and NO₂

BIBLIOGRAPHY

1. Ndlovu V, Chimbari MJ, Sibanda E. Assessing the nature of asthma in African epidemiological studies: A scoping review protocol. *Systematic Reviews*. 2020;9(1). doi:10.1186/s13643-020-01491-7
2. *Asthma - Level 3 Cause.*; 2020. www.thelancet.com
3. Adeloye D, Chan KY, Rudan I, Campbell H. An estimate of asthma prevalence in Africa: A systematic analysis. *Croatian Medical Journal*. 2013;54(6):519-531. doi:10.3325/cmj.2013.54.519
4. Asthma - NIH. Published December 3, 2020. Accessed December 8, 2021. <https://www.nhlbi.nih.gov/health-topics/asthma>
5. Seladi-Schulman J. Asthma Attack Death: Know Your Risk. Published March 20, 2019. Accessed December 8, 2021. <https://www.healthline.com/health/asthma/asthma-attack-death>
6. American Lung Association Scientific and Medical Editorial Review Panel. Asthma Risk Factors. Published October 23, 2020. Accessed December 8, 2021. <https://www.lung.org/lung-health-diseases/lung-disease-lookup/asthma/asthma-symptoms-causes-risk-factors/asthma-risk-factors>
7. Agustí A, Vogelmeier C, Faner R. COPD 2020: Changes and challenges. *American Journal of Physiology - Lung Cellular and Molecular Physiology*. 2020;319(5):L879-L883. doi:10.1152/AJPLUNG.00429.2020
8. Chronic obstructive pulmonary disease (COPD). Published June 21, 2021. Accessed December 8, 2021. [https://www.who.int/news-room/fact-sheets/detail/chronic-obstructive-pulmonary-disease-\(copd\)](https://www.who.int/news-room/fact-sheets/detail/chronic-obstructive-pulmonary-disease-(copd))
9. Alwan Ala, World Health Organization. *Global Status Report on Noncommunicable Diseases 2010*. World Health Organization; 2011.
10. Chronic Bronchitis. Accessed December 8, 2021. <https://www.hopkinsmedicine.org/health/conditions-and-diseases/chronic-bronchitis#:~:text=Chronic%20bronchitis%20is%20long%2Dterm,bronchitis%2C%20when%20symptoms%20are%20worse>
11. Villines Z. What to know about end stage COPD symptoms and how to cope. Published October 28, 2021. Accessed December 8, 2021. <https://www.medicalnewstoday.com/articles/325611>
12. American Lung Association Scientific and Medical Editorial Review Panel. COPD Causes and Risk Factors. Published March 5, 2021. Accessed December 8, 2021. <https://www.lung.org/lung-health-diseases/lung-disease-lookup/copd/what-causes-copd>
13. Xing YF, Xu YH, Shi MH, Lian YX. The impact of PM_{2.5} on the human respiratory system. *Journal of Thoracic Disease*. 2016;8(1):E69-E74. doi:10.3978/j.issn.2072-1439.2016.01.19
14. Toure NO, Gueye NRD, Mbow-Diokhane A, et al. Observed and Modeled Seasonal Air Quality and Respiratory Health in Senegal During 2015 and 2016. *Geohealth*. 2019;3(12):423-442. doi:10.1029/2019GH000214
15. Adetoun Mustapha B, Blangiardo M, Briggs DJ, Hansell AL. Traffic air pollution and other risk factors for respiratory illness in schoolchildren in the Niger-delta

