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SEISMIC CHARACTERISTICS OF THE EASTERN NORTH AMERICAN CRUST AND UPPER MANTLE: THE FORMATION AND EVOLUTION OF CONTINENTAL LITHOSPHERE

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**SEISMIC CHARACTERISTICS OF THE EASTERN
NORTH AMERICAN CRUST AND UPPER MANTLE: THE
FORMATION AND EVOLUTION OF CONTINENTAL
LITHOSPHERE**

**A Dissertation Presented
by
Cong Li**

Submitted to the Graduate School of the
University of Massachusetts Amherst in partial fulfillment
of the requirements for the degree of

DOCTOR OF PHILOSOPHY

February 2021

Department of Geosciences

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**SEISMIC CHARACTERISTICS OF THE EASTERN NORTH
AMERICAN CRUST AND UPPER MANTLE: THE FORMATION
AND EVOLUTION OF CONTINENTAL LITHOSPHERE**

A Dissertation Presented

by

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Their love and support mean the world to me!

ABSTRACT

Seismic Characteristics of the Eastern North American Crust and Upper Mantle: The Formation and Evolution of Continental Lithosphere

February 2021

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The impact of past tectonic events on formation and modification of continental lithosphere over the course of Earth's history remains as an open question of fundamental importance. Physical properties of continental crust and mantle lithosphere, such as their age, thickness, composition, temperature, and velocity, contain crucial information for informing this question. Eastern North America provides at least two complete records of supercontinent assembly and breakup over the past 1.3 Ga, serving as a natural laboratory for our understanding of continental lithosphere evolution and for integrating geologic and geophysical observations.

In this thesis, I have investigated the seismic properties of crust and upper mantle beneath eastern North America with different seismological methods. I first used teleseismic P-wave receiver function analysis to investigate the crustal thickness distribution beneath eastern North America, in order to explore the possible linkage between geologically-defined boundaries and the variation of crustal thickness. The results show a significant

Abstract

variation in the depths of the Moho and intra-crustal layers within and across the major tectonic units. Specifically, there are distinct differences in crustal thickness between the northern and southern Grenville Province. A dipping intra-crustal feature can be seen within the central Grenville Province, with the depth increasing eastward from 5 to 27 km. The Moho depth decreases southeastward across the Grenville-Appalachian boundary, with a sharp Moho offset of up to 12-15 km in the central segment and a more gradual variation to the north and south. The thickness difference between the southern and northern Grenville-aged crusts suggests different tectonic and/or exhumation histories during and after the Grenville Orogeny. The low-angle eastward dipping crustal feature is interpreted to be a Grenville-aged collisional structure. Differences in the steepness of the Moho offset along the strike of Appalachians probably reflect variation of the steepness of the subsurface boundary between Laurentia and accreted terranes with different intensities of post-orogenic modification. The observed spatial relation between the geologically defined tectonic boundaries and crustal thickness variations provides new constraints on the depth extent of the tectonic units within the crust.

I then used full-wave ambient noise tomography to construct a high-resolution velocity model beneath the southern part of eastern North American margin. The goal is to understand the impact of rifting-related tectonism on the modification of lithospheric structure. The velocity model demonstrates a clear crustal thickening over a transitional zone from ocean to continent, a lower-than-average mantle lithospheric velocity underlying the transitional crust, and a nearly vertical low-velocity column in the uppermost mantle beneath the Virginia volcanoes. I propose that the initial rifting formed the transitional

Abstract

oceanic-continental crust, and the underlying mantle lithosphere had been partially melted or thermally and chemically modified during and after rifting. A rifting-induced mantle convection flow, together with possible lithospheric delamination, may explain the low-velocity column and volcanism observed within the continental interior.

My study provides tight constraints on the crustal thickness distribution and lithospheric velocity variation beneath eastern North America. These seismic characteristics, together with constraints from other geological and geodynamic studies, have significant insights on the formation and modification of the eastern North American lithosphere through geologic time.

Abstract

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CHAPTER 1

Introduction

1.1. Importance of eastern North America on understanding of lithosphere evolution

The lithosphere is an outer rigid shell of Earth, which comprises the crust and uppermost mantle. It has experienced a complex process of evolution over the past ~4.5 Ga (Stanley, 1999). Based on the theory of plate tectonics, the Earth's lithosphere is composed of multiple plates which float on a ductile mantle. The rifting process can break up a continental plate into two pieces and form a new ocean basin between them. In the following stages, the two separated continental plates converge back together, leading to mountain building, subduction of oceanic slab, and ultimately the closure of ocean basin. The cycle of opening and closing ocean basins is commonly known as the Wilson cycle, which provides a basic mechanism for the Earth's dynamics.

A fundamental question remains concerning how past rifting and collisional events had formed and modified the structures of lithosphere over the course of geologic time. Eastern North America recorded at least two complete Wilson cycles from assembly of the supercontinent Rodinia to the formation of the modern Atlantic Ocean over the last 1.3 Ga (Heaman & Kjarsgaard, 2000; Thomas, 2006). A variety of geological and geophysical features associated with continental collisional and rifting processes have been well preserved in this region (Hatcher, 2010; Thomas, 2006; Li et al., 2018, 2020; Long et al., 2019; Lynner & Porritt, 2017). Eastern North America therefore serves as a superb setting to advance our understanding of the formation and evolution of lithosphere through geologic time.

24 **1.2. Geological history of eastern North America**

25 Eastern North America involves three major tectonic units (Figure 1.1 a), including the
26 North American craton (~3.8-1.3 Ga), the Grenville Province (~1.3-0.98 Ga), and the
27 Appalachian orogenic region (~495-280 Ma) (David et al., 2009; Hatcher, 2010;
28 McLelland et al., 2010, 2013). The North American craton was formed by the
29 amalgamation of several cratonic blocks, including the Archean Superior Craton, the
30 Paleoproterozoic Penokean Province, and the Mesoproterozoic Granite-Rhyolite Province
31 (Card, 1990; McLelland et al., 2010, 2013; Percival et al., 2004; Whitmeyer & Karlstrom,
32 2007). The Grenville Province involved a series of collisional and extensional events that
33 ultimately resulted in the assembly of the supercontinent Rodinia (Hynes & Rivers, 2010;
34 Rivers, 1997). The Grenville-aged crust experienced different degrees of extensional
35 collapse and erosion after amalgamation (Jamieson et al., 2010; McLelland et al., 2010,
36 2013). The breakup of Rodinia at ~750 Ma (Hatcher, 2010) led to rifting along the eastern
37 margin of the Grenville Province (Rimando & Benn, 2005).

38
39 The Appalachian orogen was formed during the assembly of the supercontinent Pangea
40 from approximately 495 to 280 Ma and involved a sequence of terrane accretion events
41 and the ultimate collision of Laurentia with Gondwana (Hatcher, 2010; van Staal et al.,
42 2009). In the northern Appalachian region, three major exotic terranes have been
43 recognized (Figure 1.1a): from oldest (inboard) to youngest (outboard), the Taconic belt
44 (and possible Moretown terrane), the Gander terrane, and the Avalon terrane (Hatcher,
45 2010; Karabinos et al., 2017; van Staal et al., 2009). In the southern Appalachians, two
46 major terranes have been recognized, including the Inner Piedmont terrane and the large

Chapter 1

exotic Carolina Superterrane (Thomas, 2006). After assembly, several stages of Mesozoic rifting and exhumation ultimately resulted in the modern continental margin (Hatcher, 2010; Thomas, 2006).

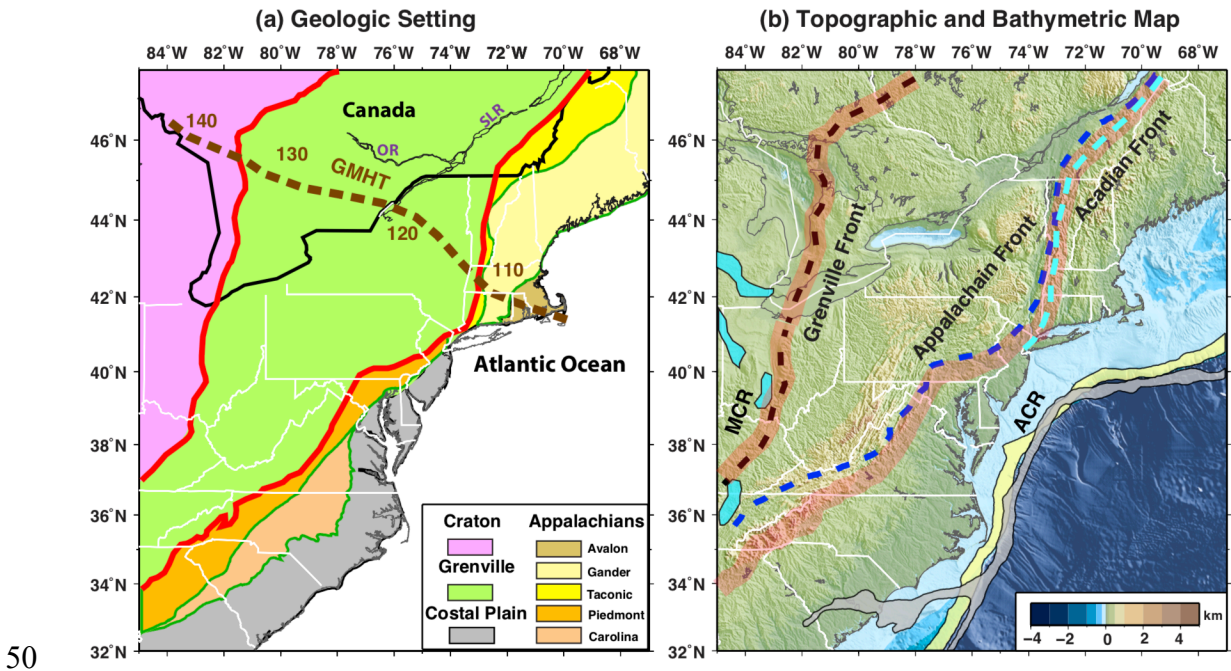


Figure 1.1 (a) Geological map showing the major tectonic units in eastern North America, modified after the lithotectonic map of the Appalachian orogen by Hibbard et al. (2006) and the United States Geological Survey basement domain map (<http://mrdata.usgs.gov/ds-898/>). The two thick red lines mark the inferred North American craton-Grenville boundary and the Grenville-Appalachian boundary. The dark green lines mark the boundaries between the tectonic subprovinces of Inner Piedmont-Carolina-coastal plain in the southern Appalachian region and Taconic Belt-Gander-Avalon in the northern Appalachian region. The brown dashed line indicates the proposed Great Meteor hot spot track (GMHT), marked with time in Ma. (b) Topographic and bathymetric map in eastern North America. The black, blue, and cyan dashed lines mark the Grenville deformation front, the Appalachian deformation front,

Chapter 1

62 *and the Acadian deformation front, respectively. The red shadow zones mark the*
63 *inferred major tectonic boundaries as shown by the red lines in (a). The cyan patches*
64 *denote the positive gravity anomaly associated with inferred eastern arm of the*
65 *Midcontinent Rift (MCR). The yellow and gray patches denote the positive gravity*
66 *anomaly and the East Coast magnetic anomaly, respectively, which mark the location of*
67 *Atlantic Continental Rift (ACR). The background color is the bathymetry/topography.*
68

69 Three first-order deformation fronts, the Grenville Front, the Appalachian Front, and the
70 Acadian Front, can be recognized in parts of eastern North America (Figure 1.1 b). The
71 Grenville Front follows the inferred tectonic boundary between the North American craton
72 and the Grenville Province (Figure 1.1 b). This front has been interpreted as a contractional
73 fault system, dating from the Grenville orogeny. It separates the low metamorphic-grade
74 North American craton from the high-grade outcrops in the Canadian Grenville Province
75 (McLelland et al., 2010). The Grenville Front has been traditionally assumed to extend
76 southward into Ohio and Kentucky in the United States (e.g., Stein et al., 2018a, 2018b),
77 where it is not exposed at the surface. The Appalachian Front is a structurally defined
78 boundary between rocks deformed or transported westward during Appalachian orogenesis
79 and flat-lying Paleozoic rocks and underlying Grenville-aged rocks to the west (Mount,
80 2014). Grenville-aged rocks have been interpreted beneath Paleozoic rocks to the east of
81 the Appalachian Front in the northern and southern Appalachians, suggesting the
82 subsurface extension of the Grenville terrane under accreted Appalachian elements (e.g.,
83 Cook & Vasudevan, 2006; Figure 1.1 b). The Acadian Front is the northern and central
84 Appalachian boundary between rocks (and structures) deformed or reactivated during the

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85 Devonian Acadian orogeny and rocks deformed by earlier Paleozoic or Precambrian events
86 (Bradley et al., 2000; Robinson et al., 1998). Acadian orogenesis has not been widely
87 recognized in the southern Appalachians (Hibbard & Karabinos, 2013).

88

89 In addition, two groups of gravity (and magnetic) anomalies are observed in eastern North
90 America, which have been interpreted to be associated with rifting processes over the past
91 1.3 Ga. The first group of positive gravity anomalies might represent the eastern arm of
92 1.1-Ga failed Midcontinent Rift (Stein et al., 2018a, 2018b), which extends from southern
93 Michigan and Ohio into Kentucky along the southern Grenville Front (cyan patches in
94 Figure 1.1b). This failed rifting promoted high-density mafic rocks into the crust, leading
95 to the observed gravity anomalies along the Grenville Front (Thomas & Teskey, 1994;
96 Stein et al., 2018a). The second group of gravity and magnetic anomalies marks the
97 location of offshore rifting which contributed to the opening of Atlantic Ocean at ~230 Ma
98 (Austin et al., 1990; Bonvalot et al., 2012; Kiltgord et al., 1988). Those anomalies align
99 approximately along the continental shelf (yellow and gray patches in Figure 1.1b).

100 Previous studies suggest that igneous rocks with magnetic materials underplated or
101 intruded into the crust along the passive margin during the Atlantic rifting, responsible for
102 observed gravity and magnetic anomalies (e.g., Austin et al., 1990; Holbrook et al., 1994).

103

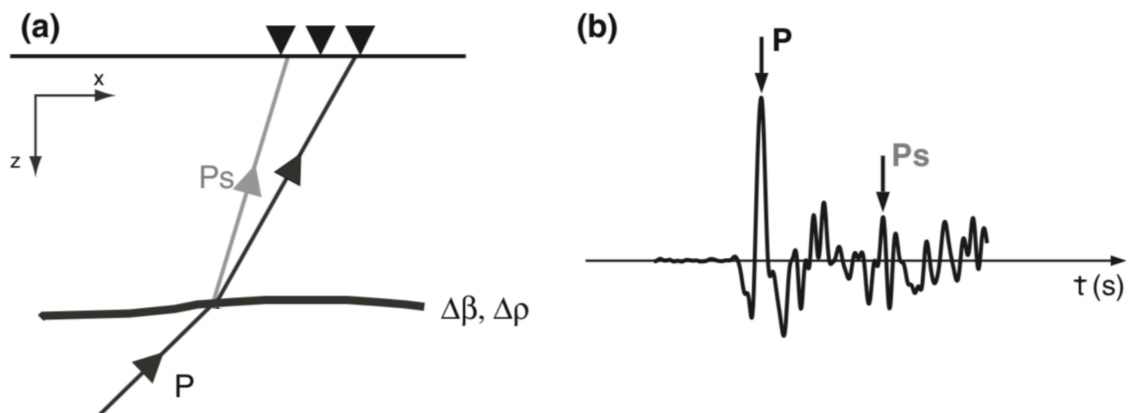
104 **1.3. Teleseismic receiver function analysis and full-wave ambient noise tomography**

105 In this thesis, we used teleseismic P-wave receiver function analysis to investigate the
106 crustal thickness variations beneath eastern North America and used full-wave ambient
107 noise tomography to image the lithospheric velocity structures.

108

109 Teleseismic P-wave receiver function analysis uses P-to-S converted wave to identify
 110 discontinuities of velocity (and density) in the Earth. Therefore, this method is appropriate
 111 for investigating variations of crust-mantle boundary. The principle of teleseismic receiver
 112 function analysis is rooted in the ray-theoretical assumption of seismic wavefield
 113 propagation over velocity discontinuities (Rondenay, 2009). When a teleseismic P-wave
 114 encounters a velocity discontinuity such as the crust-mantle boundary (the Moho), the
 115 upward incident P-wave will partition into converted P-to-S (Ps) wave (Figure 1.2a). An
 116 arrival time delay between P-wave and Ps-wave is expected to be observed in the
 117 seismograph, considering that the P-wave velocity is faster than the S-wave velocity
 118 (Figure 1.2b). This arrival time delay contains important information about the depth of
 119 discontinuity and about the P-wave and S-wave velocities. Furthermore, the relative
 120 amplitudes between these P-wave and Ps-wave can be used to estimate the magnitude of
 121 velocity change across the discontinuity (Ammon, 1991; Cassidy 1992; Rondenay, 2009).

122



123

124 **Figure 1.2. Schematic figures showing a) the partitioning of a P-wave incident upon a**
 125 **sub-horizontal discontinuity in S-wave velocity and/or density, and b) the resulting**

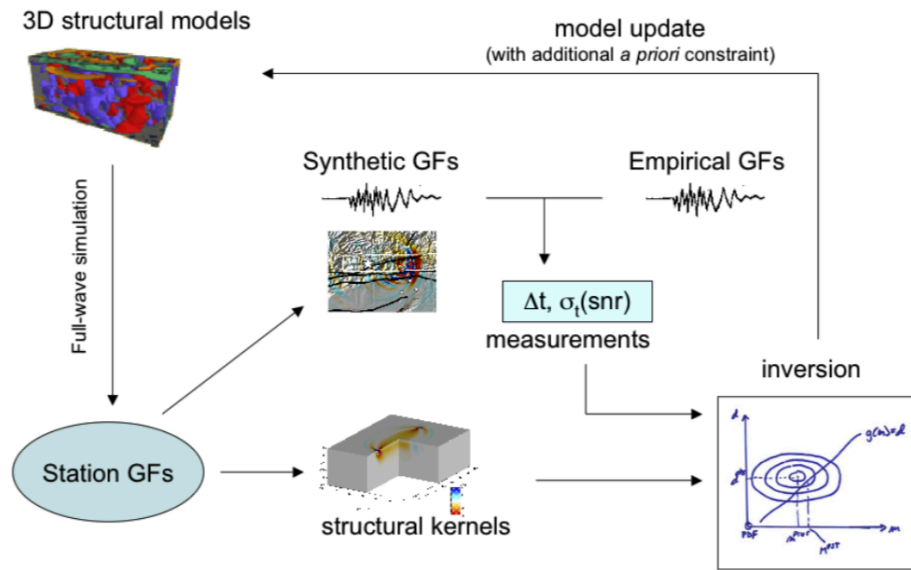
126 *seismogram. The converted, upward propagating S-wave is the basis of the receiver*
127 *function technique. The time delay between the incident P and converted Ps waves is*
128 *related to the depth of the discontinuity, whereas the amplitude of Ps relative to P is*
129 *indicative of the magnitude of the change of velocity and density. Modified from*
130 *Rondenay (2009).*

131

132 Tomography is a common technique in seismology for imaging the Earth's interior
133 structures. Traditional tomographic methods take advantage of arrival time and amplitude
134 of earthquake waves (including body waves and surface waves) in order to infer subsurface
135 velocity structures. The application of those methods therefore are limited by the
136 distribution of earthquakes (Thurber & Aki 1987; Montagner 1994; Das & Rai, 2016). In
137 addition, because the earthquake waves contain both information of subsurface medium
138 they go through and information of source function, the tomographic results from
139 traditional methods can be biased by the uncertainty of earthquake source estimation (Nolet
140 2008). Over last decades, an advanced approach known as ambient noise tomography has
141 been put forward and widely used. This method is based on ambient noise generated by
142 wind, ocean waves, rock fracturing and anthropogenic activities that constantly travel
143 through the Earth (Das & Rai, 2016). By cross-correlating long time sequences of ambient
144 noise recorded at two different seismic stations, seismologists can extract the information
145 of subsurface medium between the two stations (commonly called empirical Green's
146 Functions) without effects of source functions. The ambient noise tomography thus can
147 provide better constraints on the velocity structure of Earth in comparison with traditional
148 seismic tomography.

149

150 We briefly summarize the procedure of full-wave ambient noise tomography method in
 151 Figure 1.3. First, we extract the empirical Green's functions from the cross-correlation of
 152 continuous ambient noise between each station pair. Second, we simulate seismic
 153 wavefield based on a 3-D spherical velocity model and synthesize waveforms propagating
 154 between each station pair. Third, Rayleigh-wave phase delays can be measured between
 155 the observed empirical Green's functions and the synthetics at a sequence of overlapping
 156 periods. Forth, we calculate the 3-D, finite-frequency sensitivity kernels of Rayleigh waves
 157 and carry out the inversion for both P- and S-wave velocity perturbations. The reference
 158 model is then iteratively updated until the Rayleigh-wave phase misfits between the
 159 observed and synthetic waveforms are small enough and meet the threshold we set.



160

161 **Figure 1.3. Flow chart of full-wave ambient noise tomography. Modified from the user's**
 162 **manual of full-wave ambient noise tomography by Shen et al. (2011)**

163

164 **1.4. Current challenges**

165 Numerous studies have been conducted in eastern North America in order to characterize
166 seismic features within the crust and upper mantle, including active-source seismic surveys
167 (e.g., Ando et al., 1984; Hennen et al., 1991; Hughes & Luetgert, 1991, 1992), P-wave and
168 S-wave receiver function analysis (e.g., Hopper et al., 2017, 2018; Long et al., 2019; Parker
169 et al., 2015), seismic tomography imaging (e.g., Biryol et al., 2016; Lynner & Porritt, 2017;
170 Mustelier & Menke, 2020; Savage et al., 2017; Wagner et al., 2018), seismic anisotropy
171 analysis (e.g., Long et al., 2010, 2016; Lynner & Bodmer, 2017; Wagner et al., 2012) and
172 seismic attenuation analysis (e.g., Byrnes et al., 2019; Dong & Menke, 2017). However,
173 those studies are either regional-scale or are lack of high enough resolution. As a result, a
174 challenge remains concerning how seismologists can provide high-resolution, systematic
175 constraints on lithospheric features for the entire eastern North American region. The
176 Earthscope Transportable Array (IRIS Transportable Array, 2003) and its retaining subset
177 (UC San Diego, 2013) deployment in eastern North America in 2013-2015, together with
178 many other regional seismic networks, has significantly increased the spatial density of
179 broadband observations. This provides a new opportunity to investigate crustal and upper
180 mantle structures beneath eastern North America with a high resolution.

181

182 Another challenge is how geoscientists can integrate various geologic and geophysical
183 constraints to understand the formation and evolution process of lithosphere beneath
184 eastern North America. For example, seismic tomography imaging is more sensitive to
185 volumetric velocities, while receiver function analysis is more sensitive to rapid variations
186 of velocity across a discontinuity. Therefore, these two observations provide

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187 complementary constraints on the lithospheric structures beneath eastern North America.
188 In addition, geologic mapping identifies different tectonic terranes and terrane boundaries
189 at the surface, and geochronology studies provide further temporal constraints on these
190 terrane boundaries. Combination of different geologic and geophysical observations thus
191 will give comprehensive insights on the evolution process of lithosphere beneath eastern
192 North America in both temporal and spatial domains.

193

194 **1.5. Scope of this thesis**

195 The overall goal of this thesis is to understand the impact of past tectonic events on the
196 formation and modification of lithosphere beneath eastern North America. In order to
197 achieve this goal, we investigate the crustal thickness variations beneath eastern North
198 America and image lithospheric velocity distribution beneath the southern part of eastern
199 North American margin. We then interpret those seismic observations by integrating
200 geologic and other geophysical evidences. This thesis is organized as follows:

201

202 In **Chapter 2**, we image the distribution of crustal thickness beneath the northern
203 Appalachian mountains using teleseismic P-wave receiver function analysis. The goal of
204 this chapter is to explore the possible relationship between geologically defined tectonic
205 boundaries and variations of crustal thickness. This chapter has been published in
206 Geophysical Research Letters (Li, C., et al. 2018). In **Chapter 3**, we further investigate the
207 variations of Moho depth and intra-crustal layers beneath the entire eastern North
208 American region. The specific questions we address include: 1) Which geologically
209 defined tectonic boundaries can be correlated with subsurface gradients or boundaries in

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210 three-dimensional seismic models? 2) What constraints can seismic data place on the
211 timing and history of these boundaries? This chapter has been published in Journal of
212 Geophysical Research: Solid Earth (Li, C., et al., 2020). In **Chapter 4**, we construct a high-
213 resolution velocity model beneath the southeastern United States by integrating offshore
214 and onshore seismic data using full-wave ambient noise tomography. We focus on the
215 question concerning how past tectonic events had modified the lithospheric velocity
216 structures in the southern part of eastern North America margin. This chapter has been
217 submitted into Geophysical Research Letters and is under review. In **Chapter 5**, the main
218 results of this thesis and proposed future research directions are summarized and discussed.

CHAPTER 2

Crustal thickness variation in the northern Appalachian mountains: Implications for the geometry of 3-D tectonic boundaries within the crust (Li, C., Gao, H., Williams, M. L., & Levin, V. (2018). *Crustal thickness variation in the northern Appalachian Mountains: Implications for the geometry of 3-D tectonic boundaries within the crust. Geophysical Research Letters*, 45, 6061–6070. <https://doi.org/10.1029/2018GL078777>)

2.1. Introduction

The northern Appalachian Mountains include a series of iconic orogenic belts, which have recorded two complete Wilson Cycles from the assembly of the (~1000 Ma) supercontinent Rodinia to the formation of the modern Atlantic Ocean (Heaman & Kjarsgaard, 2000; Thomas, 2006). The first Wilson Cycle began with the assembly of Rodinia, which may have established the fundamental oroclinal geometry of the subsequent collisional margin (Rivers, 2015; Thomas, 1977, 2006). The breakup of Rodinia opened the Iapetus Ocean by 530 Ma (Thomas, 2006). The second Wilson Cycle started at ~480 Ma with a sequence of accretion events involving continental and oceanic terranes (Hatcher, 2010; van Staal et al., 2009). These accreted terranes, from west to east, can be divided into at least three parts (Figure 2.1): the Taconic belt, a peri-Laurentian element interpreted to have been accreted during the Ordovician Taconic orogeny; the peri-Gondwanan Gander terrane (\pm Moretown terrane) that occupies a large part of central New England and may have accreted in the Salinic orogeny; and the Avalon terrane, accreted during the Acadian orogeny (Hibbard et al., 2006, 2007; Karabinos et al., 2017). Subsequent collisional events involved the accretion of the Meguma Terrane (outboard of Avalon) and ultimately collision with

Gondwana during the Alleghenian orogeny (Domeier, 2016; van Staal et al., 2009). After assembly, several stages of rifting, exhumation, and possibly orogenic collapse ultimately led to the establishment of the modern passive continental margin by ~180 Ma (e.g., Dorais et al., 2012; Hatcher, 2010; van Staal et al., 2009).