- region of Nigeria. *Environmental Health Perspectives*. 2011;119(10):1478-1482. doi:10.1289/ehp.1003099
16. Nti AAA, Arko-Mensah J, Botwe PK, et al. Effect of particulate matter exposure on respiratory health of e-waste workers at agboglobshie, Accra, Ghana. *International Journal of Environmental Research and Public Health*. 2020;17(9). doi:10.3390/ijerph17093042
 17. Olaniyan T, Jeebhay M, Rööslä M, et al. The association between ambient NO₂ and PM_{2.5} with the respiratory health of school children residing in informal settlements: A prospective cohort study. *Environmental Research*. 2020;186. doi:10.1016/j.envres.2020.109606
 18. Macintyre U E, de Villiers F P R, Owange-Iraka J W. Increase in Childhood Asthma Admissions in an Urbanising Population. *SAMJ-South African Medical Journal*. 2001;91(8):672-678.
 19. Ayuk AC, Eze JN, Edelu BO, Oguonu T. The prevalence of allergic diseases among children with asthma: What is the impact on asthma control in South East Nigeria? *Nigerian Journal of Clinical Practice*. 2018;21(5):632-638. doi:10.4103/njcp.njcp_343_17
 20. Naidoo RN, Robins TG, Batterman S, Mentz G, Jack C. Ambient pollution and respiratory outcomes among schoolchildren in Durban, South Africa. *SAJCH South African Journal of Child Health*. 2013;7(4):127-134. doi:10.7196/SAJCH.598
 21. Morgan BW, Siddharthan T, Grigsby MR, et al. Asthma and Allergic Disorders in Uganda: A Population-Based Study Across Urban and Rural Settings. *Journal of Allergy and Clinical Immunology: In Practice*. 2018;6(5):1580-1587.e2. doi:10.1016/j.jaip.2017.11.032
 22. Kirenga BJ, de Jong C, Katagira W, et al. Prevalence and factors associated with asthma among adolescents and adults in Uganda: A general population based survey. *BMC Public Health*. 2019;19(1). doi:10.1186/s12889-019-6562-2
 23. Mpairwe H, Namutebi M, Nkurunungi G, et al. Risk factors for asthma among schoolchildren who participated in a case-control study in urban Uganda. *Elife*. 2019;8. doi:10.7554/eLife.49496
 24. Odhiambo JA, Ng'ang'a LW, Mungai MW, et al. Urban-rural differences in questionnaire-derived markers of asthma in Kenyan school children. *European Respiratory Journal*. 1998;12(5):1105-1112. doi:10.1183/09031936.98.12051105
 25. *Senegal Demographic and Health Survey-Multiple Indicator Cluster Survey (EDS-MICS)*.; 2012. www.ansd.sn
 26. van Donkelaar A, Martin R v., Brauer M, et al. Global Estimates of Fine Particulate Matter using a Combined Geophysical-Statistical Method with Information from Satellites, Models, and Monitors. *Environmental Science and Technology*. 2016;50(7):3762-3772. doi:10.1021/acs.est.5b05833
 27. Oksanen T, Kivimäki M, Pentti J, Virtanen M, Klaukka T, Vahtera J. Self-Report as an Indicator of Incident Disease. *Annals of Epidemiology*. 2010;20(7):547-554. doi:10.1016/j.annepidem.2010.03.017
 28. Najafi F, Moradinazar M, Hamzeh B, Rezaeian S. The reliability of self-reporting chronic diseases: How reliable is the result of population-based cohort studies.

- Journal of Preventive Medicine and Hygiene*. 2019;60(4):E349-E353.
doi:10.15167/2421-4248/jpmh2019.60.4.1118
29. Wjst M, Boakye D. Asthma in Africa. *PLOS Medicine*. Published online February 27, 2007.
 30. Shin HH, Maquiling A, Thomson EM, Park IW, Stieb DM, Dehghani P. Sex-difference in air pollution-related acute circulatory and respiratory mortality and hospitalization. *Science of the Total Environment*. 2022;806.
doi:10.1016/j.scitotenv.2021.150515
 31. Adeloje D, Chan KY, Rudan I, Campbell H. An estimate of asthma prevalence in Africa: A systematic analysis. *Croatian Medical Journal*. 2013;54(6):519-531.
doi:10.3325/cmj.2013.54.519
 32. *Environmental Protection Agency National Ambient Air Quality Standards for Particulate Matter; Final Rule.*; 2013. <http://www.epa.gov/epahome/>
 33. World Bank. PM_{2.5} air pollution, mean annual exposure. Published 2017. Accessed March 26, 2022.
<https://data.worldbank.org/indicator/EN.ATM.PM25.MC.M3?end=2017&location=s=SN-GN-GW-ML-MR&start=1990&view=chart>