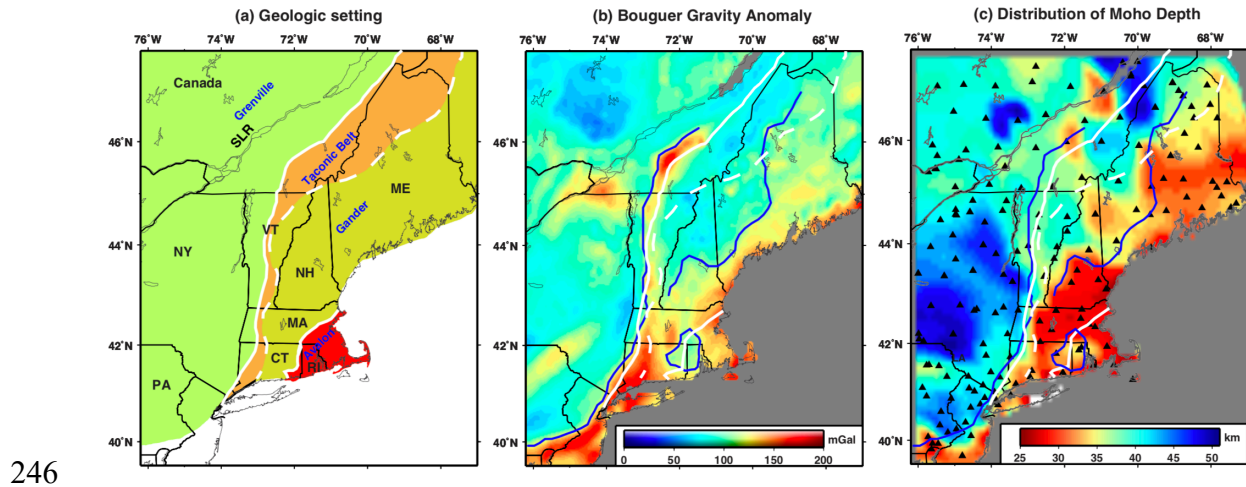


Figure 2.1. (a) Geological map showing the major tectonic units in the northern Appalachian Mountains, modified after the lithotectonic map of the Appalachian orogen by Hibbard et al. (2006) and the United States Geological Survey basement domain map (<http://mrdata.usgs.gov/ds-898/>). SLR = St. Lawrence River. Black lines mark state boundaries. The white solid lines mark the interpreted Grenville-Taconic and Gander-Avalon boundaries, and the white dashed line is the proposed eastern boundary of Taconic belt by Hibbard et al. (2006) and Karabinos et al. (2017). (b) Distribution of Bouguer gravity anomalies from Bonvalot et al. (2012). (c) Distribution of the Moho depth (in kilometers) extracted from the common conversion point stacking. Black triangles mark the broadband seismic stations used in the calculation of receiver functions. The solid blue lines in Figures 2.1b and 2.1c highlight the sharp gradient in Bouguer gravity discussed in the text.

259

260 Geological and geophysical studies have been done to characterize the three-dimensional
261 geometry of the major tectonic units in the Appalachian orogenic belt. The lithotectonic
262 map by Hibbard et al. (2006) provides a first-order compilation of the configuration of
263 tectonic terranes and terrane boundaries in New England and their correlation with the
264 northern and Canadian Appalachian Mountains. The crustal structure has been studied by
265 seismic refraction and reflection methods (e.g., Ando et al., 1984; Henket et al., 1991;
266 Hughes & Luetgert, 1991, 1992), and by passive seismic methods including receiver
267 function (RF) analysis, wave propagation, tomographic imaging, and surface wave
268 dispersion analysis (e.g., Levin et al., 1995, 2017; Li et al., 2002; Shalev et al., 1991; Taylor
269 & Teksöz, 1982; Viegas et al., 2010). However, due to the relatively sparse station
270 coverage, none of these previous studies was able to characterize the regional-scale crustal
271 seismic structure of the northern Appalachian region. Debates remain about the subsurface
272 extent of the accreted terranes. For example, how does the character of the terranes change
273 along and across orogenic strike, and to what degree do geologically defined surface
274 terranes correlate with variations of seismically defined crustal structure? To answer these
275 questions, a well- constrained three-dimensional crustal model is needed.

276

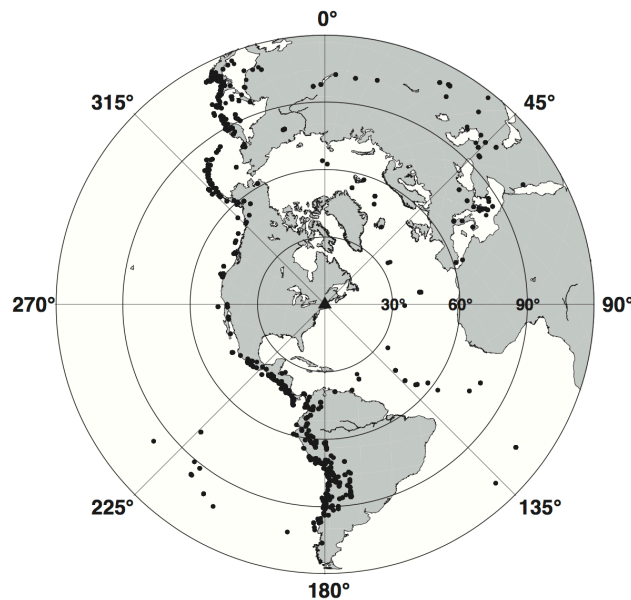
277 The Earthscope Transportable Array (IRIS Transportable Array, 2003) deployment in
278 eastern North America in 2013-2015, retention of many sites by the Central and Eastern
279 United States (UC San Diego, 2013), together with many other regional seismic networks
280 (see supporting information), has significantly increased the spatial density of broadband
281 observations. This provides a new opportunity to investigate the crustal and upper mantle

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structure. Here we use teleseismic P-wave RFs to explore the crustal thickness variation from the Atlantic coast to the Grenville Province. The goal of this study is to constrain the geometry of the Moho and crustal thickness of New England, and ultimately to improve our understanding of the impact of past tectonic events on the crustal structure.

2.2. Data and methodology

A total of 87 permanent and 109 temporary broadband seismic stations have been used in this study (see the station distribution in Figures 2.1c and A.1). Descriptions of the various seismic networks are provided in the supporting information. The well-distributed coverage of the broadband seismic stations makes it feasible to obtain a regional-scale Moho geometry using teleseismic RFs. The waveform data were collected from the Incorporated Research Institutions for Seismology Data Management Center for a total of 688 high-quality earthquake events from 1995 to 2016 with body wave magnitude larger than 5.4 at epicentral distance of 30° - 95° . The selected teleseismic events demonstrate good back azimuthal coverage from the NW and SW quadrants for the direct P-waves (Figure 2.2), although there are fewer events from the NE and SE quadrants.



298 *Figure 2.2. Event locations and back-azimuthal coverage for direct teleseismic P-wave*
 299 *arrivals from 1995 to 2016 used for the calculation of receiver functions. The black*
 300 *triangle marks the center of the research region.*

301

302 The P-wave RFs were obtained using the water level frequency-domain deconvolution
 303 method (Ammon, 1991; Langston, 1979; see supporting information). We implemented
 304 three steps to evaluate and control the quality of the observed radial-component RFs, based
 305 on the primary P_{ms} phases. First, we visually inspected all of the resulting RFs and
 306 manually selected only RFs with signal-to-noise ratios equal to or greater than 3. The signal
 307 is referred to as the maximum positive amplitude within the 2-7 s window after the direct
 308 P arrival, and the noise is defined as the standard deviation of the 2-10 s waveforms prior
 309 to P arrival (see definition in Figure A.2). Second, for each station, data were evaluated to
 310 insure that the pattern of the P_{ms} phase is consistent within similar back azimuth directions.
 311 Third, selected RFs were filtered within multiple frequency bands, ranging from 0.05-0.75
 312 Hz and 0.1-1.0 Hz to 0.2-1.2 Hz, to check the stability of the P_{ms} phases. In total, we
 313 selected 5875 RFs. Most long-running stations record high-quality RFs with event numbers
 314 ranging from 20 to 190 (Figure A.3 and Table A.1). Some stations, such as LD.PTNY,
 315 LD.CFNY, and LD. BNY, have only been operating in recent years, resulting in fewer
 316 events selected. The number of RFs for the temporary broadband stations varies within a
 317 range of 2-60 (see a detailed description in Table A.1), depending on the operation duration
 318 and the data quality. We excluded three EarthScope Transportable Array stations, D62A,
 319 E59A, and F62A, due to the poor quality of observed RFs.

320

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321 The time moveout was applied for the observed RFs with a reference slowness of 0.058
322 s/km and the IASP91 model in order to correct the impact of ray parameter on P_{ms} arrival
323 time (Park & Levin, 2016; Yuan et al., 1997; see Figure 2.3). After moveout corrections,
324 individual radial-component RFs from all back azimuths were stacked to represent the
325 average RF for each station. Although the RF patterns at most stations are back azimuth
326 dependent to some extent (Figures 2.3, A.4 and A.5), stacking reduces random variations,
327 increases the signal-to-noise ratio, and highlights the primary phases from major velocity
328 discontinuities. We then automatically picked the time of the maximum positive amplitude
329 of the stacked RF within the time window of 2.5-6.5 s as the average P_{ms} arrival for each
330 site. The uncertainty of the average P_{ms} arrival is defined as the standard error of the P_{ms}
331 arrival times from all the RF events at each station.

332

333 To illustrate some of the main features of the radial-component RFs, we describe two
334 permanent stations, US. PKME and NE.BRYW, which demonstrate clear and robust P_{ms}
335 conversions (see Figures 2.3, A.4 and A.5). For station US. PKME in central Maine, a
336 consistent P_{ms} signal can be observed at ~ 3.7 s from all directions, indicating a uniform
337 Moho depth beneath this station. Station NE.BRYW located in Rhode Island shows a clear,
338 distinct P_{ms} arrival at 3.7-4.6 s. We observe an obvious shift of the P_{ms} signal from ~ 4.0 s
339 for events coming from the north to ~ 4.5 s for events coming from the south. The variation
340 and dependence of the P_{ms} phase on the back azimuth as observed in this study may be
341 related to a dipping Moho or a combination of dipping Moho and anisotropy. Strong
342 lithospheric anisotropy has been observed beneath eastern North America (e.g., Long et
343 al., 2017; Viegas et al., 2010). However, anisotropy alone would only cause a small amount

of time shift of the P_{ms} phase from different back azimuths (Levin & Park, 1997). Directional variation in P_{ms} time on the order of 0.5 s likely requires lateral changes in the Moho depth beneath the site, for example, due to systematic dip. Better directional coverage and the use of transverse component RFs can help resolve such complications for individual sites (see examples in Figures A.4 and A.5). However, this effort falls outside the scope of our regional survey of Moho properties and will be a subject of a follow-up study.

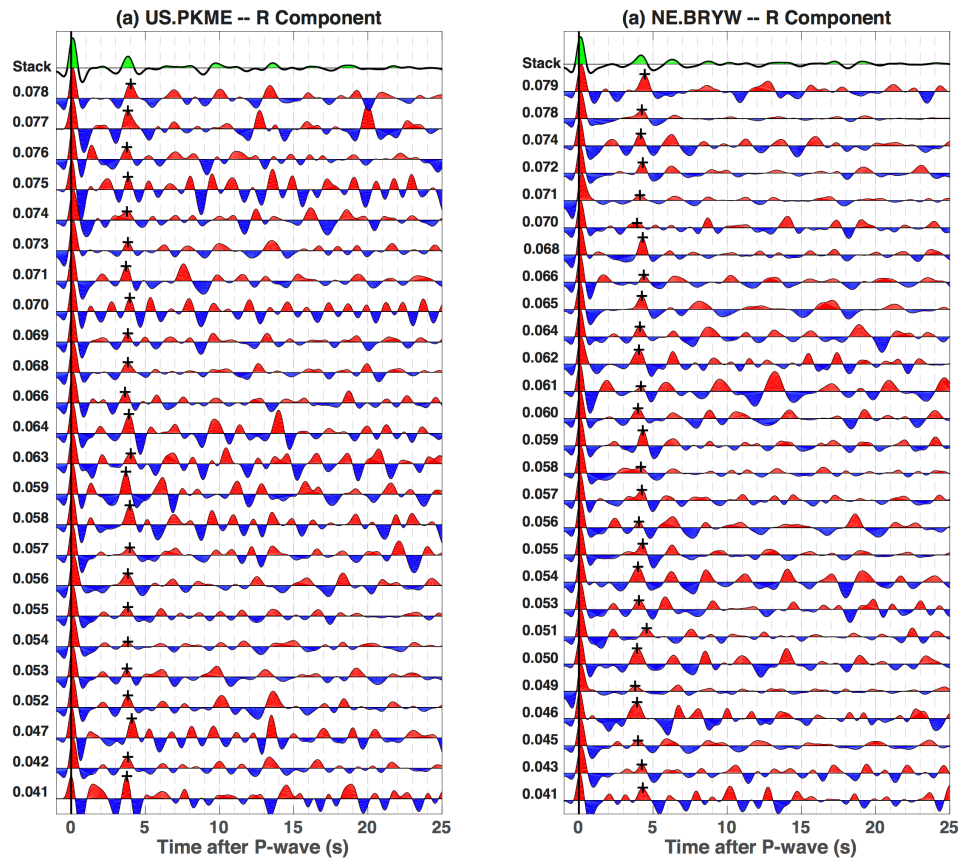


Figure 2.3. Examples of normalized radial-component receiver functions for stations US.PKME and NE.BRYW, sorted by ray parameters. A positive phase (filled with red color) corresponds to a sharp velocity increase with depth. The waveforms are filtered at 0.1-1.2 Hz. The cross signs denote the automatically picked P_{ms} arrival times. The top

356 *one is the stacked receiver function from all the back-azimuth directions at each station.*

357 *See station locations in Figure 2.4a.*

358

359 The RFs were converted to depth using the common conversion point stacking method
360 (Hansen & Dueker, 2009; see supporting information), with the three-dimensional
361 reference velocity model of Shen and Ritzwoller (2016). Shen and Ritzwoller (2016)
362 assumed a constant V_p/V_s ratio of 1.75 in the crust and uppermost mantle. However, the
363 EarthScope Automated Receiver Survey (<http://ears.iris.washington.edu>) shows that the
364 V_p/V_s ratio varies within a wide range of 1.6-2.1 in the northeastern United States. In order
365 to examine the impact of V_p/V_s ratio on Moho depth estimation, we tested simple RF
366 forward models using the method by Frederiksen and Bostock (2000). Given a P_{ms} arrival
367 time of 4.5 s, the Moho depth would be 35 km for a crustal V_p/V_s ratio of 1.7 and 39.5 km
368 for a V_p/V_s ratio of 1.9, respectively (Figure A.6). Therefore, a ± 4.5 km uncertainty of the
369 Moho depth is expected due to the V_p/V_s ratio alone. We also plotted the piercing point
370 density of the radial-component RFs to demonstrate the spatial resolution of the Moho
371 depth variations (Figure A.7). At 20 km depth, the common conversion point stacking
372 mainly samples the structure directly beneath the seismic station. At 40-km depth, the
373 average RF aperture is about 19 km, similar to the results of Rondenay (2009).

374

375 **2.3. Results**

376 In this chapter, we focus on the analysis of the P_{ms} arrival times extracted from the stacked
377 RFs and the corresponding Moho depth, in order to characterize the variation in crustal
378 thickness in our study area. The P_{ms} time and Moho depth vary from 3 to 6 s and from 27

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379 to 52 km, respectively (Figures 2.1c, 2.4a, and A.8). A decrease in crustal thickness can be
380 observed from the Appalachian Plateau toward the Atlantic coast, consistent with previous
381 studies (e.g., Gaherty et al., 2011; Li et al., 2002; Savage et al., 2017; Schmandt et al.,
382 2015; Shen & Ritzwoller, 2016). The lateral distribution of the Moho depth is roughly
383 correlated with the surface topography (Figure A.1) and anticorrelated with the Bouguer
384 gravity anomaly (Figure 2.1b). In general, a lower Bouguer gravity reflects a thicker and/or
385 less dense crust with a higher elevation and vice versa (Li et al., 2003). For example, the
386 thick crust beneath the Appalachian and Laurentian Plateaus correlates with a lower
387 Bouguer gravity anomaly and higher elevation, while the coastal plain has the thinnest crust
388 with a relatively higher Bouguer gravity anomaly and lower elevation.

389

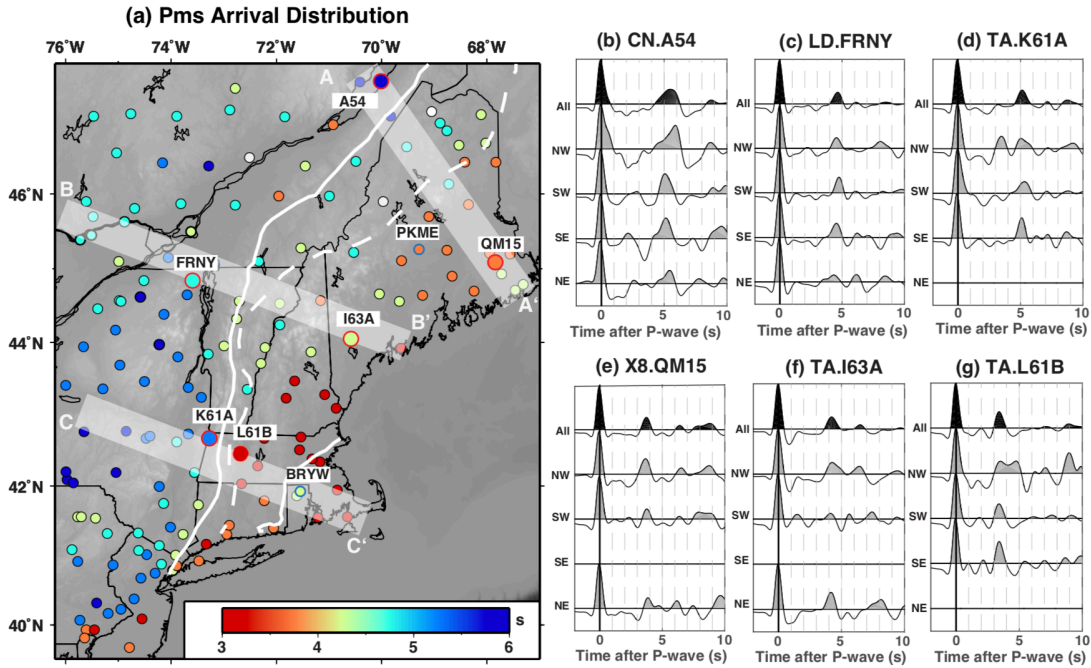
390 We selected three profiles (Figure 2.5) that are nearly perpendicular to the orogenic strike
391 in order to demonstrate the Moho depth variations from the Grenville Province to the
392 accreted terranes along each profile and variations within each tectonic unit by comparing
393 the three profiles. We also provide a NE-SW trending profile in supporting information
394 Figure A.9 to show the Moho depth variations along the strike of the Appalachian terranes.
395 Both the P_{ms} time and the Moho depth demonstrate a much sharper east-west gradient in
396 the southern part (Figure 2.4; section CC' in Figure 2.5) than in the northern part of the
397 region (Figure 2.4; sections AA' and BB' in Figure 2.5). We observe an offset of the Moho
398 depth of ~15 km within a narrow zone in western Connecticut, western Massachusetts, and
399 southern New Hampshire. For example, the Moho depth is about 45 km and 30 km at
400 stations TA.K61A and TA.L61B that are only 70 km apart (section CC' in Figure 2.5).
401 Note that the actual offset of the Moho depth may differ slightly as our estimate here is

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402 based on the constant V_p/V_s ratio used for the time-depth conversion. According to the
403 EarthScope Automated Receiver Survey (<http://ears.iris.washington.edu>), V_p/V_s values in
404 this region are between 1.7 and 1.85, thus station-to-station variation in Moho depth due to
405 changes in this parameter cannot exceed 4 km. In contrast, the Moho depth varies more
406 gradually beneath the northern part of the study area. The depth increases on the order of
407 5-10 km, and the transition appears to be more gradational in northern New England and
408 southeastern Canada compared to southern New England (Figures 2.1c, 2.4, and A.8). For
409 example, between sites CN.A54 and X8.QM15, the Moho depth changes from 47 km to
410 35 km over a distance of ~300 km.

411

412 High lateral resolution derived from the relatively dense coverage of seismic sites
413 highlights small-scale Moho variations. The Moho depth is less than 30 km in southern
414 New England and eastern Maine, and is deeper in northern New Hampshire and western
415 Maine, resulting in a distinct sub-rectangular downward deflection of the Moho along the
416 Atlantic coast (Figures 2.1c and 2.4a). This observed seismic feature is well correlated with
417 the low Bouguer gravity anomaly (Figure 2.1b). A deepening of the Moho was also
418 indicated by previous seismic studies (Hennet et al., 1991; Hughes & Luetgert, 1991;
419 Spencer et al., 1989) but was not well constrained. Local Moho maxima are imaged beneath
420 northern Rhode Island (~35 km; see Figures 2.1c and 2.5) and northwest of the St.
421 Lawrence River in southeastern Canada (~50 km, see Figures 2.1c and 2.5; Levin et al.,
422 2017; Petrescu et al., 2016). Beneath northeasternmost Pennsylvania, a localized Moho
423 minimum (~35 km) corresponds with a local gravity high.



424

425 **Figure 2.4. (a) Distribution of P_{ms} arrival time (in seconds), automatically picked from**
 426 **the stacked radial receiver functions for each seismic station. Warm colors denote**
 427 **relatively smaller P_{ms} arrivals; cold colors denote larger P_{ms} arrivals. White dots mark**
 428 **stations with poor-quality data. The three shaded zones are profile locations shown in**
 429 **Figure 2.5. The larger dots mark the locations of six selected seismic stations with RFs**
 430 **provided in Figures 2.4b-2.4g (also shown as yellow dots in Figure 2.5). (b-g)**
 431 **Representative radial RFs along AA', BB', and CC' showing variation of P_{ms} arrivals.**
 432 **The RFs are stacked within each quadrant, ranging within 0-90° (NE), 90-180° (SE),**
 433 **180-270° (SW), and 270-360° (NW), and from all the back azimuth directions.**
 434 **Waveforms are filtered at 0.1-1.2 Hz. RFs = receiver functions.**

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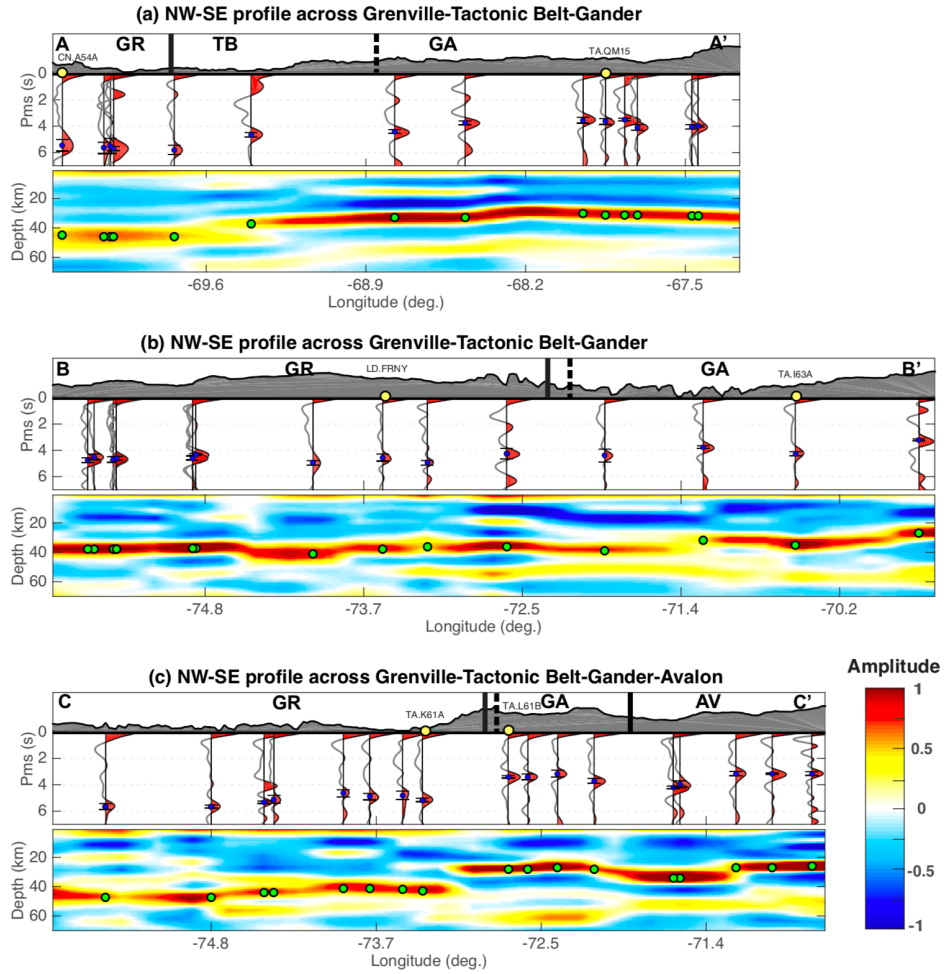


Figure 2.5. Cross sections of stacked radial receiver functions in the time domain along the three profiles (top) and corresponding depth profiles from the common conversion point stacking (bottom). Grey filled lines represent the Bouguer gravity anomalies and major tectonic units boundaries are marked by solid/dashed black lines above each profile. Blue dots denote the P_{ms} arrival times with estimated uncertainties, and green dots denote Moho depth. AV = Avalon; GA = Gander; TB = Taconic belt; GR = Grenville Province.

446

447 **2.4. Discussion**

448 Our RF results demonstrate Moho depth variations both across and along the orogenic
449 strike in the northern Appalachian Mountains. Generally, the average P_m s time and Moho
450 depth vary from 4 to 6 s and from 40 to 55 km within the Grenville Province, and 3 to 4.3
451 s (25 to 35 km) within the accreted terranes (Gander and Avalon; Figures 2.1c, 2.4a, and
452 A.8). In the southern part, the NE-SW trending distribution of the Moho depth roughly
453 follows the trend of major tectonic boundaries (Hibbard et al., 2006). A clear east-west
454 gradient of Moho depth is observed across the eastern margin of the exposed Grenville
455 (Laurentian) basement (section CC' in Figure 2.5). The Moho depth is greater than 45 km
456 in New York within Grenville crust and is less than 30 km across the interpreted Grenville-
457 Taconic Belt boundary (Figures 2.1c and 2.4a). In southern New England, the Moho depth
458 decreases from Grenville to Gander by at least 15 km over a relatively small (70 km)
459 horizontal distance (section CC' in Figure 2.5). The correspondence between the sharp
460 Moho depth variation and the Bouguer gravity anomaly variation (Figure 2.1) further
461 supports the presence of such a distinct crustal thickness change. Only subtle Moho
462 variations are seen farther east, across the Gander and Avalon terranes, with a possible
463 exception of a locally deeper Moho in northernmost Rhode Island.

464

465 Several key aspects of the dramatic east-to-west step in Moho depth are important for any
466 interpretation. First, the magnitude of the step decreases from southern to northern New
467 England, from ~15 km in the south to approximately 5 km in the north. Second, the location
468 of the Moho step progressively diverges from the exposed Grenville basement moving

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469 northward, roughly corresponding with the widening of the Appalachian orogen from
470 Massachusetts and Connecticut to northern Maine and southeastern Canada. Interestingly,
471 as the Moho step diverges from the Grenville basement northward, it also diverges from
472 the Bouguer gravity anomaly that defines the Appalachian front (Figure 2.1b). The
473 divergence is clear despite the fact that, to some extent, the spatial correlation between
474 Moho depth and surface geology in northern New England is complicated by local
475 anomalies (Figure 2.1c), such as the “subrectangular” downward deflection of the Moho
476 within northern New Hampshire and western Maine (section BB’ in Figure 2.5).

477

478 A variety of tectonic processes, at different times in the geologic history, might have
479 contributed to the steep Moho step in southern New England. The step might represent late
480 Paleozoic differential uplift and exhumation of the orogen (Harrison et al., 1989; Wintsch
481 et al., 2003), or it might reflect changes in crustal thickness due to Mesozoic rifting or
482 underplating (Li et al., 2002). However, the close association of the Moho step with the
483 inferred Grenville-to-accreted-terranes boundary and the parallelism with orogenic strike
484 suggest that it may ultimately be related to the fundamental accretionary boundary between
485 Laurentian basement and the exotic terranes. A study of regional seismic wave propagation
486 from an unusually large earthquake in the Adirondacks (Viegas et al., 2010) suggested that
487 the average V_p/V_s ratio and the thickness are about 1.73 and 35 km for the Appalachian
488 crust and 1.80 and 42 km for the Grenvillian crust, respectively. Using RF analysis, Levin
489 et al. (2017) also noted systematic differences in V_p/V_s ratios and crustal thickness values,
490 with Appalachian terranes showing much larger scatter in both parameters, while the region
491 of Grenville Province has a near-uniform V_p/V_s of ~ 1.75 . The difference in V_p/V_s ratio

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492 and crustal thickness has been directly attributed to the difference in crustal compositions
493 as the Grenvillian crust is more mafic than the Appalachian crust (e.g., Musacchio et al.,
494 1997). Due to the sparse coverage of seismic stations within southwestern New England,
495 it is not possible to trace a crustal boundary through the crust from the surface to the Moho.
496 However, we suggest that the distinct Moho offset in southern New England corresponds
497 with a nearly vertical or steep eastward dipping Grenville-Taconic terrane boundary.
498 Previous seismic reflection/refraction studies also suggested the presence of such an
499 eastward dipping boundary (Ando et al., 1984; Hughes & Luetgert, 1991). It should be
500 noted that postglacial rebound certainly contributed to the long-wavelength Moho
501 variation. However, the magnitude would be on the order of hundreds of meters, rather
502 than kilometers (Sella et al., 2007), and the magnitude would be expected to increase from
503 south to north, opposite from the observed Moho step in New England.

504

505 The northward divergence of the Moho step from the Appalachian front and the decrease
506 in the magnitude of the step roughly correspond with the widening of the Appalachian
507 orogeny in northern New England. The narrowness of the orogeny in southern New
508 England, at least to some degree, probably reflects differences in the style and intensity of
509 the overprinting Acadian, post-Acadian, and Alleghenian tectonism in the south relative to
510 the north (Figures 2.6 and A.10). If the Moho step does correspond with the eastern margin
511 of Laurentian crust, it seems likely that the steepness and magnitude of the step in the south
512 may reflect the cumulative effects of subsequent tectonic events. That is, the boundary may
513 have been repeatedly reactivated (and steepened?) during subsequent collisional pulses as
514 has been interpreted in surface geology (Cheney & Brady, 1992; Stanley & Ratcliff, 1985).

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515 The boundary in the south may have been further complicated by strike-slip motion during
516 Acadian and post-Acadian tectonism and possibly by differential exhumation and crustal
517 thinning during Mesozoic extension (Thomas, 2006). The lateral offset between the Moho
518 step and the Appalachian front in the north may be a more accurate reflection of the original
519 character of the accretionary boundary. The offset in northern Maine would suggest a dip
520 of approximately 20° to the east, consistent with the hypothesis of an eastward dipping
521 Laurentian margin (van Staal & Barr, 2012).

522

523 The lack of a distinct Moho variation between the Avalon and Gander terranes may suggest
524 a similar crustal (and lithospheric) composition between Avalon and Gander than between
525 Grenville basement and accreted terranes (Musacchio et al., 1997; Wintsch et al., 2003).
526 As suggested by many previous studies (e.g., Fischer, 2002; Musacchio et al., 1997;
527 Williams et al., 2014), the crust beneath the Grenville Province may be made denser by
528 composition changes after formation of the continental lithosphere. Alternatively, the
529 Avalon-Gander boundary may have a complex geometry (for example, see Wintsch et al.,
530 2014) that did not (in combination with younger events) result in Moho depth variation.
531 The locally deepened Moho in northernmost Rhode Island may be associated with the
532 boundary, but this cannot be rigorously evaluated at this time due to lack of dense onshore
533 and offshore geophysical data coverage. Other possible candidates for this local Moho
534 deepening would include subsequent partial melting or magmatic underplating related to
535 Mesozoic rifting, which might be supported by the extensive exposure of volcanic rocks in
536 northwestern Rhode Island (Maria & Hermes, 2001).

537

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538 There are several possible interpretations for the sub-rectangular Moho depression in
539 northern New Hampshire and western Maine. One possibility is that the anomaly may
540 represent the eastward dipping Grenville/Laurentian margin that is offset approximately
541 200 km east of the margin to the north or south. The offset would be controlled by early
542 Cambrian transform faults associated with the late Proterozoic rifted margin of Rodinia
543 (Allen et al., 2009; McHone & Butler, 1984; Thomas, 2006). The presence of a Grenvillian
544 geochemical signature in White Mountain plutons of northern New Hampshire might
545 support this hypothesis (Dorais & Paige, 2000). Alternatively, the local Moho may have
546 been modified by younger events, such as magmatic underplating or partial melting in the
547 lower crust during Paleozoic accretion or even Mesozoic rifting (Hughes & Luetgert, 1991;
548 Kuiper, 2016). Local magmatism has been suggested considering the extensive exposure
549 of the White Mountain magma series in northern New Hampshire and southern Maine
550 (Dorais & Paige, 2000).

551

552 The origin of crustal thickness variation and Moho steps under New England also has
553 implications for the mantle lithosphere. If the Moho step in southern New England does
554 correspond with the eastern edge of Laurentian crust, then the steep angle of the boundary
555 makes it unlikely that southern New England is underlain by Laurentian mantle lithosphere.
556 Instead, the various terranes may have arrived with their own exotic mantle lithosphere. If
557 so, the modern lithosphere may be quite heterogeneous. Variations of lithospheric
558 thickness and seismic characteristics have been interpreted across the major tectonic
559 boundaries (e.g., Menke et al., 2016). Alternatively, the crustal fragments may have arrived
560 without stable lithosphere, or the lithosphere may have been removed (delaminated) during

the accretion process (e.g., Levin et al., 2000). If so, it is possible that the current lithosphere grew after accretion of the peri-Gondwanan terranes. Such a lithosphere would be distinct from that under Laurentia but similar across the accreted terranes.

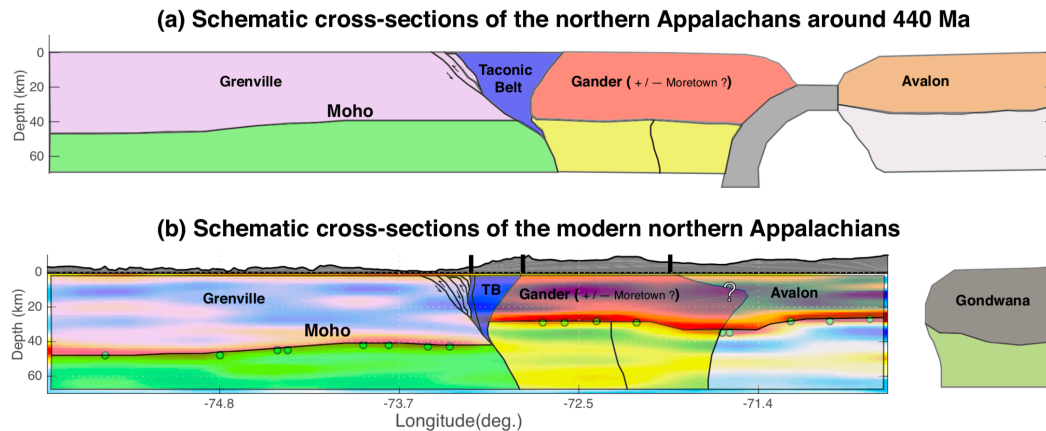


Figure 2.6. Schematic diagrams illustrating variations of the Moho depth due to Appalachian collisional events along cross section CC' (Figures 2.4 and 2.5), modified after Karabinos et al. (2017). Accretion of Avalon to the Gander terrane (i.e., the Acadian orogeny) is interpreted to have further shortened the Gander and Taconic crust in southern New England. The crustal shortening may have resulted in a nearly vertical or steeply dipping Grenville-Taconic boundary and a narrow surface expression of the Taconic belt in southern New England.

2.5. Summery

Teleseismic P-wave RF analysis in the northern Appalachian region has revealed significant Moho depth variations, which are well correlated with the distribution of Bouguer gravity anomalies. We observed a complex Moho depth distribution pattern, both across and along the orogenic strike. In southern New England, a sharp Moho step occurs near the interpreted surface boundary between the Laurentian crust and the accreted

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579 terranes. This may indicate a nearly vertical or steeply dipping Laurentian boundary within
580 the crust, probably reflecting the cumulative effects of Paleozoic accretion and crustal
581 shortening events. In contrast, the Moho variation is more gradual in northern New
582 England, in correspondence with the widening of the Appalachian orogen. The lateral
583 offset between the Moho step and the Appalachian front in the north may indicate a
584 generally eastward dipping Laurentian basement. More geophysical and geologic
585 constraints are required to further test our proposed hypotheses.

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CHAPTER 3

Seismic characteristics of the eastern North American crust with Ps converted waves: Terrane accretion and modification of continental crust (Li, C., Gao, H., & Williams, M. L. (2020). *Seismic characteristics of the eastern North American crust with Ps converted waves: Terrane accretion and modification of continental crust. Journal of Geophysical Research: Solid Earth*, 125, 5. doi:10.1029/2019JB018727)

3.1. Introduction

The Wilson tectonic cycle is one of the most fundamental concepts in the plate tectonic paradigm, central in most models for the growth and modification of continental crust on Earth. However, questions remain concerning the impact of past tectonic events on the composition and growth history of continental crust and mantle lithosphere. Simple but first-order questions include the following: Which geologically defined tectonic boundaries can be correlated with subsurface gradients or boundaries in three-dimensional seismic models, and what constraints can seismic data place on the timing and history of these boundaries? Eastern North America experienced at least two complete Wilson cycles over the last 1.3 Ga from assembly of the supercontinent Rodinia to the formation of the modern Atlantic Ocean (Figure 3.1a; e.g., Hatcher, 2010; Thomas, 2006). Thus, eastern North America provides an excellent tectonic setting to advance our understanding of continental accretion and lithospheric evolution through geologic time as well as the interpretation of deep crustal geophysical data in general.

The deployment of the EarthScope Transportable Array (IRIS Transportable Array, 2003) and its retaining subset (UC San Diego, 2013), together with many other seismic networks,

has significantly increased the spatial density of broadband observations in eastern North America and, thus, provide a new opportunity to constrain crustal thickness variations and the extent of crustal discontinuities at a resolution on the order of tens of kilometers. In this contribution, we report new receiver function (RF) results, which highlight variations in crustal characteristics within the major tectonic units and across the major tectonic boundaries. Comparison of our receiver function results with gravity data and geological observations allows us to explore the linkage of major geologically defined tectonic units with the geometry of seismically defined crustal discontinuities, and ultimately to investigate the formation and modification of the crust from the North American craton through the Grenville Province eastward to the Appalachian accreted region.

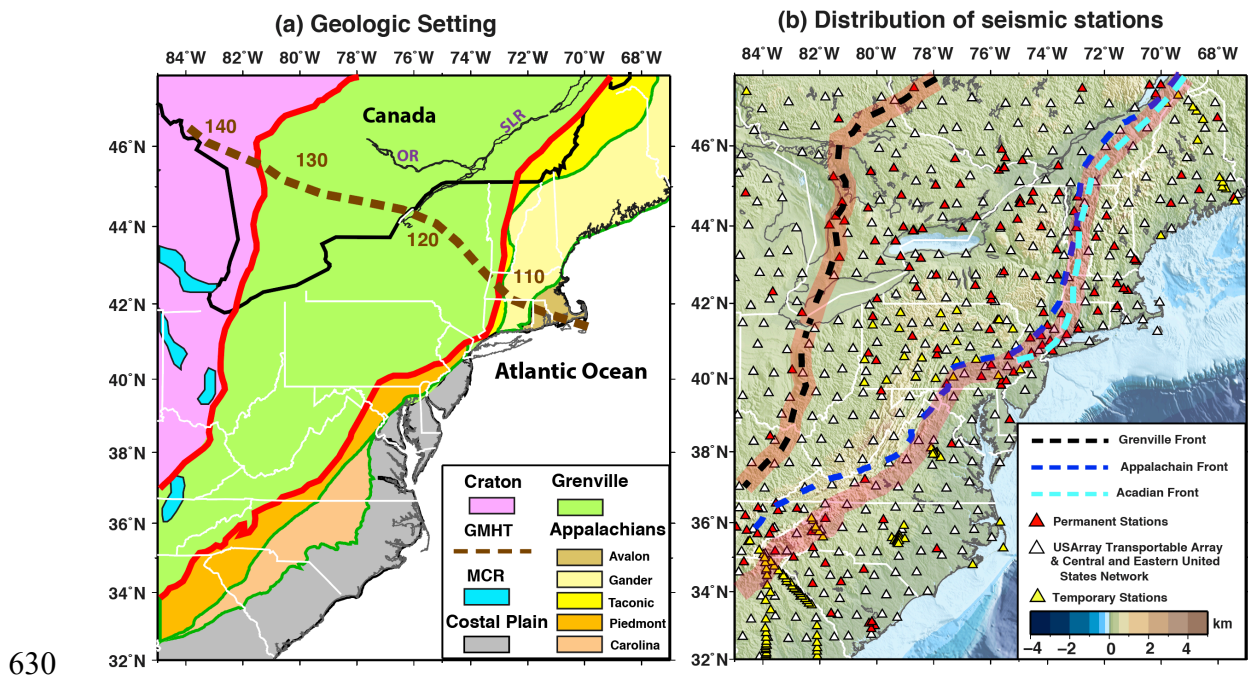


Figure 3.1. (a) Geological map showing the major tectonic units in eastern North America, modified after the lithotectonic map of the Appalachian orogen by Hibbard et al. (2006) and the United States Geological Survey basement domain map (<http://mrdata.usgs.gov/ds-898/>). The two thick red lines mark the inferred North

635 *American craton-Grenville boundary and the Grenville-Appalachian boundary. The*
636 *dark green lines mark the boundaries between the tectonic subprovinces of Inner*
637 *Piedmont-Carolina-coastal plain in the southern Appalachian region and Taconic Belt-*
638 *Gander-Avalon in the northern Appalachian region. The cyan patches denote the*
639 *inferred eastern arm of the Midcontinent Rift (MCR). The brown dashed line indicates*
640 *the proposed Great Meteor hot spot track (GMHT), marked with time in Ma. (b)*
641 *Distribution of the broadband seismic stations used in the calculation of receiver*
642 *functions. The red triangles represent the permanent stations, the white triangles for the*
643 *EarthScope U.S. Transportable Array and the central and eastern United States network,*
644 *and the yellow triangles for the flexible arrays. The black, blue, and cyan dashed lines*
645 *mark the Grenville deformation front, the Appalachian deformation front, and the*
646 *Acadian deformation front, respectively. The red shadow zones mark the inferred major*
647 *tectonic boundaries as shown by the red lines in (a). The background color is the*
648 *bathymetry/topography.*

649
650 Previous studies have demonstrated that the continental crust beneath eastern North
651 America varies within and across the major tectonic units in terms of age, thickness, and
652 seismic velocity. For example, geochronology and lead isotope studies showed that the
653 Grenville-aged crust is on average younger in the United States than in Canada (e.g., Hynes
654 & Rivers, 2010; Loewy et al., 2003). The U.S. Grenville Province is characterized by a
655 thicker crust and is seismically faster above and beneath the Moho in comparison with the
656 Appalachians and the Canadian Grenville Province (e.g., Schmandt et al., 2015; Shen &
657 Ritzwoller, 2016). Receiver function analysis has revealed a low-angle southeastward

dipping intra-crustal feature across the central Grenville Province (Long et al., 2019) and beneath the southern Appalachians in Georgia and South Carolina (Hopper et al., 2017; Parker et al., 2015). In contrast, no such intra-crustal layer was seen in the Appalachian region of New England (Li et al., 2018). Moho depth changes gradually beneath northern New England (Li et al., 2018) and the southern Appalachian orogen in Georgia (Hopper et al., 2017; Parker et al., 2015). In contrast, a sharp Moho step was imaged in southern New England, New Jersey, and northern Virginia (Li et al., 2018; Soto-Cordero et al., 2018). Although significant variations in crustal features were detected, none of those previous studies provide systematic constraints on the crustal structure for the entire eastern North American region.

3.2. Data and methodology

3.2.1. Data

We collected data from a total of 659 broadband seismic stations, including 167 stations from 12 long-running seismic networks (CN, Geological Survey of Canada, 1989; CO, Colorado Geological Survey, 2016; ET, University of Memphis, CERI, 1982; IM; IU, Albuquerque Seismological Laboratory /USGS, 1988; KY, Kentucky Geological Survey/University of Kentucky, 1982; LD, Lamont Doherty Earth Observatory, Columbia University, 1970; N4, UC San Diego, 2013; NE, Albuquerque Seismological Laboratory /USGS, 1994; PE, Penn State University, 2004; PO, Geological Survey of Canada, 2000; WU, University of Western Ontario, 1991), 380 stations from the EarthScope Transportable Array (IRIS Transportable Array, 2003), and 112 temporary stations from 7 dense arrays (X8, Menke et al., 2012; XQ, Wagner, 2012; XY; Penn State University, 2013; YC, Meltzer, 2011; YO, Gaherty et al., 2014; Z4, Wagner, 2009; Z9, Fischer et al.,

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2010; Figure 3.1b; see a detailed network description in the supporting information). The well-distributed coverage of the stations across our study region makes it feasible to study the high-resolution variations in crustal thickness beneath eastern North America. Three-component seismograms were requested from the Data Management center of the Incorporated Research Institutions for Seismology (IRIS) for 4,079 earthquakes that occurred between 2010 and 2017. The earthquakes were requested to have an epicentral distance of $30\text{-}95^\circ$ to each seismic station and the body wave magnitude equal to or greater than 5.5. Figure 3.2 shows the distribution of selected earthquakes, which result in a total of 306,942 waveforms obtained for the RF calculations.

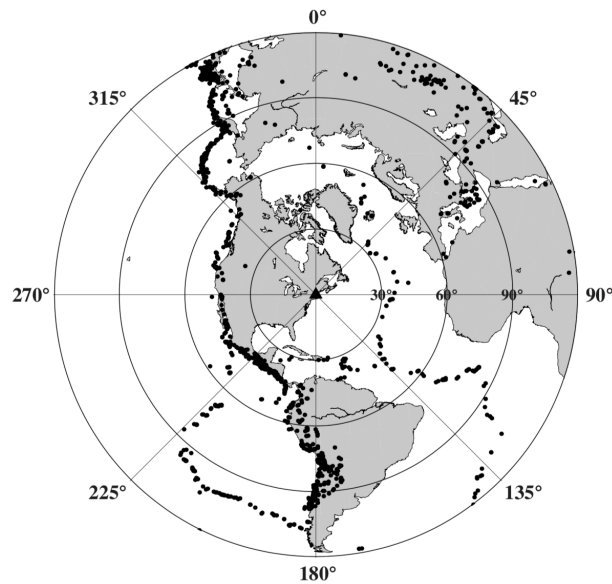


Figure 3.2. Locations of Events from 2010 to 2017 used for the calculation of receiver functions. The black triangle marks the center of the research region.

3.2.2. P-Wave receiver functions

Prior to the calculation of receiver functions, we cut the raw seismograms to 90-s segments, that is, 30 s before and 60 s after the direct P-wave arrival calculated using the one-dimensional velocity model IASP91 (Kennett & Engdahl, 1991). We removed the mean value and the first-order linear trend from the waveforms. The horizontal components were then rotated along the free surface to obtain the radial and transverse components. We calculated teleseismic P-wave RFs using the generalized iterative deconvolution method (Wang & Pavlis, 2016). This method significantly improved the resolution of RFs by applying an inverse wavelet on the time-domain iterative deconvolution, which can be described in three steps.

704

First, an inverse wavelet of the source is calculated in the frequency domain as

$$S(\omega) = \frac{Z^*(\omega)}{Z(\omega)Z^*(\omega) + \mu} \quad (1)$$

where $Z(\omega)$ and $Z^*(\omega)$ represent the Fourier transforms of the vertical-component seismogram and its complex conjugate, respectively. μ is the background noise level, defined as the standard deviation of the waveforms within a time window of 1-11 s prior to the direct P arrival. Second, we cross-correlate the inverse wavelet with the radial-component seismogram in the time domain. The arrival time and amplitude of the peak spike in cross-correlation is then used to define a spike sequence. Third, the residual between the radial-component waveform and the spike sequence is used to replace the original radial-component waveform. Steps two and three are iteratively repeated until the residual reaches a minimum value of 0.01 and the final spike sequence is the calculated

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716 radial-component RF. We then applied a low-pass Gaussian filter of 2.4 Hz on the
717 calculated RFs.

718

719 In order to identify plausible phases converted from the Moho (P_{ms}) and other primary
720 intra-crustal interfaces, we implemented multiple steps to control the quality of the radial-
721 component RFs. The rule of thumb is that the P_{ms} phase is anticipated as a first-order
722 positive phase, which was taken as our target phase during selection. We first applied a
723 time moveout correction, with an average slowness of 0.058 s/km based on the IASP91
724 model (Park & Levin, 2016), to remove the impact of incident angle on the P_{ms} phase.
725 After time moveout, we visually inspected all observed RFs and manually selected those
726 with a clear positive phase within a time window of 2-8 s. For each station, we then visually
727 reviewed the selected RFs and insured the consistency of the P_{ms} phase within similar back-
728 azimuthal directions. Furthermore, the selected RFs were filtered within multiple
729 frequency bands, ranging from 0.05-0.75 Hz and 0.1-1.2 Hz to 0.2-2.0 Hz, to check the
730 stability of the P_{ms} phase.

731

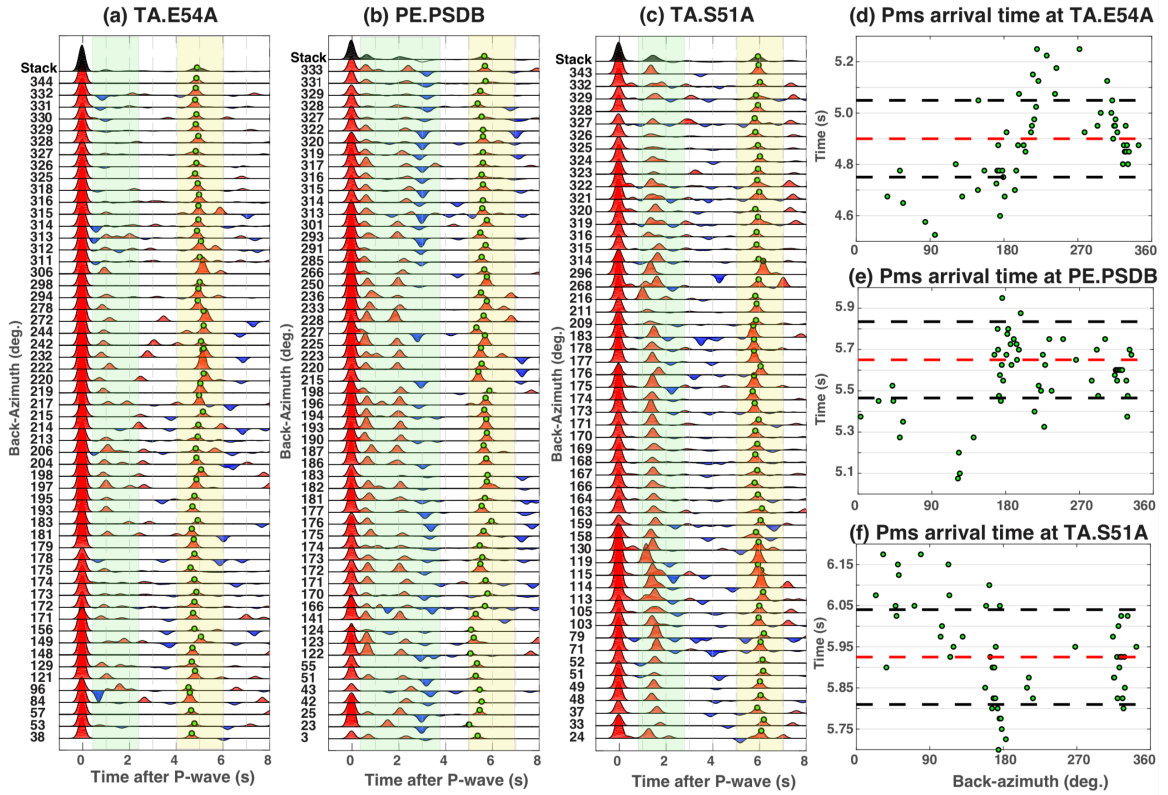
732 Previous studies by Ammon (1991) and Cassidy (1992) showed that the amplitude ratio of
733 the radial- and vertical-component RFs preserves information on the velocity contrast
734 across sharp boundaries. Therefore, we normalized the radial-component RFs in terms of
735 the maximum amplitude of the corresponding vertical-component RFs. After
736 normalization, we stacked the RFs from all the back-azimuthal directions to represent the
737 average RF feature beneath each station. Stacking highlights the primary phases and
738 increases the signal-to-noise ratio. The time of the maximum positive peak of the stacked

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739 RF within a time window of 2-8 s was then automatically picked as the mean P_{ms} arrival
740 time, with the uncertainty calculated as the standard error of the P_{ms} arrival times of all
741 individual events at each station (Figure 3.3).

742

743 Here we chose three stations, TA.E54A, TA.S51A, and PE.PSDB (see the station locations
744 in Figure 3.4), to illustrate the RF quality within our study region (Figure 3.3). The two
745 EarthScope Transportable Array stations E54A and S51A have two-year seismic
746 recordings from 2012 to 2014, and the Penn state network station PSDB has been operating
747 since 2010. We took the average of the RF events coming from the same back-azimuthal
748 direction (within 1°) at each station. As demonstrated in Figure 3.3, a clear P_{ms} conversion
749 is identified within a time window of 4.5-6.2 s after the direct P arrival at all these three
750 stations. The P_{ms} arrival time also shows a certain degree of back-azimuthal dependence,
751 which could be related to a dipping Moho or a combination of dipping and anisotropy
752 (Levin & Park, 1997). A further study combining both radial- and transverse-component
753 RFs may help identify possible sources for the directional dependence, but this falls beyond
754 the scope of this study. In addition to the primary P_{ms} conversions at the Moho, strong and
755 consistent signals are observed within the crust at many seismic stations (Figures 3.3, B.13,
756 B.14, and B.15). For example, station PE.PSDB reveals two positive phases at ~ 0.5 s and
757 ~ 2.1 s, respectively, and a strong negative phase within a time window of 2.9-3.5 s (Figure
758 3.3b). Station TA.S51A demonstrates a clear positive signal at approximately 1.6 s from
759 all the back-azimuthal directions (Figure 3.3c).



760

761 **Figure 3.3. (a-c) Examples of normalized radial-component receiver functions (RF) for**
 762 **stations TA.E54A, PE.PSDB, and TA.S51A, sorted by back azimuths. See station**
 763 **locations in Figure 3.4. A positive phase (filled with red color) corresponds to a sharp**
 764 **velocity increase with depth, while a negative phase (filled with blue color) correlates**
 765 **with a velocity decrease. The top trace is the stacked receiver function from all the back**
 766 **azimuth directions at each station. The waveforms are low-pass filtered at 2.4 Hz. The**
 767 **green dots mark the automatically picked P_{ms} arrivals. The shaded yellow window**
 768 **highlights the variation pattern of the P_{ms} phase in terms of the back azimuth, and the**
 769 **shaded green window highlights the phases converted from the intracrustal layers. (d-f)**
 770 **Distribution of automatically picked P_{ms} arrival time (green dots) in terms of the back**
 771 **azimuth for the three stations in (a)-(c). The red and black dashed lines correspond to**

772 *the P_{ms} arrival time of the stacked radial-component receiver functions and the*
773 *corresponding uncertainty.*

774

775 The number of selected RF events varies within a broad range among the seismic stations,
776 depending on the operating duration and station location. Most long-running stations
777 recorded high-quality RFs with the event numbers ranging from 40 up to 336 (Figure B.1;
778 Table B.1). A few stations, such as PE.PASH and PO.BELQ, have less than one-year
779 recording periods, which leads to fewer events. The number of RFs for the temporary
780 stations varies within a range of 13-100 (Figure B.1; Table B.1). We found that 77 stations
781 show strong crustal reverberations (see station locations in Figure B.2 and RF examples in
782 Figure B.3), most of which are located within the Atlantic coastal plain. In contrast, we
783 saw clear P_{ms} phases for stations located within the Appalachian basin where thick
784 sediments exist (e.g., Laske et al., 2013), even though multiple positive and negative phases
785 are observed prior to the P_{ms} arrivals (Figure 3.3b and Figure B.14). The different features
786 of RFs observed between stations located within the Appalachian basin and the coastal
787 basin may reflect the different velocity contrasts at the sediment-bedrock interface (Figure
788 B.10). The nature of the crustal reverberations in RFs deserves a careful analysis and will
789 be a subject of a follow-up study. In this study, we excluded the stations that have strong
790 reverberations from further analysis considering that the reverberations can interfere with
791 and mask major phases in RFs. In total, we selected 39,237 RFs for 487 broadband stations
792 (~73% of the initially collected stations) to be used for further analysis.

793

794 3.2.3. Migration

795 We converted the normalized radial-component RFs from all the back-azimuthal directions
796 at all the selected stations from the time domain to the depth domain, using the common
797 conversion point (CCP) stacking method (Hansen & Dueker, 2009). Assuming a flat-layer
798 model, the arrival time of the converted Ps phase at a given depth of z is described as

$$799 \quad T_{Ps}(z) = \int_z^0 [\sqrt{Vs(z)^{-2} - P^2} - \sqrt{Vp(z)^{-2} - P^2}] dz \quad (2)$$

800 In this equation, P is the ray parameter, and Vp and Vs are the P- and S-wave velocities,
801 respectively. Considering the distribution density of seismic stations, we meshed our study
802 area with a horizontal bin size of 20 km and a vertical interval dz of 1 km from the surface
803 down to 150 km depth. We interpolated the three-dimensional shear-wave velocity model
804 of the United States by Shen and Ritzwoller (2016) to obtain the seismic velocities beneath
805 each grid point assuming a constant Vp/Vs ratio of 1.78.

806

807 The amplitudes of the individual RFs were then back-projected within each bin. In order
808 to estimate the Moho depth beneath each surface grid point, we take the lateral resolution
809 of the Fresnel zone into consideration, which is depth dependent. Here we used the Fresnel
810 zone formula developed by Pavlis (2011) to estimate the aperture size (that is, the lateral
811 resolution) at each depth interval (Figure B.4). For example, the radii of the aperture of the
812 CCP stacking are about 10 and 16 km at depths of 20 and 40 km, respectively (Figures B.4
813 and B.5). Correspondingly, the RF amplitude at each bin is defined as the averaged
814 amplitude of back-projected RFs within the depth-dependent aperture radius. We then
815 automatically picked the depth of the maximum amplitude of the averaged RFs within a
816 depth range of 20-70 km as the Moho depth. In addition, the CCP stacking also

817 demonstrates strong negative phases at 5-28 km depths within the central Grenville crust.
818 The depth of the maximum amplitude of these negative phases was also automatically
819 picked for further analysis. See the Supporting Information for the uncertainty estimate of
820 the Moho depth.

821

822 **3.3. Results**

823 We investigated the distribution of average arrival times of primary converted phases from
824 the Moho and within the crust, as well as the corresponding depth variations. We observe
825 distinct variations in P_{ms} arrival times and Moho depth in eastern North America (Figures
826 3.4 and 3.5b) on multiple scales. The high resolution of the Moho data set benefits from
827 the combination of a large number of broadband stations that were active over the last
828 decade. In general, the Grenville Province and the North American craton are characterized
829 by larger P_{ms} arrivals and a thicker crust. The Appalachian regions that are interpreted to
830 be underlain by accreted (exotic) terranes show relatively smaller P_{ms} arrival times (i.e.
831 thinner crust). Local variations in Moho depth are delineated clearly, such as a shallow
832 Moho of ~30-35 km in northeastern Pennsylvania, near the Ottawa River and the St.
833 Lawrence River Plain (a1, a2, and a3 in Figure 3.5). In addition, we also image a distinct
834 intra-crustal feature within the central Grenville Province (Figure 3.6), which we discuss
835 in section 3.4.3. In order to demonstrate these seismic features, we present five CCP
836 stacking profiles that are nearly perpendicular to the orogenic strike (see profile locations
837 in Figures 3.4 and cross sections in Figure 3.7).

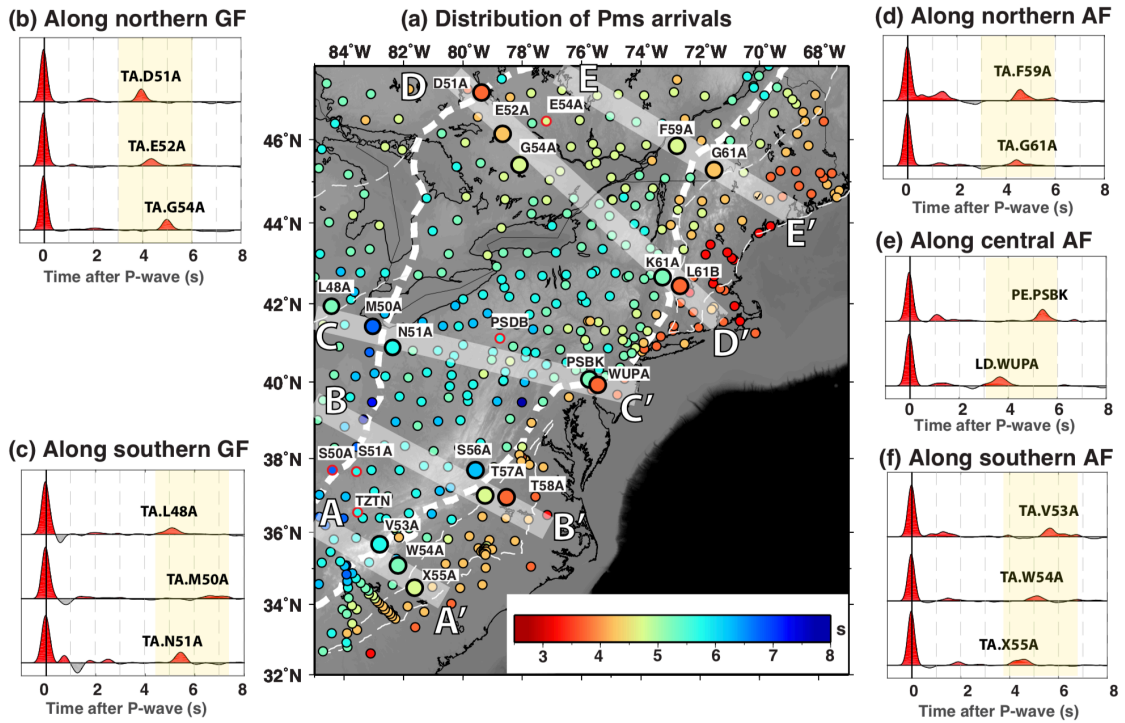


Figure 3.4. (a) Distribution of P_{ms} arrival time (in seconds), automatically picked from the stacked radial-component receiver functions for each seismic station. Warm colors denote relatively smaller P_{ms} arrivals, and cold colors for larger P_{ms} arrivals. The five shaded zones are the profile locations shown in Figure 3.7. The larger dots mark the locations of selected seismic stations in (b)-(f), which are also shown as green dots in Figure 3.7. The dots with red outline are stations shown in Figures 3.3 and B.15. (b)-(f) Representative radial-component RFs to demonstrate variations of P_{ms} arrival times in eastern North America. For each station, the RFs are stacked from all the back-azimuthal directions. The waveforms are low-pass filtered at 2.4 Hz. The shaded yellow window highlights the difference in the P_{ms} arrival times.

850 **3.3.1. Crustal thickness variation in the Grenville Province**

851 The Grenville-aged crust in eastern North America is characterized by a large variation in
852 P_{ms} arrival time (~ 4.0 - 7.0 s) and the associated thickness (~ 32 - 65 km). A first-order
853 characteristic is the distinct difference in P_{ms} arrival time (Moho depth) from south to north
854 within the Grenville Province and along the Grenville Front (Figures 3.4 and 3.5). The U.S.
855 Grenville Province is characterized by large P_{ms} arrival times (~ 5.2 - 7.0 s) and a deep Moho
856 (~ 47 - 65 km), extending from Tennessee and Georgia to the national border (Figure 3.5b).
857 In contrast, the Canadian Grenville Province has P_{ms} arrival times of ~ 4.0 - 5.4 s (crustal
858 thickness ~ 32 - 50 km).

859

860 There is also a significant difference in the P_{ms} arrivals (Moho depth) along and across the
861 Grenville Front. Six seismic stations along the southern Grenville Front show much larger
862 P_{ms} arrivals than the surrounding stations, resulting in a locally deepened Moho (~ 55 - 65
863 km) within a narrow zone from northern Ohio to eastern Kentucky (Figures 3.4a and 3.5b
864 and cross-sections AA', BB', and CC' in Figure 3.7). For example, a ~ 7.0 s P_{ms} arrival
865 time (~ 63 km Moho depth) is seen at station TA.M50A (Figure 3.4c). In comparison, a
866 relatively smaller P_{ms} arrival of ~ 5 - 5.5 s (~ 46 - 50 km Moho depth) is imaged at nearby
867 stations TA.L48A and TA.N51A (Figure 3.4c). Near the northernmost Grenville Front, the
868 small P_{ms} arrivals of ~ 4 - 4.5 s and a corresponding shallow Moho of ~ 32 - 35 km are detected
869 (see examples in Figure 3.4b). Note that we do not have resolution in the southernmost and
870 northernmost ends of the Grenville Front near the boundaries of our study area. However,
871 previous studies that investigated the North American craton to west of our study region
872 also show a shallow Moho depth along the northern Grenville Front and support a locally

deepened Moho along the southern Grenville Front (Darbyshire et al., 2017; Levin et al., 2017; Petrescu et al., 2016; Yang et al., 2017).

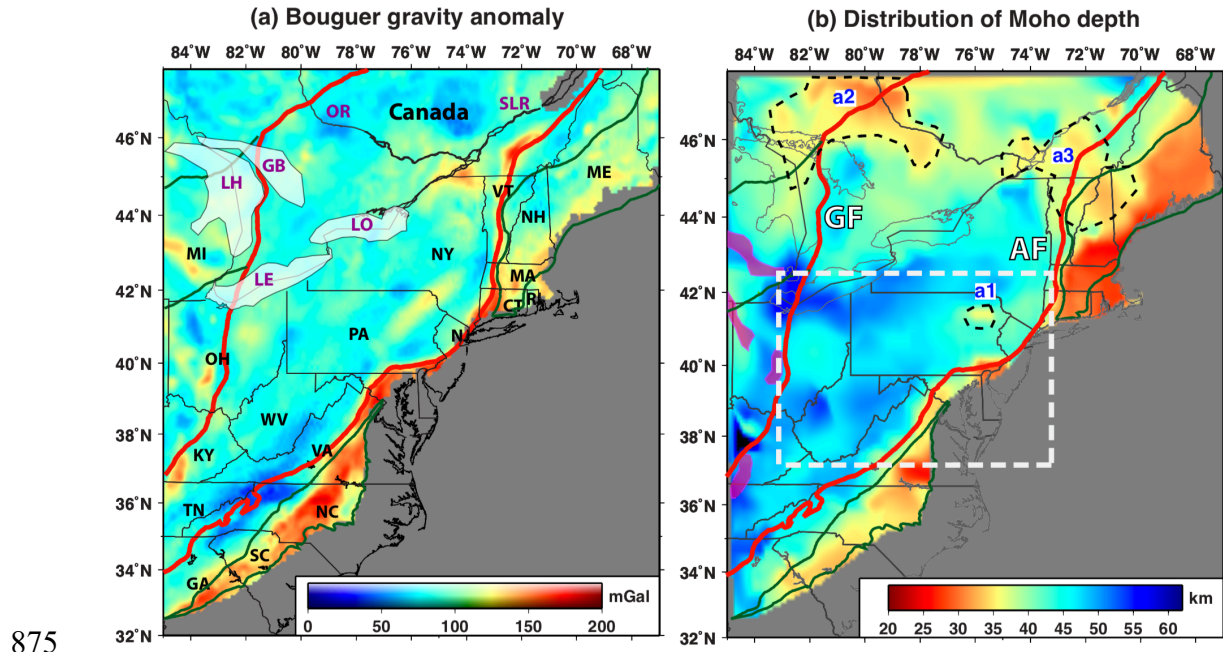


Figure 3.5. (a) Distribution of Bouguer gravity anomalies from Bonvalot et al. (2012). The red and dark green lines mark the tectonic boundaries as in Figure 3.1a. (b) Distribution of the Moho depth (in kilometers) extracted from the common conversion point stacking. GF = the Grenville Front; AF = the Appalachian Front; LO = Lake Ontario; LE = Lake Erie; LH = Lake Huron; GB = Georgian Bay; OR = the Ottawa River; SLR = the St. Lawrence River. The white dashed line marks the map region in Figure 3.6 where we observe an inner-crustal layer. The red solid lines mark the Grenville Front and the Appalachian Front. The black dashed lines mark the small-scale anomalies observed in the Moho depth map.

3.3.2. Moho depth variation in the Appalachian orogen

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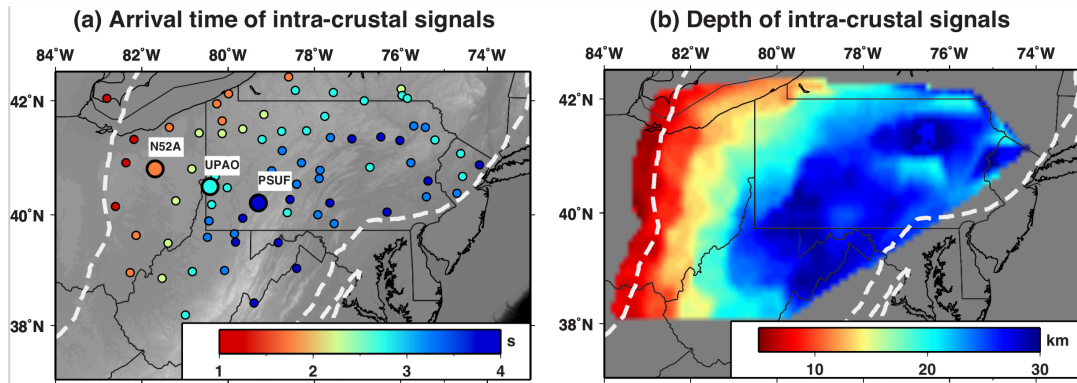
887 The Moho depth shows a systematic variation within the Appalachian orogen, ranging from
888 25 km to 45 km. Within the southern Appalachian region, the Moho depth decreases
889 southeastward from ~45 km to ~35 km, a 10 km decrease of the Moho depth. Within the
890 northern Appalachian region, the observed Moho depth is ~35-40 km near the Appalachian
891 orogenic Front and decreases southeastward to ~25-30 km toward the coastal plain (Figure
892 3.5b).

893

894 We observe a significant west-to-east decrease in the Moho depth across the Appalachian
895 orogen. The steepness of the gradient in Moho depth, however, varies significantly along
896 strike (Figures 3.4a and 3.5b). A clear and sharp Moho offset of up to 12-15 km is observed
897 within a narrow zone extending from southern New England through New Jersey to
898 Virginia (Figures 3.4a and 3.5b). For example, the P_m s arrival time (Moho depth) decreases
899 from ~5.4 s (~40 km) to ~3.8 s (~27 km) between stations PE.PSBK and LD.WUPA in
900 New Jersey (Figure 3.4e and cross-section CC' in Figure 3.7). Similarly, between stations
901 TA.K61A and TA. L61B in southern New England, the P_m s arrival time decreases from
902 5.2 s to 3.7 s (the corresponding Moho depth changes from ~45 km to ~30 km) (see Cross-
903 section DD' in Figure 3.7 and Figures B.12a and B.13), over a horizontal distance of ~70
904 km. Although the actual magnitude of the Moho offset may differ slightly due to the
905 uncertainty of ~6 km in the Moho depth estimation, the uncertainty is much less than the
906 observed Moho offset in our study (see the detailed uncertainty analysis in the Supporting
907 Information).

908

909 In contrast, the Moho depth decreases subtly across the Appalachian orogen in northern
 910 New England, southernmost Virginia, western North Carolina, and Georgia. Overall, we
 911 image a gradual Moho depth variation across and within the widened northern (north of
 912 latitude 45.5°N) and southern (south of latitude 37°N) Appalachian regions. We choose RF
 913 results from eight stations (Figures 3.4d, B.12b, and 3.4f) along cross-sections AA', BB',
 914 and EE' to illustrate the observations. For example, from station TA.V53A to TA.W54A
 915 to TA.X55A, we observe a decrease of the P_{ms} arrival time by ~ 0.7 s across the Grenville-
 916 Appalachian boundary and by ~ 0.5 s across the Piedmont-Carolina boundary (Figure 3.4f).
 917 The corresponding the Moho depth gradually decreases from 50 km to 42 km to 35 km
 918 over a horizontal distance of 190 km (Cross-section AA' in Figure 3.7). Similarly, a gradual
 919 change of P_{ms} arrival and Moho depth is seen from TA.F59A to TA.G61A over ~ 130 km
 920 in the northern Appalachian region (Figure 3.4d and cross-section EE' in Figure 3.7).
 921



922 **Figure 3.6. (a) Arrival time distribution of the intra-crustal negative phases (in seconds).**
 923 **The three large dots mark the locations of the representative stations in Figure B.14. (b)**
 924 **Distribution of the corresponding depth of the intra-crustal negative phase, extracted**
 925 **from the common conversion point stacking method.**
 926

927

928 **3.3.3. An eastward dipping feature within the U.S. Grenville crust**

929 Seismic stations located in the central (from north to south) Grenville Province exhibit a
930 pronounced negative phase within the crust. The phase arrival time gradually increases
931 from the Grenville Front to the east over a horizontal distance of ~ 700 km, showing an
932 eastward-dipping intra-crustal feature (Figure 3.6). As demonstrated in Figure B.14, the
933 arrival time of the negative phase gradually increases from station TA.N52A (~ 1.8 s) to
934 PE.UPAO (~ 3.0 s) and PE.PSUF (~ 3.8 s), with the corresponding depths of approximately
935 10 km, 20 km, and 25 km, respectively (Cross-section CC' in Figure 3.7). Most of the
936 stations that show the negative phase are located in southernmost New York, Pennsylvania,
937 eastern Ohio, and West Virginia (Figure 3.6). In Kentucky, Tennessee, and Georgia, we
938 are only able to identify a negative phase signal at a few stations (see examples in Figure
939 B.15), leading to a less consistent intra-crustal layer in our CCP imaging (Cross-sections
940 AA' and BB' in Figure 3.7). In total, 107 stations, extending from latitude 34°N to 42.5°N ,
941 recorded the negative phase signals. Additionally, we see multiple positive phases prior to
942 the negative phase at many stations (see profiles BB' and CC' in Figure 3.7), which may
943 represent second-order velocity discontinuities within the crust. More specifically, the
944 near-surface positive phase may result from a sedimentary basement (Figure B.10), and the
945 later positive and negative phases may represent a high velocity layer or a shear zone with
946 strong seismic anisotropy (Figure B.11). However, the nature of these phases, as well as
947 possible interpretations, need to be furthered explored by incorporating data from dense
948 seismic arrays and analyzing the transverse-component receiver functions.

949

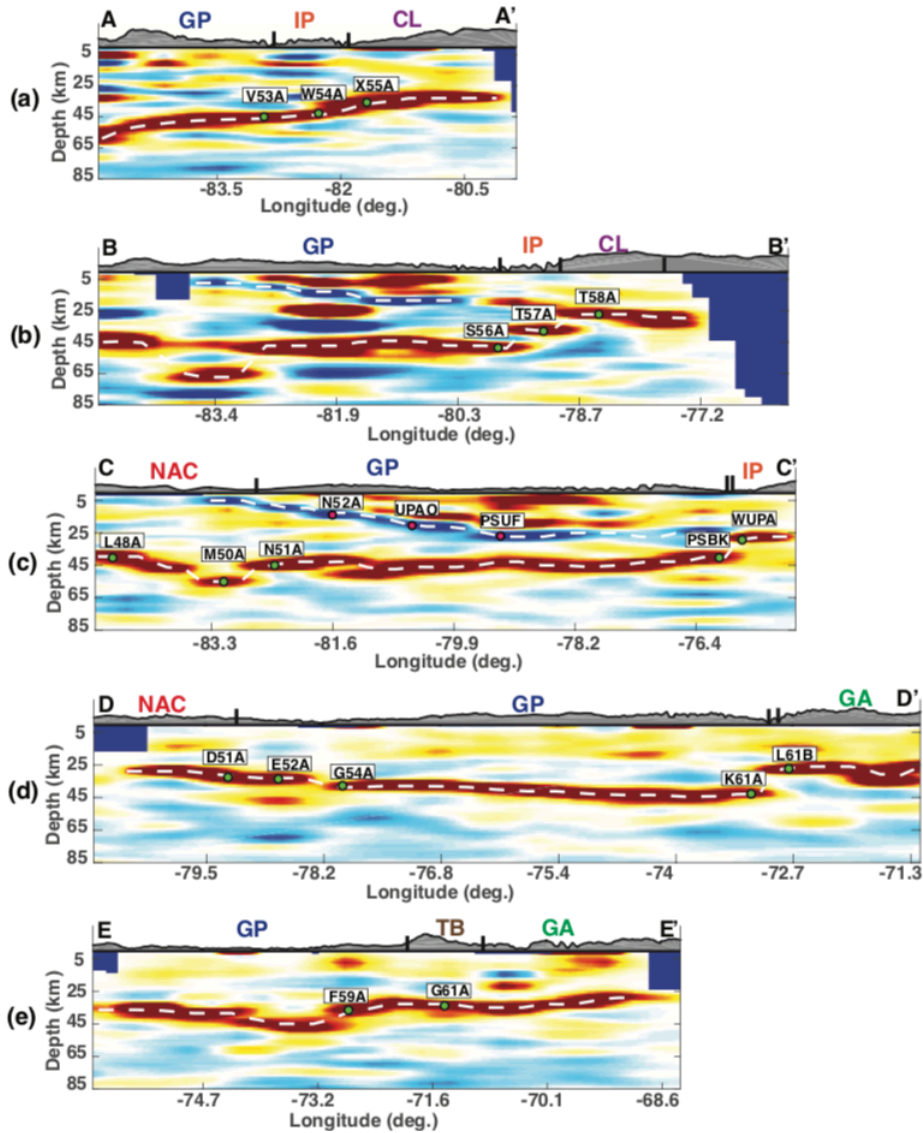


Figure 3.7. NW-SE cross-sections of the common conversion point stacking. See the profile locations in Figures 3.4. The major tectonic boundaries are marked by solid black lines above each profile. The white dashed line represents the interpreted Moho and intra-crustal-layer, which are extracted from the common conversion point stacking. The colored dots in the cross-section mark the preferred Moho and intra-crustal layer beneath seismic stations, as described in the text. IP = Inner Piedmont; CL = Carolina; GA= Gander; GP = Grenville Province; NAC = North American craton.

959 **3.4. Discussion**

960 Our RF results demonstrate a distinct variation in Moho depth both within and across the
961 major tectonic units in eastern North America (Figures 3.5b and B.14). (1) We observe a
962 relatively thick crust (47-65 km) in the United States portion of the Grenville Province and
963 a thinner crust within the Canadian portion (33-50 km). (2) An abrupt Moho deepening
964 (~65 km) can be seen within a narrow zone along the southern part of the geologically-
965 defined Grenville Front (Latitude ~37°N to 42.5°N). In contrast, the Moho depth beneath
966 the northernmost Grenville Front is much shallower than beneath the southern part. (3) A
967 strong intra-crustal layer is imaged beneath the central Grenville Province, with a low-
968 angle dip of approximately 6° from the Grenville Front eastward to the Appalachian orogen
969 (Figure 3.6; Cross-section CC' in Figure 3.7). (4) A sharp west-east Moho step (~12-15
970 km) is observed over a horizontal distance of ~70 km in the central segment of the
971 Appalachian orogen (from Latitude 37°N to 43.5°N; cross-sections CC' and DD' in Figure
972 3.7). The Moho step tends to be more gradual across the Appalachian Front in the southern
973 and northern segments of Appalachian orogen, where we observe a second-order Moho
974 variation (cross-sections AA' and EE' in Figure 3.7). Interestingly, the location of the
975 Moho step diverges from the Appalachian (or Acadian) Front in the northern and southern
976 parts of the Appalachian orogeny, roughly correlated with the widening of the overall
977 orogen (Figure 3.5b).

978

979 The crustal features beneath eastern North America resolved in this study are broadly
980 consistent with the results of previous studies. We specifically compared the distribution
981 patterns of the crustal thickness extracted from this study, Shen & Ritzwoller (2016), and

Schmandt et al. (2015) (Figure B.17). For example, the general northwestward decrease in crustal thickness from the Grenville Province to the Appalachian region can be seen in several seismological studies (e.g., Gaherty et al., 2011; Li et al., 2002; Li et al., 2018; Schmandt et al., 2015; Shen & Ritzwoller, 2016). The deep Moho beneath the Grenville Province in the United States was also previously recognized even though the horizontal distribution of deep Moho is slightly different between studies (e.g., Schmandt et al., 2015; Shen & Ritzwoller, 2016; Yang et al., 2017). The presence of an intra-crustal layer was noted along a 2-D seismic array in Ohio, West Virginia, Georgia, and South Carolina by both receiver function studies (Hopper et al., 2017; Long et al., 2019; Parker et al., 2015) and active source experiments (Culotta et al., 1990; White et al., 2000). Nevertheless, our results provide a comprehensive constraint on both Moho depth variations and the 3-D spatial distribution of the intra-crustal layer across the entire eastern North American region, benefiting from the data integration of all broadband stations deployed in this region over the last decade.

996

997 **3.4.1. Comparison of crustal thickness, gravity, and topography**

998 We compared the distribution patterns of crustal thickness, Bouguer gravity, and elevation
999 in eastern North America (Figures 3.1b and 3.5). From isostasy theory, we would expect
1000 lower Bouguer gravity in the U.S. Grenville Province, where the crust is thicker than in the
1001 Canadian part (Figures 3.1b and 3.5). However, we do not observe any systematic variation
1002 in Bouguer gravity within the Grenville interior. The lack of correlation between crustal
1003 thickness and gravity may reflect a non-uniform density distribution in the crust and upper
1004 mantle. It was suggested that the lower crust of the continental lithosphere can be densified

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1005 and strengthened due to metamorphic reactions (e.g., Fischer, 2002; Williams et al., 2014),
1006 which might be expected in the thicker crust of the eastern United States (e.g., Schmandt
1007 et al. 2015). A denser lower crust could increase the gravity anomaly of the US relative to
1008 the Canadian portion of the Grenville Province. In addition, geophysical and petrologic
1009 studies (e.g., Mooney & Kaban, 2010; Perry et al., 2003) have suggested that the uppermost
1010 mantle is less dense and more buoyant in the northern Grenville than in the southern
1011 Grenville, which would also help to explain our observations. Some small-scale variations
1012 in the Moho depth show (anti-)correlation with Bouguer gravity. For example, the locally
1013 shallowed Moho in northeastern Pennsylvania roughly corresponds to a relatively large
1014 gravity anomaly (a1 in Figure 3.5b), suggesting a more local isostatic control of Moho
1015 depth.

1016

1017 The relationship among crustal thickness, Bouguer gravity, and elevation is also not
1018 straightforward within the Appalachian orogen. In the southern Appalachians, for example,
1019 we see the expected negative correlation pattern roughly across the Inner Piedmont-
1020 Carolina terrane boundary (Hales et al., 1968; James et al., 1968), where the crustal
1021 thickness and the elevation show an west-east decrease and a corresponding Bouguer
1022 gravity increase (Figures 3.1b and 3.5). However, we did not image a Moho depth anomaly
1023 beneath the highest southern Appalachian Mountains, where a distinct gravity low exists.
1024 The crustal thickness is anti-correlated with the Bouguer gravity along the Appalachian
1025 orogen from Latitude $\sim 37^{\circ}\text{N}$ to $\sim 43^{\circ}\text{N}$, where the sharp west-east Moho step is observed.
1026 Within the northern Appalachians, the relatively thin crust in the coastal plain roughly
1027 corresponds with higher gravity, and a local downward deflection of the Moho is roughly

1028 correlated with the low Bouguer gravity anomaly (a3 in Figure 3.5b). Comparing the
1029 northern and southern Appalachians, we observe that the interpreted accreted terranes
1030 (Gander and Avalon) in the New England region show a thinner crust with a lower gravity
1031 while the Carolina Superterrane has a relatively thicker crust with a larger gravity anomaly.

1032

1033 **3.4.2. Crustal thickness variation within the Grenville Province**

1034 The observed differences in seismic characteristics within the interior of the Grenville
1035 Province, especially differences in crustal thickness and the presence of an intra-crustal
1036 layer, may indicate important differences in the tectonic history from north to south. The
1037 reconstruction study by Li et al. (2008) concluded that the U.S. Grenville Province
1038 represents a single continent block, which was accreted onto the North American craton at
1039 ~1.0 Ga. This simple collision mode might have thickened the crust along the southern
1040 Grenville Front. In this case, the intra-crustal dipping layer in the central Grenville interior
1041 could represent the trace of a west-vergent thrust/shear zone that emplaced Grenville-aged
1042 crust over the North American craton (Long et al., 2019). In contrast, the Canadian portion
1043 of the Grenville Province is interpreted to have formed by a sequence of island-arc and
1044 micro-continent accretions (e.g., McLelland et al., 2013; Rivers, 2015). Several active
1045 source experiments in Canada (e.g., Culotta et al., 1990; Martignole & Calvert, 1996;
1046 Rivers, 2015) demonstrated local-scale thrust belts within the northern Grenville crust,
1047 supporting the repeated accretion of smaller fragments model. The accretion may have
1048 resulted in a more complex network of crustal structures instead of forming a simple intra-
1049 crustal layer (structure) as observed in the south.

1050

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1051 Another possible interpretation for the Grenville crustal variation is that the region may
1052 have had a similar prograde history, but experienced different degrees of modification
1053 during post-collisional collapse and exhumation. Numerical modeling studies by Jamieson
1054 et al. (2010) suggested that the northern Grenville experienced strong extensional collapse
1055 as the thermally weakened middle and lower crust could no longer support the thick upper
1056 crust. In this case, the collapse would eventually result in a thinner crust and might obscure
1057 any intra-crustal collisional feature in the Canadian Grenville Province. The large-scale
1058 erosion during the breakup of Rodinia at ~750 Ma might have further thinned the crust. In
1059 addition, recent tectonic events, such as the magmatism caused by the Great Meteor hotspot
1060 during ~140-110 Ma (Eaton & Frederiksen, 2007) and a failed rift near the Ottawa River
1061 (Rimando & Benn, 2005), also likely modified the Grenville-aged crust in Canada,
1062 contributing to the observed south-north differences.

1063

1064 It was recently suggested that the gravity-defined eastern arm of the 1.1-Ga failed
1065 Midcontinent Rift extends into Ohio and Kentucky along the southern Grenville Front
1066 (cyan patches in Figure 3.1a; Stein et al. 2018a, 2018b). However, we do not observe any
1067 obvious spatial correlation between the eastern arm of the Midcontinent Rift (pink patches
1068 in Figure 3.5b) and the locally deepened Moho imaged by our RF study (Figure 3.5b). It is
1069 likely that the crust was locally thickened during and shortly after the Midcontinent rifting
1070 due to underplating, sedimentation, and compression (Stein et al., 2018a). Compression
1071 during the later phases of the Grenville orogeny might have further thickened the crust
1072 along the southern Grenville Front, which consequently inverted and overprinted structures
1073 associated with the eastern arm of the Midcontinent Rift. After the main collisional pulses,

1074 post-orogenic erosion would have removed the surface thrust belts along the southern
1075 Grenville Front, leaving the local Moho depression as a remnant continental root.

1076

1077 **3.4.3. Moho depth variation within the Appalachian accretion**

1078 The rough correlation of Moho depth with geologically-defined terrane boundaries and
1079 with Bouguer gravity variation suggests that the large-scale crustal thickness changes in
1080 the Appalachian region are linked with the terrane accretion during the assembly of Pangea.
1081 Further, the magnitude and character (dipping or steep) of the Moho offset along the
1082 Appalachians may provide additional constraints on tectonic models. We propose that
1083 differences in the steepness of the Moho offset along the Appalachians reflect along-strike
1084 variations of the steepness of the subsurface boundary between Laurentian lithosphere and
1085 Gondwana-derived elements. Specifically, the gradual Moho depth change beneath the
1086 northern and southern Appalachian regions is interpreted to reflect a shallowly dipping
1087 Grenville basement beneath the Appalachian accreted terranes. This is supported by the
1088 presence of a low-angle southeastward-dipping intra-crustal layer beneath the Inner-
1089 Piedmont, Carolina, and northern New England, previously interpreted as a continent-arc
1090 collisional interface (e.g., Cook & Vasudenvan, 2006; Hopper et al., 2017; Parker et al.,
1091 2015; Spencer et al., 1989). In contrast, the sharp Moho step in the central Appalachians
1092 may represent a steeply dipping crustal boundary between Laurentian and Gondwana-
1093 derived crust (Li et al., 2018).

1094

1095 Our hypothesis that the central segment of the Laurentia-Gondwana boundary dips steeply
1096 implies that the central Appalachian crust might have experienced much more significant

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1097 modification during and after accretion in comparison with the northern and southern parts.
1098 For example, Hatcher (2010) suggested that an intensified transpressional collision of the
1099 Gander and/or Carolina terranes with the Grenville Province led to a sharp boundary
1100 beneath the central Appalachians. Furthermore, the consistency between the location of
1101 sharp Moho step and the Acadian deformation front from New England to northern Virginia
1102 implies that collisional and possibly transcurrent events may have steepened the subsurface
1103 boundary (Cheney & Brady, 1992; Li et al., 2018). In addition, differential uplift caused
1104 by a gravitational collapse of the thickened Acadian hinterland could also contribute to
1105 steepness of the central boundary. The lack of major tectonic events in eastern North
1106 America during the last 200 Ma helps to explain the preservation of a Paleozoic Moho step
1107 beneath the central Appalachians.

1108

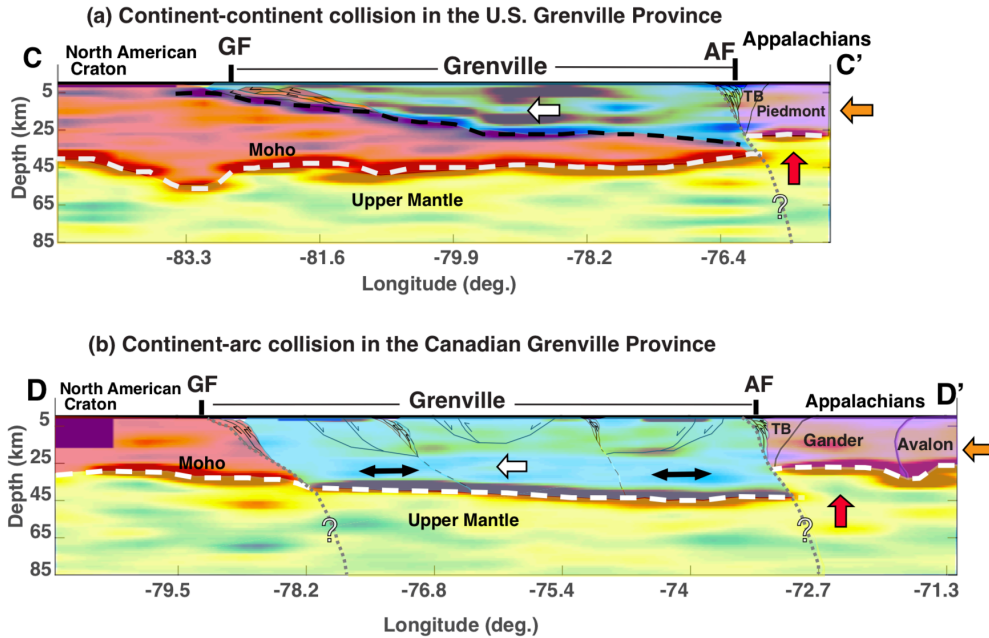
1109 A steep Moho offset has also been observed in other orogenic settings. For example, a
1110 recent P-wave RF study with a dense seismic array in eastern Tibet found a ~10 km Moho
1111 step within a horizontal distance of ~30 km across the boundary between the Songpan-
1112 Ganzi block and the Sichuan Basin (Wang et al., 2018). In southernmost New Zealand, a
1113 ~12-15 km Moho offset across the boundary between Fiordland and the accreted terranes
1114 was suggested by metamorphic mineral analysis (Klepeis et al., 2018). These structural
1115 similarities suggest that preserved Moho offsets across tectonic boundaries may be
1116 relatively common (or at least not uncommon) across the diversity of collisional
1117 environments.

1118

1119 **3.4.4. Possible crustal models in eastern North America**

1120 The variation in seismic characteristics may suggest alternative modes of tectonic accretion
1121 in the North American Grenville Province. Continent-continent collision can result in a
1122 deep Moho and low-angle intra-crustal detachments such as in the U.S. Grenville Province
1123 (Figure 3.8a), where the intra-crustal interface represents a collisional thrusting of the
1124 Grenville-aged crust over the North American craton. In this case, the U.S. Grenville
1125 Province probably preserves its original Moho. Similar crustal structures have been
1126 observed in other orogens, such as the Alleghenian suture in the southeastern United States
1127 due to the Laurentia-Gondwana collision (Hopper et al., 2017) and the Himalayan
1128 collisional zone due to the collision between Indian and Eurasian continents (Schulte-
1129 Pelkum et al., 2005). In contrast, the collision between the North American craton and a
1130 series of small tectonic terranes might be more applicable to the Canadian Grenville
1131 Province and the Appalachian orogen (Figures 3.8b and 3.8c). Repeated accretion and
1132 exhumation, as well as later rifting and magmatism, have significantly modified the crust,
1133 resulting in a relatively shallow, more heterogeneous Moho and complex intra-crustal
1134 structure.

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1135

1136 *Figure 3.8. Schematic diagrams illustrating variations of the crustal features and our*

1137 *preferred interpretations along cross-sections CC' and DD' in eastern North America,*

1138 *modified after McLelland et al. (2010). (a) Continent-continent collisional model that*

1139 *can be applied to the U.S. Grenville Province. (b) Continent-arc collisional model that*

1140 *can be applied to the Canadian Grenville Province and the Appalachian orogen. The*

1141 *white dashed lines in (a) and (b) represent the interpreted Moho, and the black dashed*

1142 *line in (a) reflects an eastward thrusting of the Grenville-aged crust over the North*

1143 *American craton. The gray dotted lines in (a) and (b) represent our interpreted*

1144 *subsurface extent of the Grenville Front and the Appalachian Front. The thin black*

1145 *lines with arrows denote the thrust faults during accretion and collision and the normal*

1146 *faults during extensional collapse, according to McLelland et al. (2010). The white*

1147 *arrows in (a) and (b) mark the relative direction of the Grenville Province - North*

1148 *American craton collision during the Grenville orogeny. The orange arrows mark the*

1149 *accretion of a series of terranes onto the Grenville Province. The red arrows represent*

1150 *the uplifting due to the orogenic collapse and rifting. In (b), the black two-headed arrows*
1151 *represent the lateral extensional collapse. The background imaging is the cross-sections*
1152 *CC' and DD' of our receiver functions, respectively, which are shown in Figure 3.7. GF*
1153 *= the Grenville Front; AF = the Appalachian Front.*

1154

1155 **3.5. Summary**

1156 Teleseismic P-wave receiver function analysis was used to image the distribution of crustal
1157 thickness within eastern North America. Crustal characteristics and Moho depth both vary
1158 significantly across and within the major tectonic units. Specifically, a deepened Moho is
1159 detected within a narrow zone along the southern part of the Grenville Front. The U.S.
1160 Grenville Province is characterized by a deep Moho and a low-angle eastward-dipping
1161 intra-crustal layer. The northern Grenville crust is relatively thin. Crustal thickness in
1162 general decreases from the Grenville Province to the Appalachian orogen, although the
1163 magnitude and character of the Moho change varies along strike. A sharp 12-15 km Moho
1164 offset is seen in the central Appalachians, and the Moho depth varies more gradually in the
1165 north and south. The observed spatial relation between the geologically-defined tectonic
1166 boundaries and the underlying Moho variations provides new constraints on the depth
1167 extent of the tectonic units within the crust.

1168

1169

CHAPTER 4

1170

Modification of crust and mantle lithosphere beneath the southern part of the

1171

eastern North American passive margin (*Li, C. and Gao, H., (2020). Modification of*

1172

crust and mantle lithosphere beneath the southern part of the eastern North American

1173

passive margin. Geophysical Research Letters, under review)

1174

4.1. Introduction

1175

The eastern North American margin (ENAM; Figure 4.1a) represents an archetypical

1176

passive margin, which experienced a complete episode of the assembly and breakup of

1177

supercontinent Pangea over the last 500 Ma (Thomas, 2006). During the assembly of

1178

Pangea between ~495 Ma and ~270 Ma, a sequence of tectonic terranes progressively

1179

accreted onto Laurentia (the North American craton; Hatcher, 2010; Thomas, 2006).

1180

Extensive rifting along the ENAM started at approximately 230 Ma (Withjack et al., 2012),

1181

accompanied by short-lived magmatic activities. After rifting, multiple stages of intense

1182

magmatic events occurred along the margin, and formed the Central Atlantic Magmatic

1183

Province (CAMP), one of the Earth's largest igneous provinces, over a period of less than

1184

one million years at approximately 200 Ma (e.g., Marzoli et al., 1999; 2018; Olsen et al.,

1185

2003). The breakup of Pangea was completed at ~185 Ma, and the modern passive margin

1186

was ultimately established (Withjack et al., 2012).

1187

1188

The southern segment of the ENAM is characterized by a variety of tectonic features

1189

associated with syn- and post-rifting tectonic events. Strong gravity and magnetic

1190

anomalies align approximately in the SW-NE direction (Figure 4.1b), which have been

1191

proposed to mark the location of initial offshore rifting (Austin et al., 1990; Bonvalot et

al., 2012; Kiltgord et al., 1988). Voluminous mafic dikes and sills, as well as volcanoes, are observed in the southern ENAM (Figure 4.1a; McHone, 2000; Whiteside et al., 2007). In addition, the occurrence of a few large earthquakes (magnitude greater than 5.0) in Virginia and South Carolina (Figure 4.1a; Bakun & Hopper, 2004; Horton et al., 2015) suggests that the continental lithosphere beneath this region is not as geologically stable as conventionally thought. The southern ENAM thus provides an ideal setting to investigate the interaction among rifting, magmatism, and pre-existing lithospheric structures, and to ultimately understand the formation and evolution of lithosphere at passive margins.

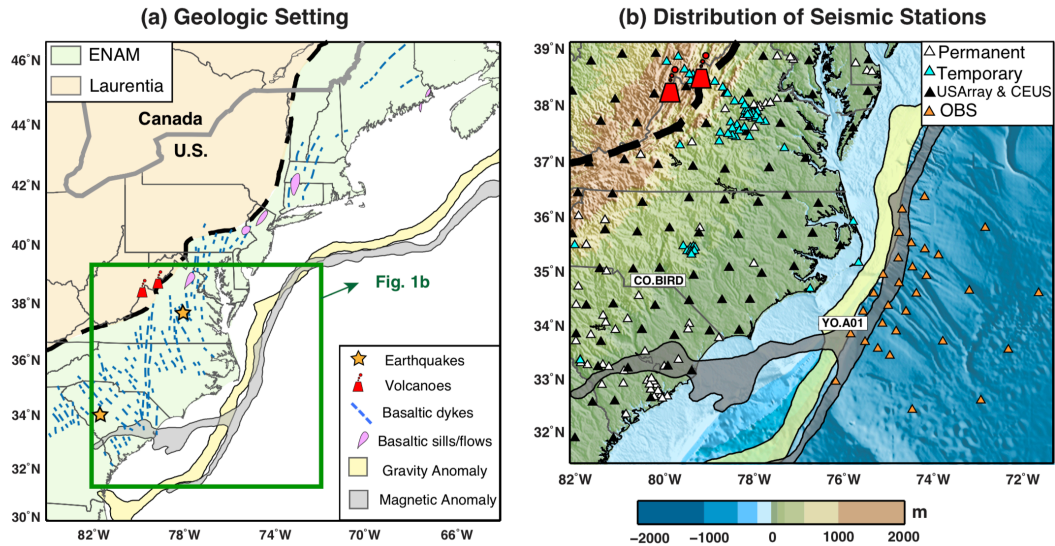


Figure 4.1. (a) Major tectonic features along the eastern North American margin. The thick black dashed line marks the boundary between Laurentia and the eastern North American margin (ENAM). The thick gray line marks the United States-Canada border, and the thin gray lines indicate state boundaries. The thin blue dashed lines mark basaltic dikes, and pink patches represent basaltic sills/lavas, modified after Jourdan et al. (2009). The yellow and gray belts denote the observed positive gravity anomaly and the East Coast magnetic anomaly, respectively. The stars mark the 2011 Virginia

1208 *earthquake (magnitude 5.8) and the 1886 South Carolina earthquake (magnitude 6.9),*
 1209 *based on the U.S. Geological Survey earthquake catalog. The red cones represent the*
 1210 *Eocene volcanoes. (b) Distribution of the broadband seismic stations used in full-wave*
 1211 *ambient noise tomography in this study. The white triangles represent the permanent*
 1212 *stations, the black triangles for the EarthScope U.S. Transportable Array and the*
 1213 *Central and Eastern United States (CEUS) network, the cyan triangles for temporary*
 1214 *onshore stations, and the orange triangles for the ocean bottom seismometers (OBS).*
 1215 *The background color is the bathymetry/topography (National Geophysical Data Center,*
 1216 *1999).*

1217

1218 A fundamental question remains concerning how past tectonic events had modified the
 1219 lithospheric structures in the southern ENAM. It has been commonly agreed that rifting at
 1220 ~230 Ma played a first-order role in the modification of crust and mantle lithosphere in this
 1221 region, based on a variety of geophysical observations. For example, active-source seismic
 1222 surveys observed the variation of Moho depth from ~17 km on the oceanic side to ~40 km
 1223 on the continental side (e.g., Guo et al., 2019; Holbrook et al., 1994). A strong seaward-
 1224 dipping reflector has been detected near the Moho beneath the continental shelf, suggesting
 1225 modification of the lower crust by rifting and rifting-related magmatism (e.g., Guo et al.,
 1226 2019; Hales et al., 1968; Holbrook et al., 1994; Klitgord et al., 1988; Marzen et al., 2020).
 1227 The rifting process may have also resulted in the thickness difference between the oceanic
 1228 (~120-150 km) and continental lithosphere (~200 km) (Savage et al., 2017; Evans et al.,
 1229 2019; Murphy & Egbert, 2019), and consequently induced a convection flow of upper
 1230 mantle (Ramsay & Pysklywec, 2011). The low-velocity anomalies imaged at the base of

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1231 the lithosphere along the oceanic-continental margin have been interpreted to represent the
1232 rifting-induced mantle upwelling (e.g., Mustelier & Menke, 2020; Savage et al., 2017). In
1233 addition, the lithosphere beneath the southern ENAM may have been further modified after
1234 rifting. For example, it has been suggested that arrival of the subducted oceanic Farallon
1235 slab (Schmandt & Lin, 2014) or the Rheic slab (Wang et al., 2019) beneath the
1236 southeastern United States has significantly affected the rheology of continental
1237 lithosphere, leading to lithospheric delamination at multiple scales during 50-200 Ma (e.g.,
1238 Biryol et al., 2016; Evans et al., 2019; Wang et al., 2019; Mazza et al., 2017). Crust and
1239 upper mantle structures beneath the southern ENAM include important information on the
1240 lithospheric evolution during and after rifting. However, most existing studies for
1241 lithospheric structures in the southern ENAM focus on the continental side due to the lack
1242 of offshore instrumentations.

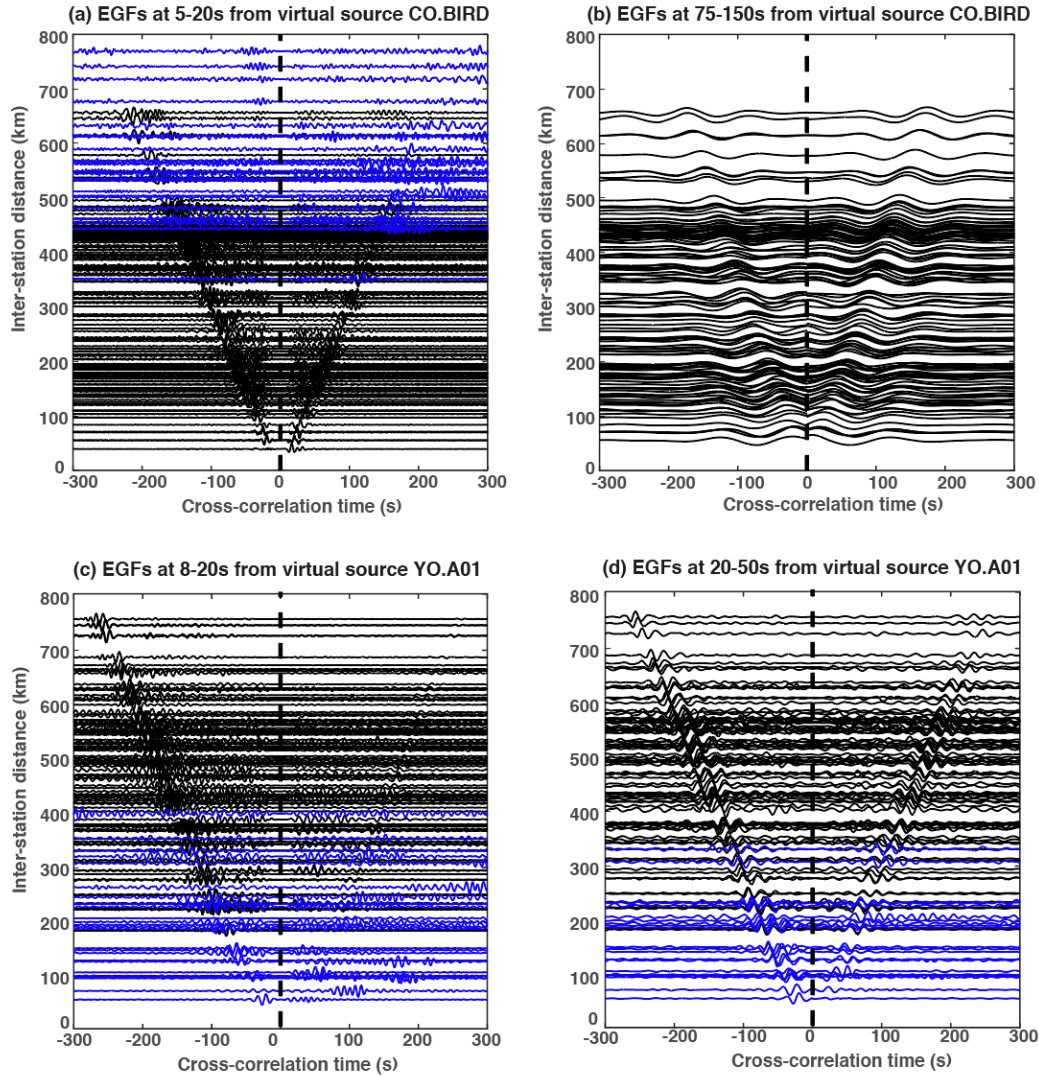
1243

1244 The spatial density of broadband seismic data has significantly increased in both the
1245 onshore and offshore portions of the southern ENAM (Figure 4.1b), benefiting from the
1246 deployment of the EarthScope Transportable Array (IRIS Transportable Array, 2003), the
1247 offshore ENAM Community Seismic Experiment (Gaherty et al., 2014), and many other
1248 regional seismic networks. This provides a new opportunity to comprehensively
1249 investigate the lithospheric structure beneath this region in great detail. In this study, we
1250 present a three-dimensional crustal and upper mantle velocity model from the continental
1251 interior to the oceanic side, using the advanced full-wave ambient noise propagation
1252 simulation and tomographic inversion. Our new model provides seismic evidence for
1253 lithospheric modification associated with rifting, magmatism, and mantle convection.

1254

1255 **4.2. Data and methodology**

1256 We collected the vertical-component seismic recordings for 245 continental stations and
1257 30 ocean bottom seismometers within our study region, with the operating time between
1258 2000 and 2019 (Figure 4.1b). These stations are from 12 long-running networks and 7
1259 temporary dense arrays (See a detailed network description in the Supporting Information
1260 and Table C.1). The empirical Green's functions (EGFs) were extracted as the negative
1261 time derivatives of the stacked daily cross-correlations of ambient noise data, following the
1262 steps described by Gao and Shen (2014) (see the details of extraction of EGFs in the
1263 Supporting Information). The EGFs show high-quality Rayleigh wave signals on both
1264 positive and negative time lags at periods of 5-150 s between land-land station pairs and at
1265 periods of 8-50 s for land-ocean and ocean-ocean station pairs (see examples in Figure 4.2).
1266 The lack of clear Rayleigh-wave signals at longer periods from ocean bottom seismometers
1267 is mainly due to the interference of infragravity waves (e.g., Guo et al., 2019; Janiszewski
1268 et al., 2019). For the EGFs between ocean-land and ocean-ocean station pairs at the periods
1269 of 8-20 s, the signal-to-noise ratios differ significantly between the positive and negative
1270 time segments (see example in Figure 4.2c; see the definition of signal-to-noise ratio in the
1271 Supporting Information). The observed variation of signal-to-noise ratios can be due to the
1272 uneven spatial distribution of noise sources for high-frequency signals, which are primarily
1273 located within the continental shelf (Guo et al., 2020).



1274

1275 *Figure 4.2. Examples of empirical Green's functions (EGFs) from the virtual sources*
 1276 *CO.BIRD (upper panel) and YO.A01 (lower panel) to the receivers. The waveforms are*
 1277 *filtered at periods of 5-20s (a) and 75-150s (b) for the virtual source CO.BIRD, and*
 1278 *filtered at periods of 8-20s (c) and 20-50s (d) for the virtual source YO.A01. Traces are*
 1279 *colored in black for onshore receivers and blue for offshore receivers.*

1280

1281 We simulated wave propagation in the 3-D spherical earth structure using a nonstaggered-
 1282 grid, finite difference method (Zhang et al., 2012; Gao & Shen, 2014; Gao, 2018). We

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parameterized the model into $0.020^{\circ} \times 0.020^{\circ}$ in the longitudinal and latitudinal directions. The vertical grid size linearly increases from 2 km at the surface to 35 km at the depth of 700 km. We chose a global shear velocity model of the crust and upper mantle as the initial reference model (Shapiro & Ritzwoller, 2002). The P-wave velocity was converted from the S-wave velocity with a constant V_p/V_s ratio of 1.76 for the crust and a depth-dependent V_p/V_s ratio from the AK135 model (Kennett et al., 1995) in the upper mantle. The density was calculated as an empirical function of V_p (Christensen & Mooney, 1995). In our model configuration, we ignored the elevation above sea level but considered the presence of the water layer in terms of the bathymetry data (Smith & Sandwell, 1997), given a constant V_p of 1.5 km/s and density of 1.0 g/cm³.

We measured the Rayleigh-wave phase delays between the observed EGFs and the synthetics through cross-correlations at periods of 5-10 s, 8-15 s, 10-20 s, 15-30 s, 25-50 s, 30-60 s, 36-70 s, 50-100 s, and 75-150 s. We required a minimum signal-to-noise ratio of the observed EGFs on both positive and negative time segments to be 6 for land-land pairs and 4 for land-ocean and ocean-ocean station pairs, respectively. For a majority of station pairs, we determined the final phase delays by averaging the delays measured at the positive and negative sides. However, if the signal-to-noise ratio on one side is equal to or greater than two times than the other side, we only use the phase delay measurement from the side with the higher ratio. The raypath coverage of phase delay measurements varies from continent to offshore within our study area (Figure C.3). Most of the continental area is well covered at periods of 5-100 s with raypath numbers larger than 200 per $0.5^{\circ} \times 0.5^{\circ}$

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1306 grid (Figure C.3), allowing us to resolve the seismic structures down to about 100 km
1307 depth. The inclusion of the offshore ENAM Community Seismic Experiment largely
1308 increases the total number of phase delay measurements in the oceanic region between
1309 latitude 33°N and 37°N at periods of 5-50 s, making it feasible to resolve the seismic
1310 features offshore down to about 60 km depth.

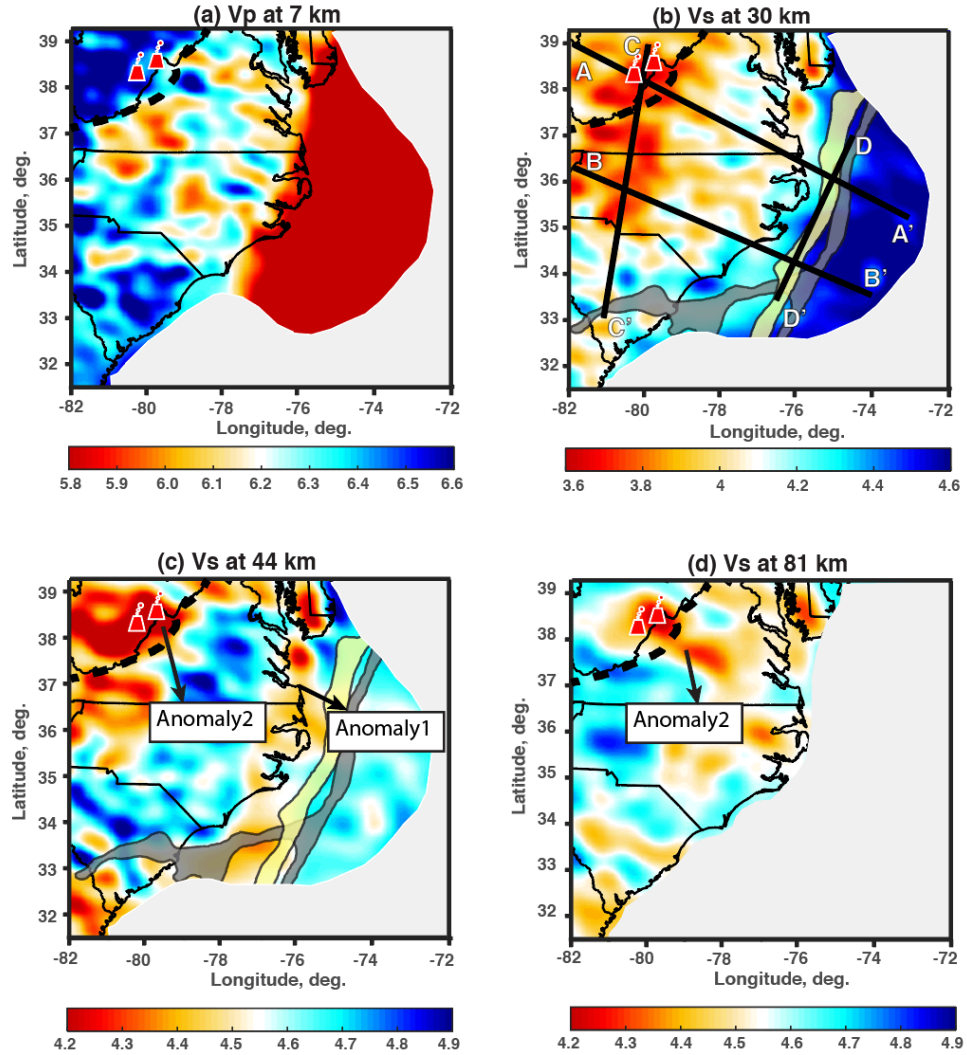
1311

1312 We then calculated the 3-D, finite-frequency sensitivity kernels of Rayleigh waves and
1313 carried out the inversion for both P- and S-wave velocity perturbations (Gao & Shen, 2014;
1314 Zhao et al., 2005; see details in the Supporting Information). As demonstrated by previous
1315 studies (e.g., Gao, 2018; Xia et al., 1999; Zhang & Shen, 2008), Rayleigh waves are more
1316 sensitive to P-wave velocity at shallow depths and to S-wave velocity at greater depths.
1317 The reference model was progressively updated by iteratively reducing the Rayleigh-wave
1318 arrival misfits between the observed and synthetic waveforms for a total of five iterations
1319 of wave simulation and inversion. Our final model has been significantly improved in
1320 comparison with the initial reference model (Figures C.5 and C.6). The synthetic
1321 waveforms generated from the initial model result in a large variation range of the phase
1322 delays, especially at periods shorter than 30 s (Figures C.5 and C.6). In comparison, the
1323 synthetic waveforms generated from our final model can predict the phase arrivals of the
1324 observed EGFs much better, with the phase delays varying within a narrow range (± 2.5 s
1325 at shorter periods of 5-70 s and ± 4.0 s at longer periods of 50-150 s; Figure C.5). The
1326 decreasing of the phase delays, especially at shorter periods, indicates an improvement for
1327 imaging the crustal and uppermost mantle structure in our model.

1328

1329 **4.3. Results**

1330 Our tomographic imaging shows a clear lateral variation of the seismic structure from
1331 ocean to continent at the shallow depths (0-12 km), where P-wave velocities can be better
1332 resolved than S-wave velocities (Figures C.13-C.20; See a detailed description of
1333 resolution tests in the Supporting Information). We separate the water layer from the
1334 oceanic crust using bathymetry data (Smith & Sandwell, 1997). We observe very low P-
1335 wave velocities (<5.0 km/s) for the top 2-8 km depths below the seafloor in the oceanic
1336 part (Figure 4.3a; Cross-sections AA' and BB' in Figure 4.4), which likely reflect the
1337 presence of thick sedimentary layer underneath (Laske et al., 2013). In comparison, the
1338 continental part is marked by low P-wave velocities (<5.0 km/s) for the top ~ 2 km depth
1339 (Cross-sections AA', BB', and CC' in Figure 4.4), which likely indicates a thin
1340 sedimentary layer (Guo et al., 2019; Laske et al., 2013). However, the model resolution
1341 tests of P-wave velocities (Figures C.13-C.15) show that the seismic structures near the
1342 surface cannot be fully resolved. At the depths of 2-12 km, we observe heterogenous P-
1343 wave velocities (6.0-6.5 km/s) within the southern ENAM and fast P-wave velocities (>6.5
1344 km/s) within Laurentia (Figure 4.3a; Cross-sections AA', BB' and CC' in Figure 4.4).

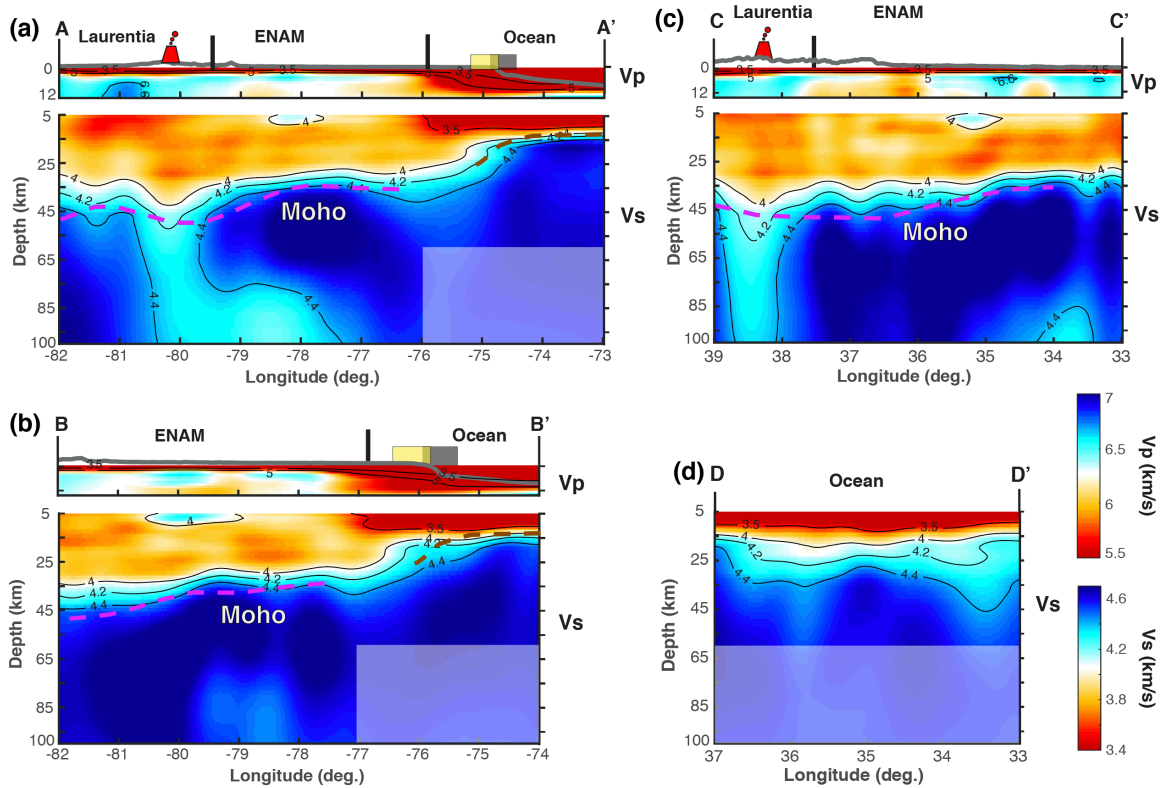


1345

1346 *Figure 4.3. Seismic velocity structure (in km/s) from the crust down to the upper mantle*1347 *in the southern part of the eastern North American margin resolved from full-wave*1348 *ambient noise tomography. (a) P-wave velocities at 7 km depth. (b)-(d) S-wave velocities*1349 *at the depths of 30 km, 44 km, and 81 km, respectively. The solid black lines in (b) mark*1350 *the profile locations in Figure 4.4. Other symbols are the same as in Figure 4.1.*

1351

1352



1353

1354 **Figure 4.4. Cross-sections of the seismic tomographic model. See profile locations in**
 1355 **Figure 4.3e. (a)-(c), P-wave velocities for the top 12 km depths (upper panel) and S-wave**
 1356 **velocities at the depths of 5-100 km for the continental part and at the depths of 5-60 km**
 1357 **for the oceanic part (lower panel). The pink dashed line denotes the continental Moho**
 1358 **inferred from teleseismic receiver functions by Li et al. (2020), and the brown dashed**
 1359 **line denotes the oceanic Moho inferred from the 2-D active-source survey by Holbrook**
 1360 **et al. (1994). The yellow and gray columns in (a) and (b) represent the gravity and**
 1361 **magnetic anomalies, respectively. The thick gray line on the top of each profile**
 1362 **corresponds to the topography/bathymetry with the green patch for the water layer. The**
 1363 **red cone in (a) and (c) indicates the Virginia volcano. (d) S-wave velocity model at the**
 1364 **depths of 5-60 km for the oceanic part. Note that the maximum resolvable depths are**

1365 *about 100 km for the onshore structure and 60 km for the offshore seismic structure,*
1366 *respectively. The velocity model below the depth of 60 km on the oceanic side is masked*
1367 *with the translucent shade.*

1368

1369 Our model demonstrates distinct variations of S-wave velocities within a depth range of 5-
1370 100 km for the continental part and 5-60 km for the oceanic part. The crustal thickness
1371 within our study region varies from ~30-45 km on the continental side (e.g., Li et al., 2020)
1372 to ~12-17 km on the oceanic side (e.g., Guo et al., 2019; Holbrook et al., 1994), which we
1373 hereinafter refer to. The oceanic crust is characterized by a nearly uniform low-velocity
1374 layer (<3.5 km/s) for the top 5-10 km depths, and reaches a velocity of ~4.2 km/s at the
1375 bottom of the crust (Figure 4.4). In contrast, the S-wave velocities in the continental crust
1376 vary with a range of ~3.7-4.4 km/s (Figure 4.4). A faster-than-average lower crust beneath
1377 the eastern United States has been imaged by recent seismic studies (e.g., Marzen et al.,
1378 2020; Yang & Gao, 2018), indicating that the lower crust has been modified and densified.
1379 Within a depth range of ~15-40 km, we observe a strong lateral decreasing of S-wave
1380 velocity across the ocean-continent margin over a horizontal distance of ~70 km (Figures
1381 4.3b and 4.3c; Cross-sections AA' and BB' in Figure 4.4). This fast-to-slow velocity
1382 variation (from >4.6 km/s in the oceanic part to ~3.9-4.3 km/s in the continental part)
1383 reflects the transition from the oceanic uppermost mantle to the continental crust, due to
1384 the increasing of crustal thickness from ocean to continent. The model recovery test
1385 demonstrates that the lateral variation of the shear-wave velocities can be well constrained
1386 (Figure C.19).

1387

We observe two low S-wave velocity features within the mantle lithosphere. One velocity anomaly lies below the transitional oceanic-continental crust and extends down into at least 60 km (Figures 4.3d, 4.3e, and 4.4). The S-wave velocity of this anomaly is ~ 4.3 - 4.5 km/s, ~ 6 - 10% lower than the average surrounding mantle velocity of ~ 4.8 km/s (Figures 4.3d and 4.3e; Cross-section AA' in Figure 4.4). The other low-velocity anomaly is imaged beneath eastern West Virginia and western Virginia, which extends nearly vertically from the Moho down to at least ~ 100 km depth (Figures 4.3d-4.3f; Cross-sections AA' and CC' in Figure 4.4). The S-wave velocities of this anomaly are ~ 4.1 - 4.4 km/s, which are significantly lower (up to 16% reduction) than the surroundings. The horizontal dimension of this low-velocity anomaly is ~ 150 km at the uppermost mantle (Figure 4.3d) and decreases downward to ~ 100 km at the depth of 80 km (Figure 4.3f). At depths greater than ~ 80 km, this anomaly appears to be slightly tilted towards east (Cross-section AA' in Figure 4.4). The model recovery test further validates that the scale and geometry of our observed low velocity anomalies are robust in our model (Figure C.20).

1402

1403 4.4. Discussion

Our new tomographic model provides a well-constrained seismic structure of the crust and upper mantle beneath the entire southern ENAM, benefiting from the integration of onshore and offshore seismic data sets and the advanced methodology we implemented. Both the lateral and vertical scales of the seismic features have been significantly improved in comparison with previous studies (Figures C.21 and C.22). For example, our model provides a tighter constraint on the distribution of sediments marked by low seismic velocities (Figure 4.4), in comparison with the results from Lynner and Porritt (2017)

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(Figure C.21). The clear decreasing of the sediment thickness from offshore to onshore is also consistent with results from active-source seismic surveys (e.g., Guo et al., 2019; Hales et al., 1968; Klitgord et al., 1988). The slow-to-fast velocity transition from offshore to onshore at a depth range of ~15-40 km revealed in our model (Figures 4.4 and C.12) approximately matches the variation of crustal thickness defined by teleseismic receiver function analysis (e.g., Li et al., 2020) and active-source seismic surveys (e.g., Guo et al., 2019; Holbrook et al., 1994). Even though the low-velocity anomaly beneath the Virginia volcanoes has been previously imaged (e.g., Schmandt et al., 2015; Shen & Ritzwoller, 2016; Wagner et al., 2018), our model resolves the eastward-tilting geometry of this anomaly (Figure C.22). Furthermore, our study finds the low-velocity uppermost mantle beneath the transitional oceanic-continental crust, which was not recognized by previous studies (Figures 4.3 and 4.4).

We hypothesize that the oceanic-continental transitional crust revealed in our model was formed as a consequence of rifting. This hypothesis is supported by a few evidences. First, the eastern boundary of the transitional crust roughly aligns with the gravity and magnetic anomalies (Cross-sections AA' and BB' in Figure 3), which have been proposed to represent the location of initial rifting (e.g., Austin et al., 1990; Bonvalot et al., 2012; Klitgord et al., 1988). Second, dynamic modeling studies demonstrate that rifting could cause extension of continental crust beneath the margin, and lead to a ~20 km thinning of the crust across a horizontal distance of ~80 km over a period of ~2.5 Ma (Huisman & Beaumont, 2008; Van Avendonk et al., 2009), consistent with our observations. Third, the crustal thinning due to rifting could result in a surface subsidence, thus facilitating the

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1434 formation of a thick sedimentary layer (Huisman & Beaumont, 2008). This is supported
1435 by our observation of a thick layer of low seismic velocities above the transitional crust
1436 (Cross-sections AA' and BB' in Figure 3). As rifting developed further eastward into the
1437 spreading center, a new oceanic crust was formed and the thinning of the transitional crust
1438 was terminated (Lynner & Porritt, 2017; Van Avendonk et al., 2009).

1439

1440 The lower-than-average (~6-10% velocity reduction) seismic velocities underlying the
1441 transitional crust suggest that the mantle lithosphere, at least to some degree, had been
1442 chemically and thermally modified during the rifting process. It has been demonstrated that
1443 the depletion of continental lithosphere due to rifting can decrease the magnesium and
1444 aluminum contents, and this composition variation would contribute to ~1-2% reduction
1445 of seismic velocity (Connolly, 2009; Dalton & Faul, 2010). Moreover, rifting can lead to
1446 the thinning of continental lithosphere and thus trigger asthenospheric upwelling along the
1447 southern ENAM (e.g., Lynner & Bodmer, 2017; Savage et al., 2017). This hot
1448 asthenospheric upwelling could accelerate heat conduction into the shallow mantle and
1449 further reduce the seismic velocities (Accardo et al., 2020; Hopper et al., 2020). Goes et al.
1450 (2000) suggested that a temperature increase of ~120°C in the mantle lithosphere would
1451 lead to a ~2.5% decreasing of shear velocities. We thus estimate the temperature in the
1452 transitional lithosphere is ~240-380°C higher than the surroundings, contributing to a ~5%-
1453 8% reduction of shear velocities. This temperature range is roughly consistent with the
1454 estimation by Savage et al. (2017). Together, composition variation and temperature
1455 increase would explain the observed velocity reduction of the mantle lithosphere within
1456 the transitional zone.

1457

1458 Even though our preferred interpretation for the low-velocities beneath the transitional
1459 crust is related to the chemical and thermal modifications, we cannot rule out the
1460 contribution of a small portion of partial melting. Based on Hammond and Humphreys
1461 (2000), ~1% partial melting would be enough to account for the velocity reduction we
1462 observed in the mantle lithosphere within the transitional zone. Active-source surveys (e.g.,
1463 Holbrook et al., 1994; Marzen et al., 2020) suggested the underplating of igneous materials
1464 beneath the transitional crust, supporting the presence of partial melting as well. It is likely
1465 that the rifting-induced asthenospheric upwelling brought up partial melts into the mantle
1466 lithosphere via percolation or diking. Similar process has also been proposed in other
1467 rifting settings, such as the Malawi rift zone in eastern Africa (Accardo et al., 2020; Hopper
1468 et al., 2020).

1469

1470 Either of two interpretations for the low-velocity anomaly beneath the oceanic-continental
1471 transitional crust needs a continuous supply of heat. Two models can be used to explain
1472 the possible mechanisms of heat. First, the heat might come from the upwelling
1473 asthenosphere triggered by the rifting process. This idea has been supported by the
1474 observation of a low velocity anomaly at the bottom of lithosphere beneath the oceanic-
1475 continental margin (Savage et al., 2017). If so, it might suggest that the rifting-induced
1476 upwelling asthenosphere has been preserved for ~200 Ma. Second, the heat might be
1477 caused by the edge-driven convection beneath the oceanic-continental margin. Previous
1478 studies in our study region have demonstrated the continental lithospheric thickness of
1479 ~200 km (e.g., Evans et al., 2019; Murphy & Egbert, 2019) and have estimated the oceanic

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1480 thickness of ~120-150 km (Savage et al., 2017). This large variation of lithospheric
1481 thickness between oceanic and continental part provides a good condition for edge-driven
1482 convection. In this case, the return flow of edge-driven convection might cause the thermal
1483 perturbation and therefore provide the heat (Ramsay&Pysklywec, 2011).

1484

1485 The uppermost mantle low-velocity column imaged at the easternmost edge of Laurentia
1486 provides a probable magmatism for the Virginia volcanoes, considering their tight spatial
1487 correlation (Figures 2c-2f and 3). The thermobarometric modeling by Mazza et al. (2014)
1488 indicates that the basanites in the Virginia volcanoes originated from the upper mantle,
1489 which supports our interpretation. In addition, previous studies have also identified low
1490 resistivities, low seismic velocities and high seismic attenuation at depths of ~100-200 km
1491 in this region (e.g., Biryol et al., 2016; Byrnes et al., 2019; Evans et al., 2019; Murphy &
1492 Egbert, 2019), consistent with our observation. We therefore propose the observed low-
1493 velocity column beneath the Virginia volcanoes represents an asthenospheric upwelling.
1494 Furthermore, the eastward tilting of this low-velocity column in our model implies that the
1495 upwelling is likely related to the rifting-induced mantle convection beneath the oceanic-
1496 continent margin. In this scenario, the asthenospheric upwelling must find a way to
1497 penetrate through the base of lithosphere into the uppermost mantle of the continental
1498 interior (Figures 2d-2f). One possible mechanism is a localized delamination at ~50 Ma
1499 beneath the Virginia volcanoes (e.g., Mazza et al., 2014, 2017; Wagner et al., 2018),
1500 triggered by the arrival of Farallon or Rheic slab (Schmandt & Lin, 2014; Whalen et al.,
1501 2015). The localized delamination would result in a void or weak zone at the base of
1502 lithosphere, providing a path for the upward and landward migration of the rifting-induced

1503 asthenospheric upwelling. The asthenospheric upwelling must undergo decompression
1504 during its upward migration, leading to the Virginia volcanisms during the Eocene period.

1505

1506 **4.5. Summary**

1507 We constructed a high-resolution lithospheric velocity model beneath the southern ENAM
1508 using the advanced wave propagation simulation and inversion method by integrating both
1509 onshore and offshore ambient noise seismic waveforms. Our model recognizes a continent-
1510 ocean transitional crust over a lateral distance of ~ 70 km and a low-velocity uppermost
1511 mantle underneath. Our tomographic model reveals a distinct low-velocity column in the
1512 mantle lithosphere beneath eastern West Virginia and western Virginia, which slightly tilts
1513 eastward at greater depths. We propose that crustal extension during the initial rifting at
1514 ~ 230 Ma formed the transitional continental-oceanic crust. Rifting could trigger an
1515 asthenospheric convection flow, and have consequently modified the mantle lithosphere
1516 beneath the transitional crust. Furthermore, a localized lithospheric delamination beneath
1517 western Virginia at ~ 50 Ma could promote the landward migration of the rifting-induced
1518 convection flow, providing a possible magmatism for the Virginia volcanoes. Our findings
1519 provide insights on lithospheric modification associated with rifting and mantle convection
1520 at passive margins.

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CHAPTER 5

General conclusions and perspectives

5.1.Conclusions

In this thesis, I aim to understand the formation and evolution of continental lithosphere beneath eastern North America by exploring seismic characteristics in the crust and upper mantle. In order to do so, we collected and analyzed large seismic data sets in eastern North America. We first investigated the variation of crustal thickness beneath the northern Appalachian mountains using P-wave receiver function analysis (Chapter 2) and then extended this investigation into the entire eastern North American region (Chapter 3). We also explored the possible relationship between the geologically-defined tectonic boundaries and variations of seismically-identified subsurface discontinuities (including the Moho and intra-crustal layer). Moreover, we constructed a high-resolution velocity model beneath the southern part of eastern North American margin using full-wave ambient noise tomography (Chapter 4), in order to understand the modification of lithosphere structure due to past tectonic events.

Our P-wave receiver function studies in Chapters 2 and 3 found that the depths of both Moho and intra-crustal layers vary significantly across and within the major tectonic units. Specifically, there are distinct differences in crustal thickness between the northern and southern Grenville Province. A dipping intra-crustal feature can be seen within the central Grenville Province. The Moho depth decreases southeastward across the Grenville-Appalachian boundary, with a sharp Moho offset in the central segment and a more gradual variation to the north and south. The observed depth variations of Moho and intra-crustal

Chapter 5

1549 layers show a rough spatial correlation with geologically defined tectonic boundaries in
1550 eastern North America. The thickness difference between the southern and northern
1551 Grenville-aged crusts suggests different tectonic and/or exhumation histories during and
1552 after the Grenville Orogeny. Differences in the steepness of the Moho offset along the
1553 strike of Appalachians probably reflect variation of the steepness of the subsurface
1554 boundary between Laurentia and accreted terranes with different intensities of syn- and/or
1555 post-orogenic modification.

1556

1557 Our tomography studies in Chapter 4 identify a clear change in the crustal thickness over
1558 a transitional zone from ocean to continent. Beneath the transitional crust, the upper mantle
1559 has relatively lower seismic speeds in comparison with its surroundings. We also discover
1560 a low-velocity column within the upper mantle beneath West Virginia where two volcanos
1561 are located. We propose that the transitional oceanic-continental crust was formed due to
1562 crustal extension during rifting. The mantle lithosphere underlying the transitional crust
1563 could have been thermally and chemically altered, with possible presence of partial
1564 melting, during and after the rifting process. The rising flow of asthenosphere induced by
1565 rifting might result in partial melting of the upper mantle beneath the continental interior,
1566 which can explain the presence of volcanoes in West Virginia.

1567

1568 Studies in this thesis identified the distribution of crustal thickness and velocity structures
1569 in great detail. These seismic characteristics, together with other geological and
1570 geodynamic evidences, provide an important constraint on the lithospheric modification
1571 associated with continental collision, rifting, and other tectonic events.

1572 **5.2.Future work**

1573 This section briefly presents some possible future research directions building on this
1574 thesis:

1575 • **Detailed crustal structures geometries and crustal anisotropy beneath eastern**
1576 **North American**

1577 Our receiver function studies in Chapters 2 and 3 show first-order variations in the crustal
1578 thickness and intra-crustal layer depth beneath eastern North America. However, detailed
1579 3-D geometries of observed crustal structures are unable to be reliably imaged with the
1580 approach (CCP stacking) we applied in this thesis. For example, the strike angle and
1581 sharpness of the observed Moho step in the central Appalachians are unknown, as is the
1582 nature of observed intra-crustal layer beneath the U.S. Grenville Province.

1583

1584 On the other hand, P-wave receiver function data also include information of crustal
1585 anisotropy, which provides important constraints on the lithospheric deformation during
1586 past tectonic events. Combination crustal anisotropy with our observed crustal thickness
1587 variation and velocity distribution in eastern North America would be crucial for our
1588 comprehensive understanding of lithospheric formation and evolution. How we use P-
1589 wave receiver function analysis to identify complex crustal structures as well as crustal
1590 anisotropy would be a subject of a follow-up study.

1591

1592 • **Velocity structures of the crust and mantle lithosphere beneath eastern North**
1593 **American**

Chapter 5

1594 Our tomography study in Chapter 4 majorly focuses on the lithospheric velocity structures
1595 beneath the southern part of eastern North American margin. However, the lithospheric
1596 structures beneath the rest of eastern North American region remain unclear and need to
1597 be investigated in the near future. With the constraints of crustal thickness variation from
1598 Chapters 2 and 3, the velocity model would identify seismic features within crust and upper
1599 mantle in great detail. Specific scientific questions for the future tomographic study
1600 include, but are not limited to: 1) How do the lithospheric velocity structures change across
1601 the different tectonic units? 2) Were lithospheric structures inherited from the pre-existing
1602 architecture of continental lithosphere or newly developed after continental formation? 3)
1603 Which specific tectonic events contributed to the variations of lithospheric structures.
1604 Answering those questions will be great helpful for our understanding of formation and
1605 evolution of lithosphere beneath eastern North America.

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APPENDIX A

Supporting information for Chapter 2

A.1 Data

In this study, we chose a total of 87 permanent stations and 109 temporary stations (see the station distribution in Figure A.1). These include stations from the Canadian National Seismograph Network (CN; Geological Survey of Canada, 1989), the Global Seismograph Network (IU; Albuquerque Seismological Laboratory (ASL)/USGS, 1988), the Lamont-Doherty Cooperative Seismographic Network (LD; Lamont Doherty Earth Observatory (LDEO), Columbia University, 1970), the New England Seismic Network (NE; Albuquerque Seismological Laboratory (ASL)/USGS, 1994), the Portable Observatories for Lithospheric Analysis and Research Investigating Seismicity (PO; Geological Survey of Canada, 2000), the EarthScope USArray Transportable Array (TA; IRIS Transportable Array, 2003), the United States National Seismic Network (US; Albuquerque Seismological Laboratory (ASL)/USGS, 1990), the Southern Ontario Seismic Network (WU; University of Western Ontario (UWO Canada), 1991), the Deep Structure of Three Continental Sutures in Eastern North America (X8; Menke et al., 2012), the Central and Eastern US Network (N4; UC San Diego, 2013), and the Penn State Network (PE, XY; Penn State University, 2004; 2013). The well-distributed coverage of broadband seismic stations makes it possible to obtain a detailed map of the crustal thickness using teleseismic receiver functions.

Appendix A

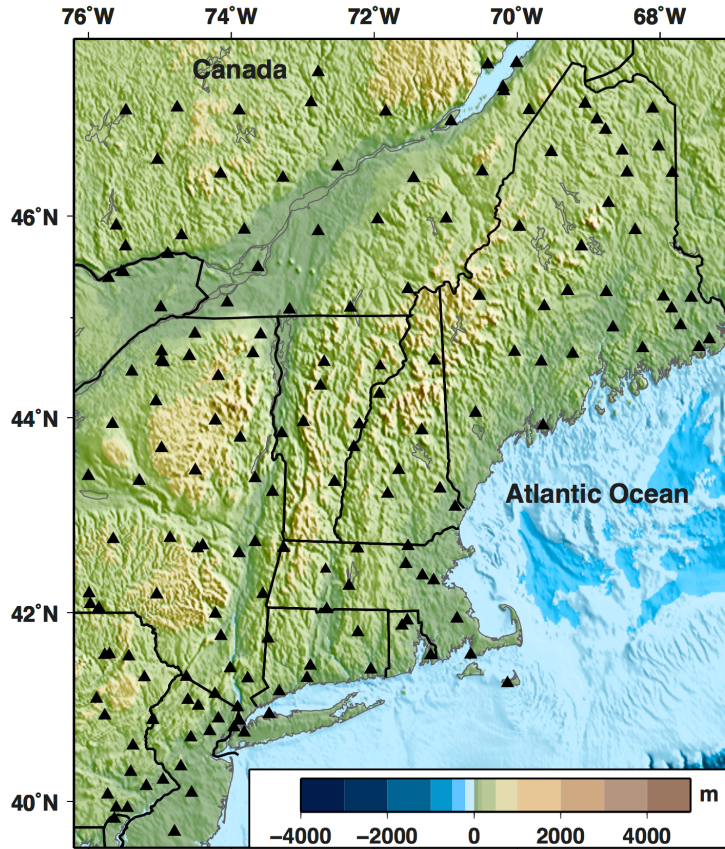


Figure A.1. Distribution of broadband seismic stations (black triangles) used for the analysis of teleseismic receiver functions in this study. The background color is the bathymetry/topography.

A.2 P-wave receiver function analysis

The RF analysis has been successfully applied to study the distribution of sharp velocity discontinuities at crustal, lithospheric, and upper mantle scales. The basic idea of the RF analysis is to deconvolve the vertical component from the radial component (Langston, 1979; Ammon, 1991), which removes the effects of source time function and the instrument response, and resolves the structure beneath the station. Prior to the calculation of teleseismic RFs, we cut the raw seismograms 30 s before and 60 s after the predicted P

Appendix A

1644 wave arrivals based on the one dimensional IASP91 velocity model (Kennett & Engdahl,
1645 1991). We then removed the mean and the first-order linear trend from the waveforms. The
1646 seismograms were band-pass filtered at 0.05-1.2 Hz, and the horizontal components were
1647 rotated along the free surface to obtain radial and transverse components of motion.

1648

1649 For this study, we chose the water-level frequency-domain deconvolution method
1650 (Langston, 1979; Ammon, 1991), which is described by

1651

$$1652 \quad H(\omega) = \frac{R(\omega) \times Z^*(\omega)}{\phi(\omega)} \times G(\omega), \quad (1)$$

$$1653 \quad \phi(\omega) = \max \{Z(\omega) \times Z^*(\omega), c \times \max\{Z(\omega) \times Z^*(\omega)\} \quad (2)$$

1654

1655 where $H(\omega)$, $R(\omega)$ and $Z(\omega)$ denote radial receiver function, radial and vertical
1656 components of the seismic recordings, respectively. * indicates complex conjugation and
1657 $G(\omega)$ is a Gaussian filter (Langston, 1979; Ammon, 1991). To stabilize the calculation of
1658 receiver functions, the original water-level deconvolution method implements a constant
1659 spectral parameter c for all the stations (Langston, 1979). In this study, we modified the
1660 method to estimate the water-level parameter based on the noise level prior to P arrivals on
1661 the vertical components for each station. We then applied a low-pass Gaussian filter with
1662 a 1.0 s Gaussian pulse width to remove the high-frequency noise in the calculated receiver
1663 functions.

1664

1665 The selected radial receiver functions were converted to depth using the common
1666 conversion point (CCP) stacking method (Dueker & Sheehan, 1998; Hansen & Dueker,

Appendix A

2009). For horizontal layers, the P_{ms} arrival time after the direct P wave is described as

$$T_{Pms}(p) = \int_D^0 [\sqrt{Vs(z)^{-2} - p^2} - \sqrt{Vp(z)^{-2} - p^2}] dz$$

where p is the ray parameter and D is the maximum depth. V_p and V_s are the P- and S-wave velocities, respectively. We interpolated the three-dimensional velocity model by Shen and Ritzwoller (2016) to obtain the seismic velocities beneath each seismic station. The receiver functions were then binned by back-azimuth and ray parameter. Considering the distribution of seismic stations within our study area, we divided the study region into the northern and southern zones. The horizontal bin size is 20 km in the southern part and 40 km in the northern part, and the radii of the bins are 1.5 times of the bin sizes. The bin size is 1 km vertically down to 150 km depth. The Moho depth is defined as the depth of the maximum positive amplitude in binned RFs within a depth range of 20-70 km.

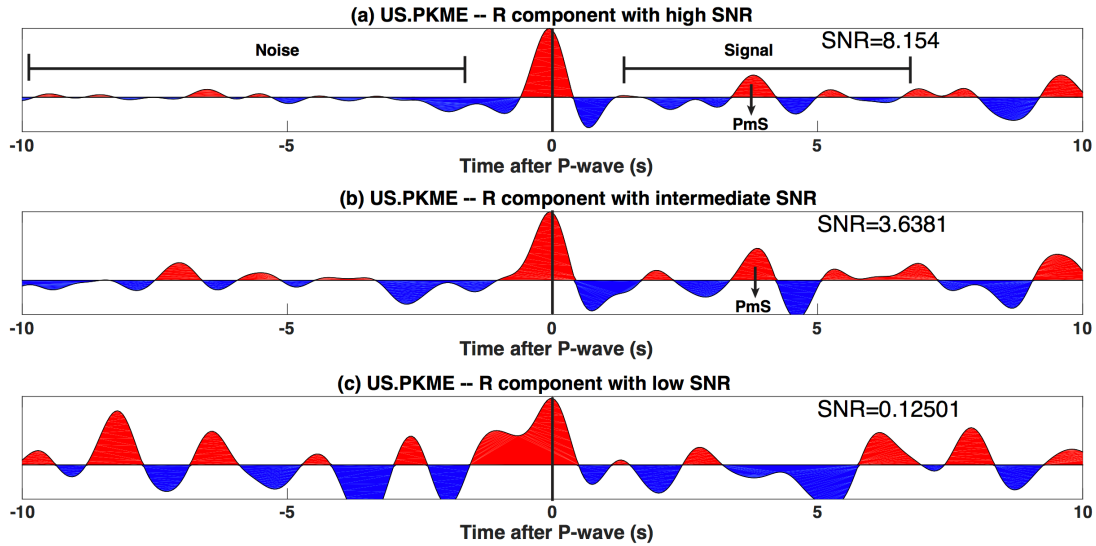
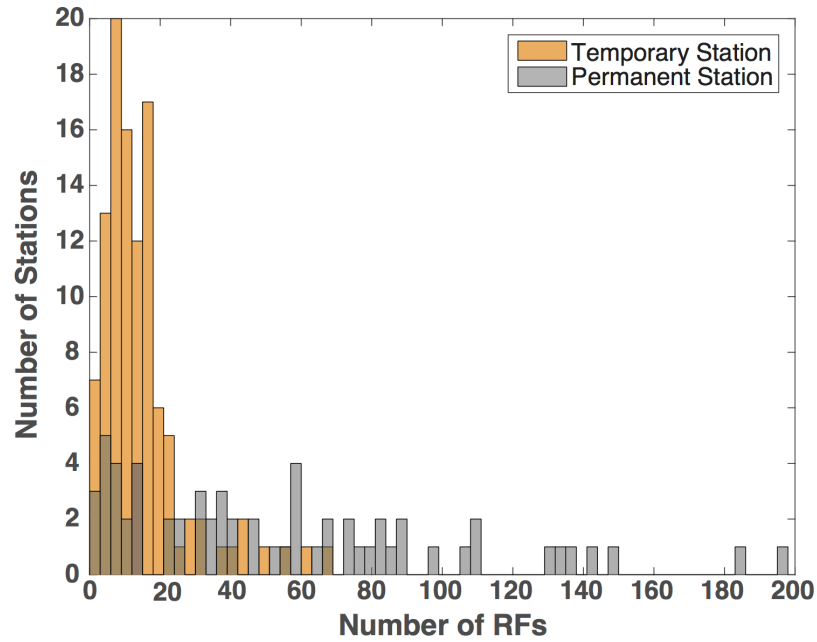


Figure A.2. Definition of the signal-to-noise ratio of the observed radial-component receiver functions. The phase at zero time is the direct P-wave arrival. Here we use station US.PKME as an example. See station location in Figure 2. 4a.

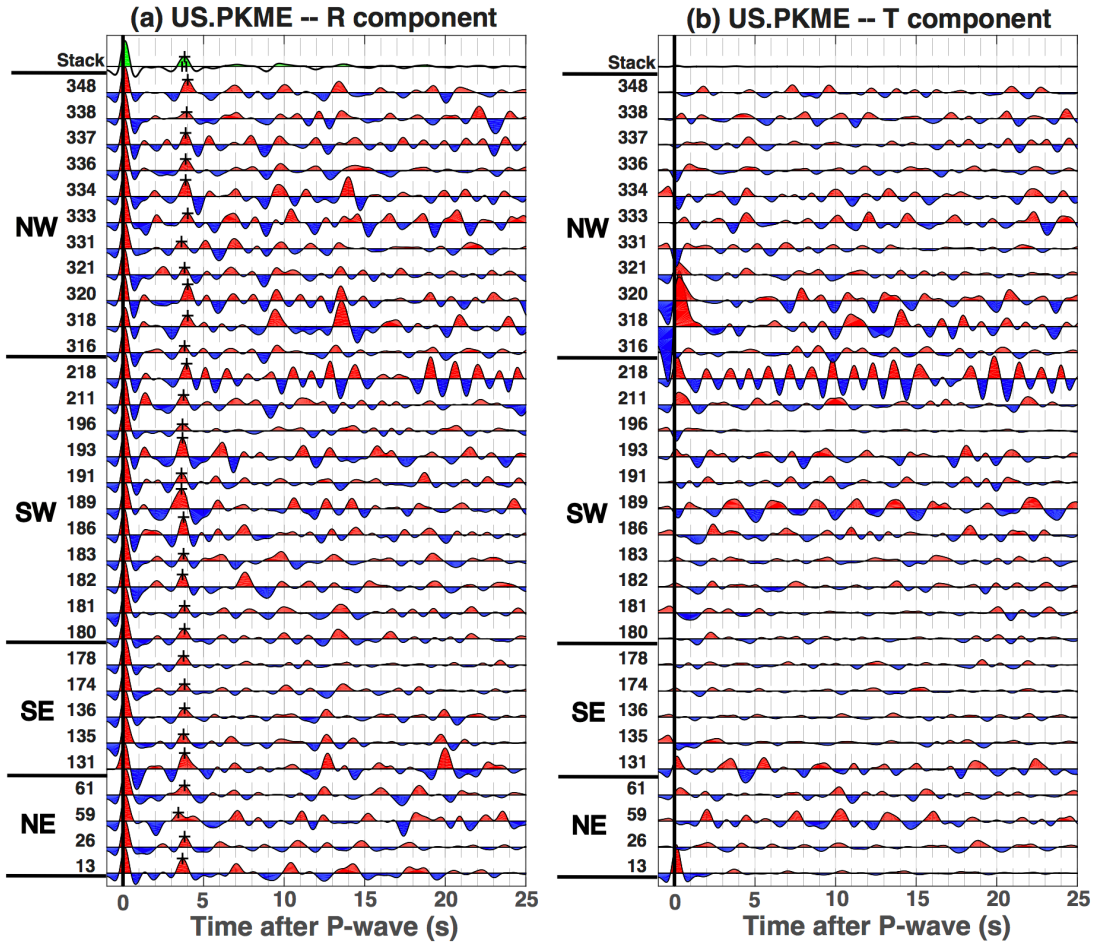
Appendix A



1682

1683 *Figure A.3. Distribution of the number of selected receiver functions for permanent*
 1684 *(gray colors) and temporary stations (orange color). The horizontal axis and vertical axis*
 1685 *are the numbers of the receiver functions and stations, respectively. See Table A.1 for a*
 1686 *detailed description.*

1687

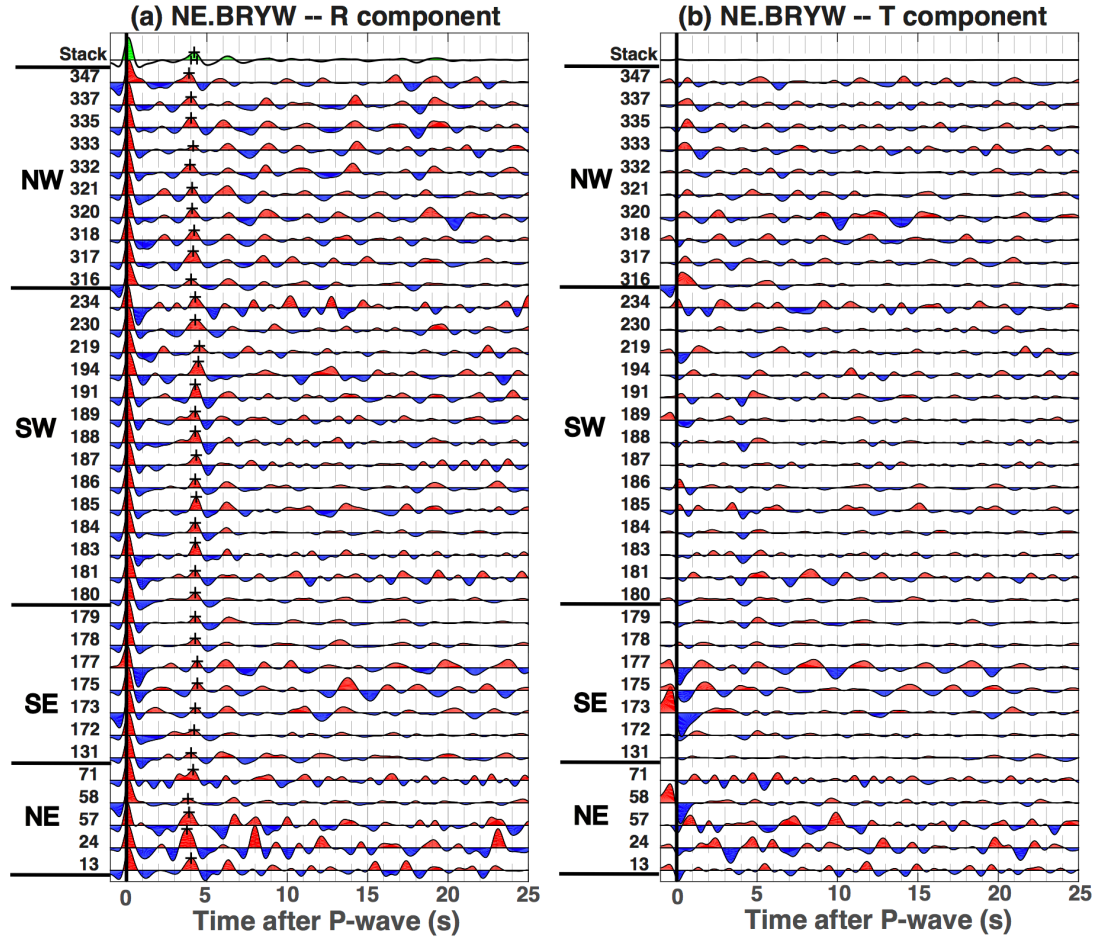


1688

1689 *Figure A.4. Examples of normalized radial- and transverse-component receiver*
 1690 *functions for station US.PKME, sorted by back-azimuth. The back-azimuths are divided*
 1691 *into four quadrants, ranging within 0°-90° (NE), 90°-180° (SE), 180°-270° (SW), and*
 1692 *270°-360° (NW). The top one is the stacked receiver function. The waveforms are filtered*
 1693 *at 0.1-1.2 Hz. The phases at zero time are direct P wave arrivals.*

1694

Appendix A

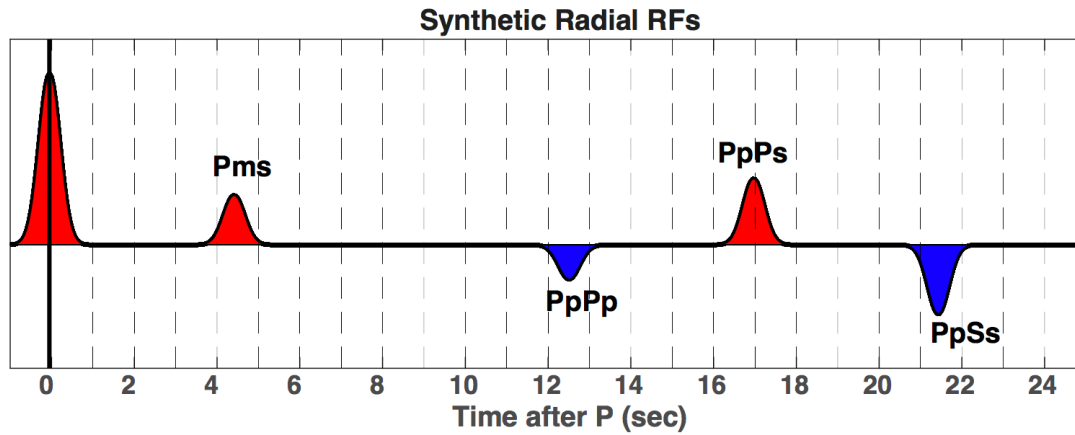


1695

1696 *Figure A.5. Examples of normalized radial- and transverse-component receiver*
 1697 *functions for station NE.BRYW, sorted by back-azimuth. The waveforms are filtered at*
 1698 *0.1-1.2 Hz.*

Appendix A

	Model 1	Model 2
Layer 1	H = 39.5 km Density = 2.84 g/cm ³ Vs = 3.67 km/s Vp/Vs = 1.7	H = 35 km Density = 2.84 g/cm ³ Vs = 3.67 km/s Vp/Vs = 1.9
Layer 2	H = --- --- Density = 3.44 g/cm ³ Vs = 4.67 km/s Vp/Vs = 1.7	H = --- --- Density = 3.44 g/cm ³ Vs = 4.67 km/s Vp/Vs = 1.9



1699

1700 *Figure A.6. Forward modeling of receiver functions using the method by Frederiksen*
 1701 *and Bostock (2000) to examine the effect of Vp/Vs ratio on the Moho depth estimation.*
 1702 *Both Model 1 and Model 2 above produced same receiver functions below.*

Appendix A

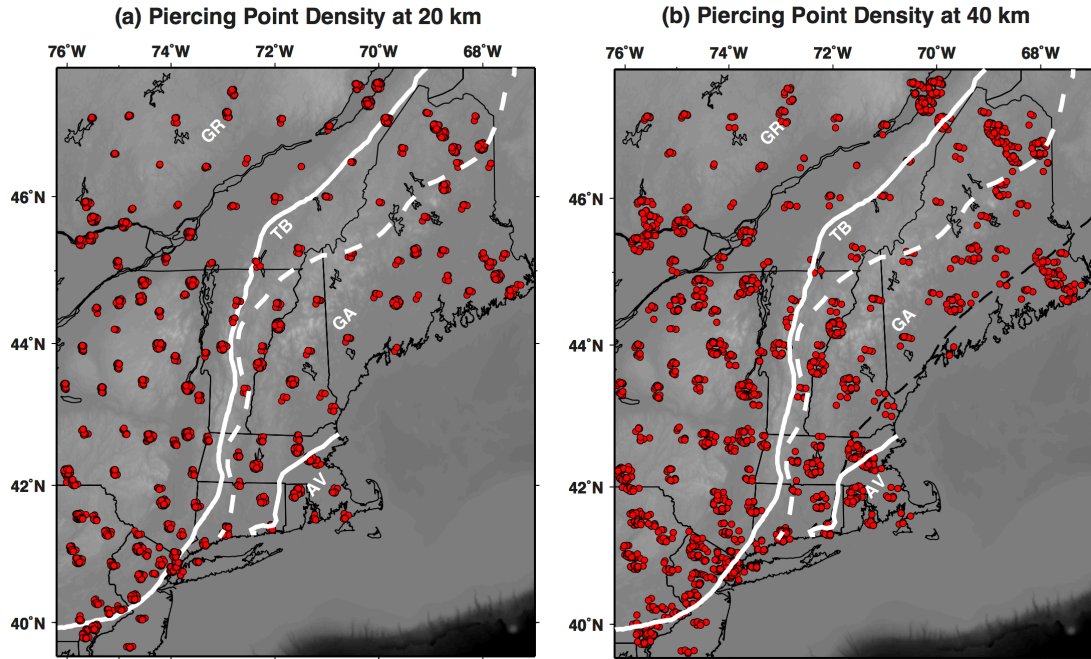
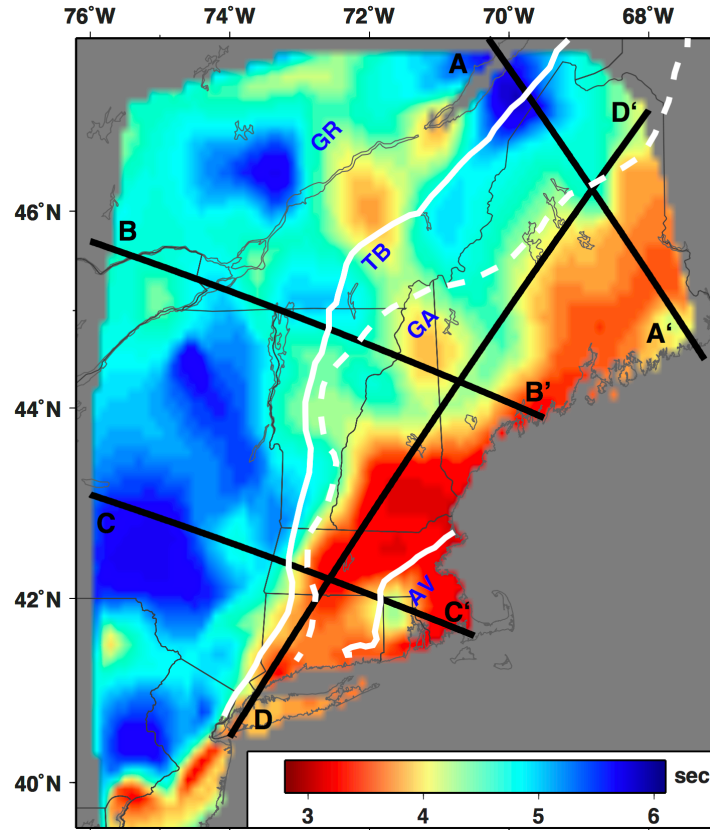


Figure A.7. Piercing point density of radial-component RFs (red dots) at depths of 20 km and 40 km, respectively, in our study region. The black solid lines mark the state boundaries and the white lines mark the interpreted tectonic units, as in Figure 2.1a.

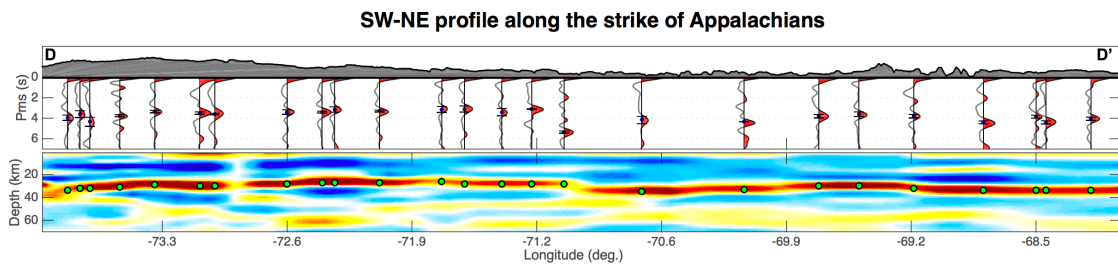
Appendix A



1708

1709 **Figure A.8.** Distribution of the P_m s arrival time (in seconds) interpolated in this study.

1710 The thick black lines mark the profile locations in Figures 2.4 and A.9.



1711

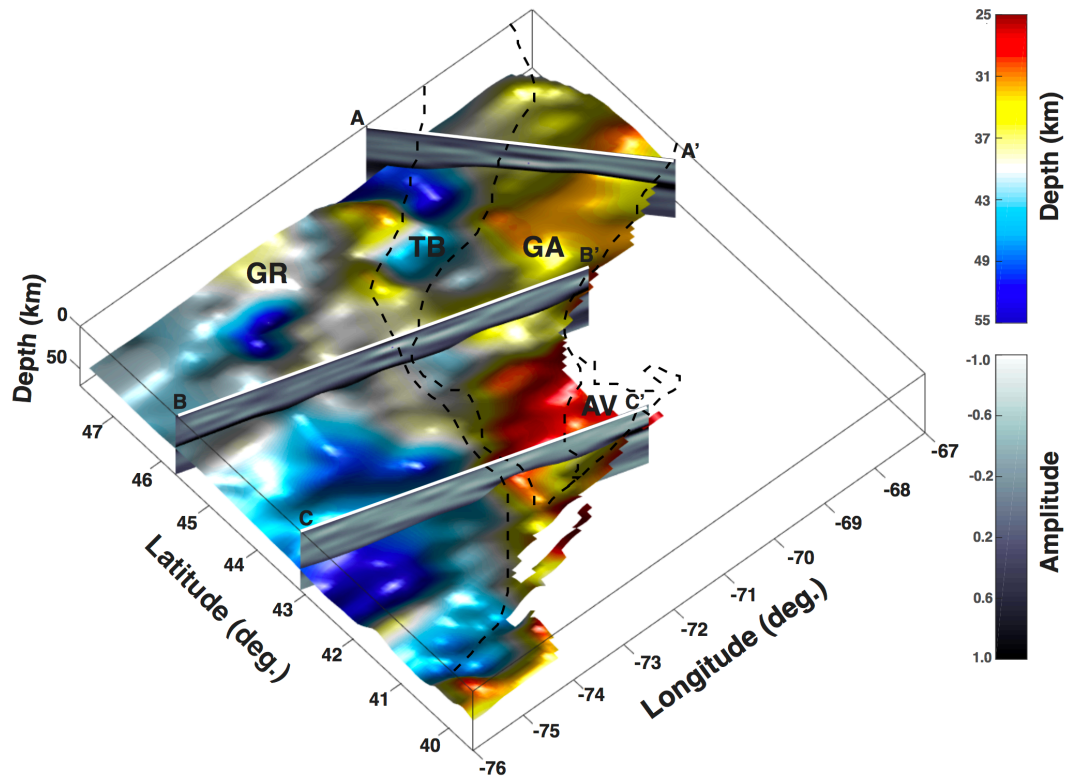
1712 **Figure A.9.** The cross-section of the stacked radial-component receiver functions in the

1713 time domain (upper panel) and the corresponding depth profile from the common

1714 conversion point stacking (bottom panel) along the strike of the Appalachian terranes.

1715 See profile location in Figure A.8.

Appendix A



1716
 1717 **Figure A.10.** Distribution of three-dimensional Moho depth variations. *AA'*, *BB'*, and
 1718 *CC'* correspond to the three profiles in Figure 2.4.

1719
 1720 **Table A.1.** Auto-picked P_mS arrival time and the number of selected receiver function
 1721 beneath each station for this study

1722

1723

APPENDIX B

1724

Supporting information for Chapter 3

1725

B.1 Data

1726

In this study, a total of 659 broadband seismic stations contributed to the dataset, including

1727

167 permanent stations from 12 long-running seismic networks, 380 stations from the

1728

EarthScope USArray Transportable Array (TA; IRIS Transportable Array, 2003), and 112

1729

temporary stations from 7 dense arrays. The permanent networks include the Canadian

1730

National Seismograph Network (CN; Geological Survey of Canada, 1989), the South

1731

Carolina Seismic Network (CO; Colorado Geological Survey, 2016), the CERI Southern

1732

Appalachian Seismic Network (ET; University of Memphis, CERI, 1982), the International

1733

Miscellaneous Stations (IM), the Global Seismograph Network (IU; Albuquerque

1734

Seismological Laboratory /USGS, 1988), the Kentucky Seismic and Strong Motion

1735

Network (KY; Kentucky Geological Survey/University of Kentucky, 1982), the Lamont-

1736

Doherty Cooperative Seismographic Network (LD; Lamont Doherty Earth Observatory,

1737

Columbia University, 1970), the Central and Eastern United States Network (N4; UC San

1738

Diego, 2013), the New England Seismic Network (NE; Albuquerque Seismological

1739

Laboratory /USGS, 1994), the Pennsylvania State Seismic Network (PE; Penn State

1740

University, 2004), the Portable Observatories for Lithospheric Analysis and Research

1741

Investigating Seismicity (PO; Geological Survey of Canada, 2000), and the Southern

1742

Ontario Seismic Network (WU; University of Western Ontario, 1991). The temporary

1743

arrays contain stations from the Deep Structure of Three Continental Sutures in Eastern

1744

North America Network (X8; Menke et al., 2012), the Pre-Hydrofracking Regional

1745

Assessment of Central Carolina Seismicity Network (XQ; Wagner, 2012), the PASEIS

Appendix B

1746 Network (XY; Penn State University, 2013), the RAMP Virginia Network (YC; Meltzer,
1747 2011), the Eastern North American Margin Community Seismic Experiment (YO; Gaherty
1748 et al., 2014), the Appalachian Seismic Transect (Z4; Wagner, 2009), and the Southeastern
1749 Suture of the Appalachian Margin Experiment (Z9; Fischer et al., 2010).

1750

1751 Several networks retained some seismic sites from previously operated networks. For
1752 example, EarthScope Transportable Array seismic stations (TA) were deployed during
1753 2013-2015. After standard deployment of two years, some stations were preserved as
1754 permanent stations for the Central and Eastern United States Network (N4). We thus
1755 merged the data of these retained stations. We migrated the data from a total of 95 stations,
1756 including 66 retained stations to the TA network from the N4 network, 22 retained stations
1757 to the PO network from the WU network, 5 retained stations to the PE network from the
1758 XY network, 1 retained station to the PO network from the CN network, and 1 retained
1759 station to the US network from the LD network.

1760

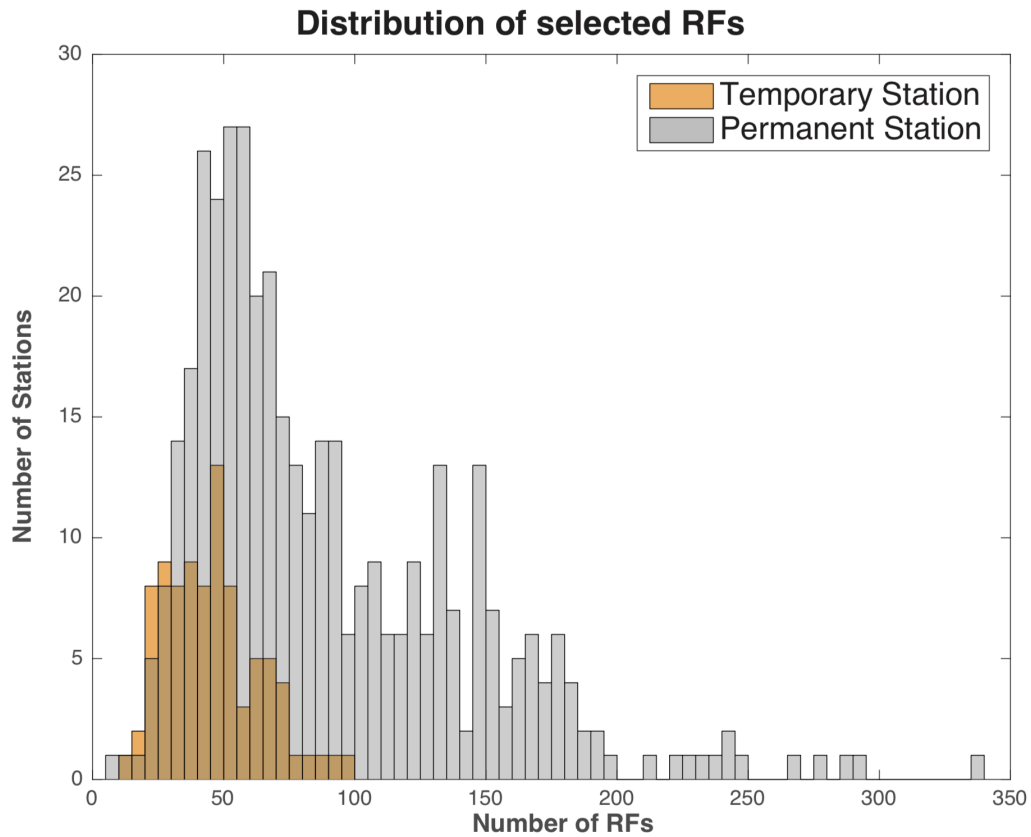


Figure B.1. Distribution of the number of selected receiver functions for permanent (gray color) and temporary stations (orange color). The bin size is 5. The horizontal axis and vertical axis are the numbers of the receiver functions and stations, respectively. See Table B.1 for a detailed description.

Appendix B

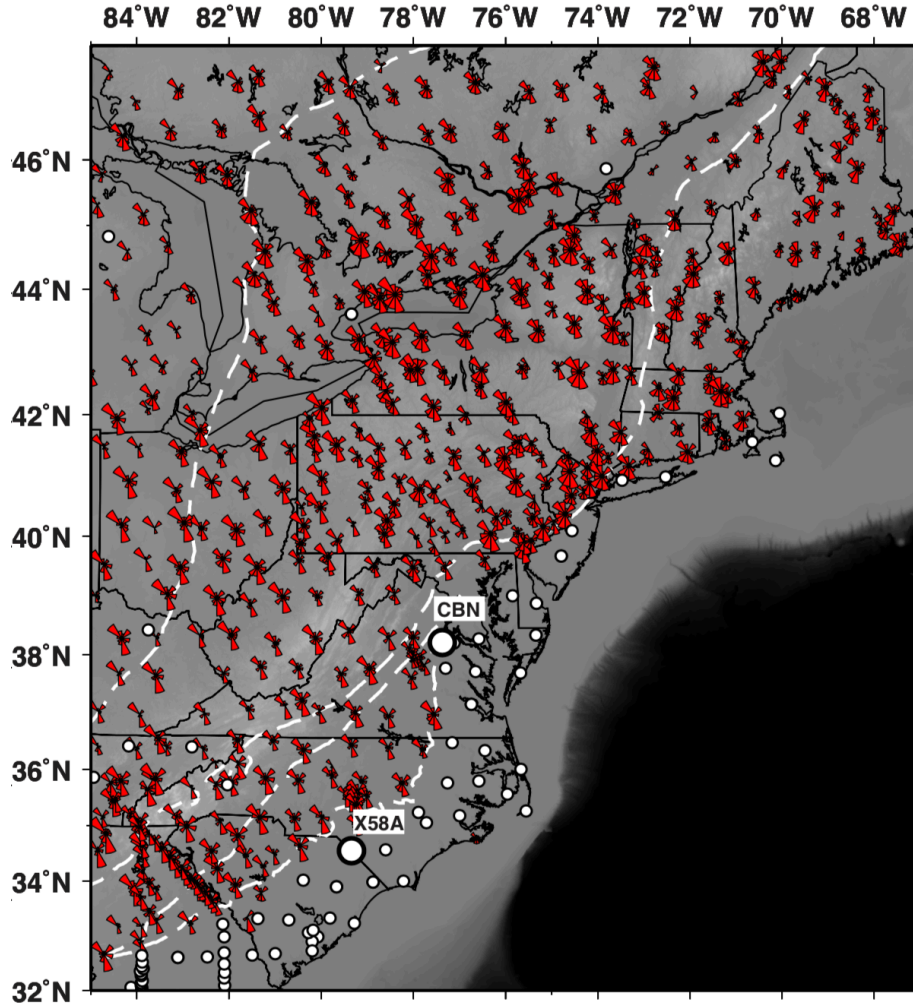
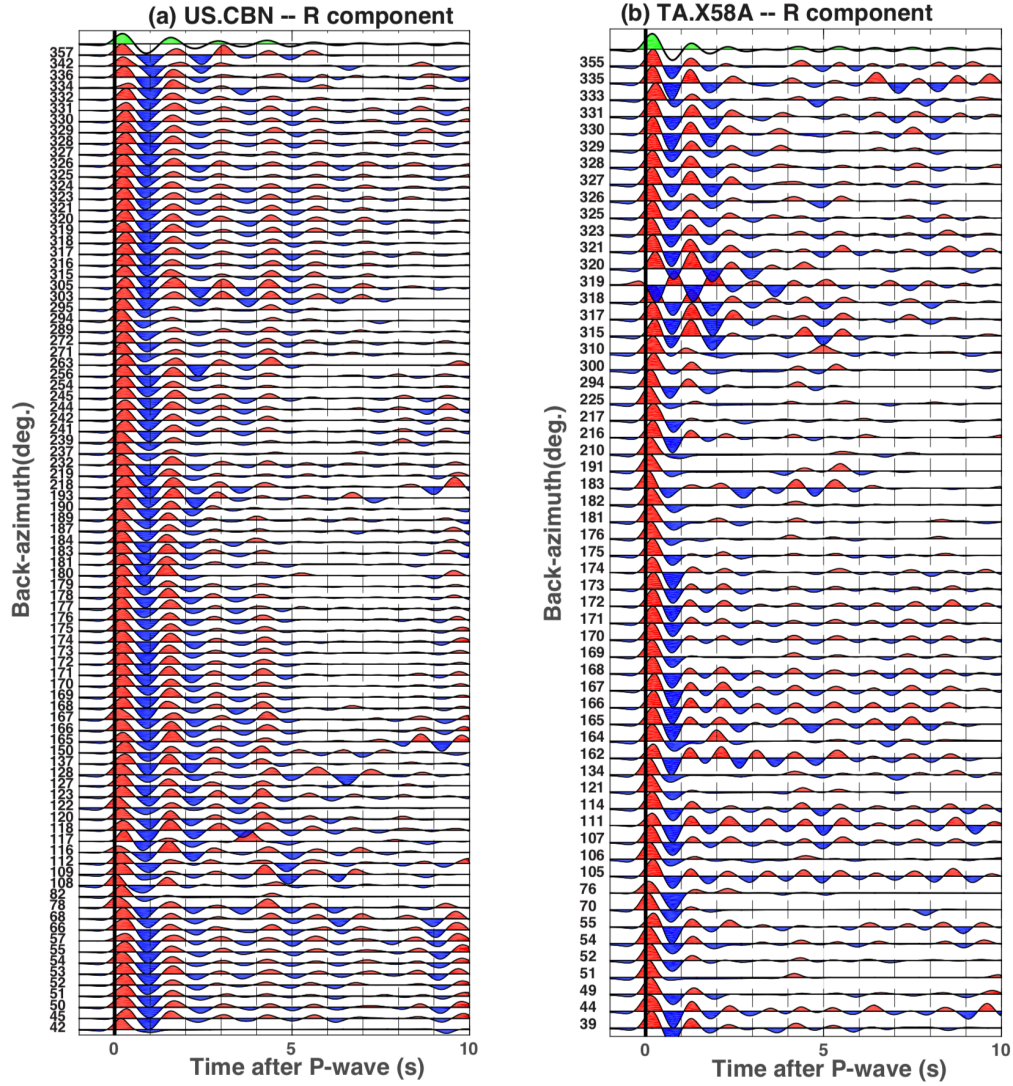


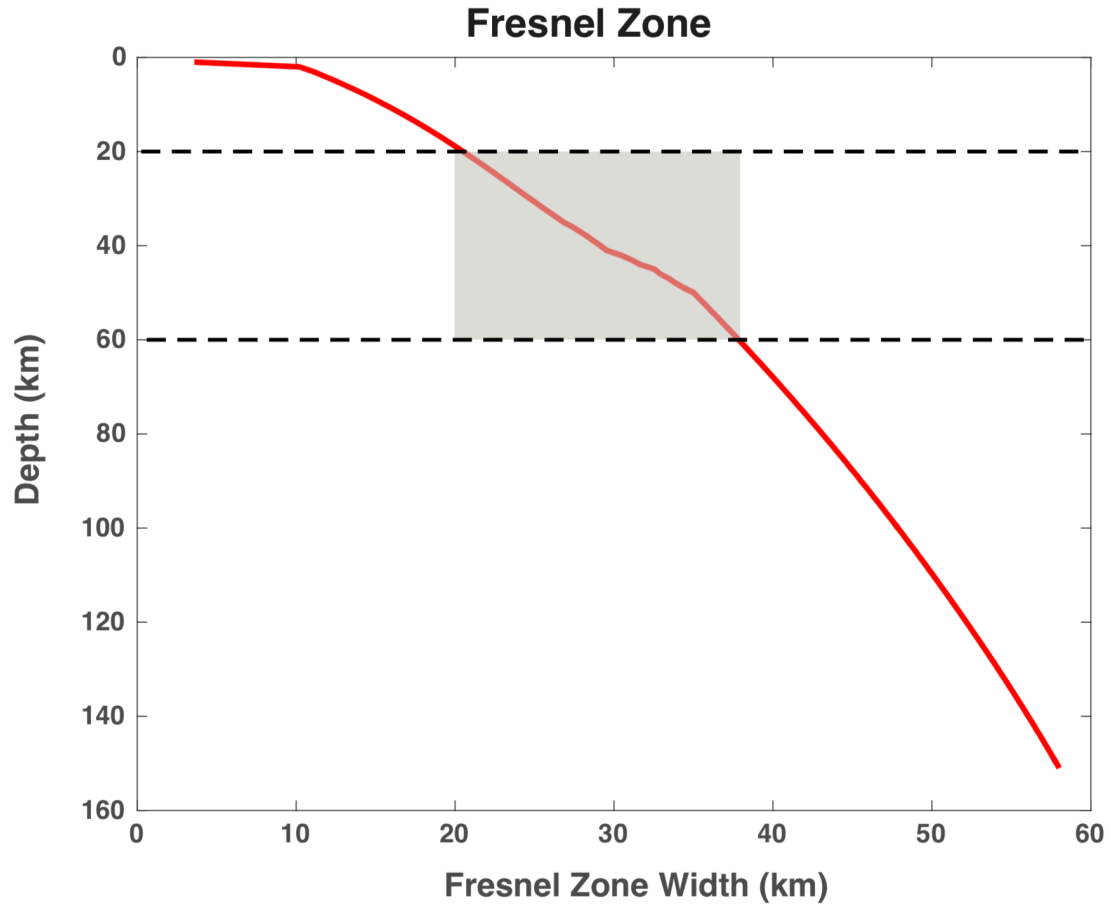
Figure B.2. The back-azimuthal coverage for collected waveforms. Wind rose diagrams mark station locations and their lengths in each bin denote the number of RFs within 30° back azimuths.



1772

1773 **Figure B.3.** Examples of strong reverberations in normalized radial-component receiver
 1774 functions for stations US.CBN and TA.X58A, sorted by back azimuths. A positive phase
 1775 was filled with red color while a negative was filled with blue color. The waveforms are
 1776 filtered at 0.1-1.2 Hz. See station locations in Figure B.2.

1777



1778

1779 *Figure B.4. Calculated Fresnel zone width versus depth. The width is about 20 km at the*
 1780 *depth of 20 km and is about 38 km at the depth of 60 km (black dashed lines).*

1781

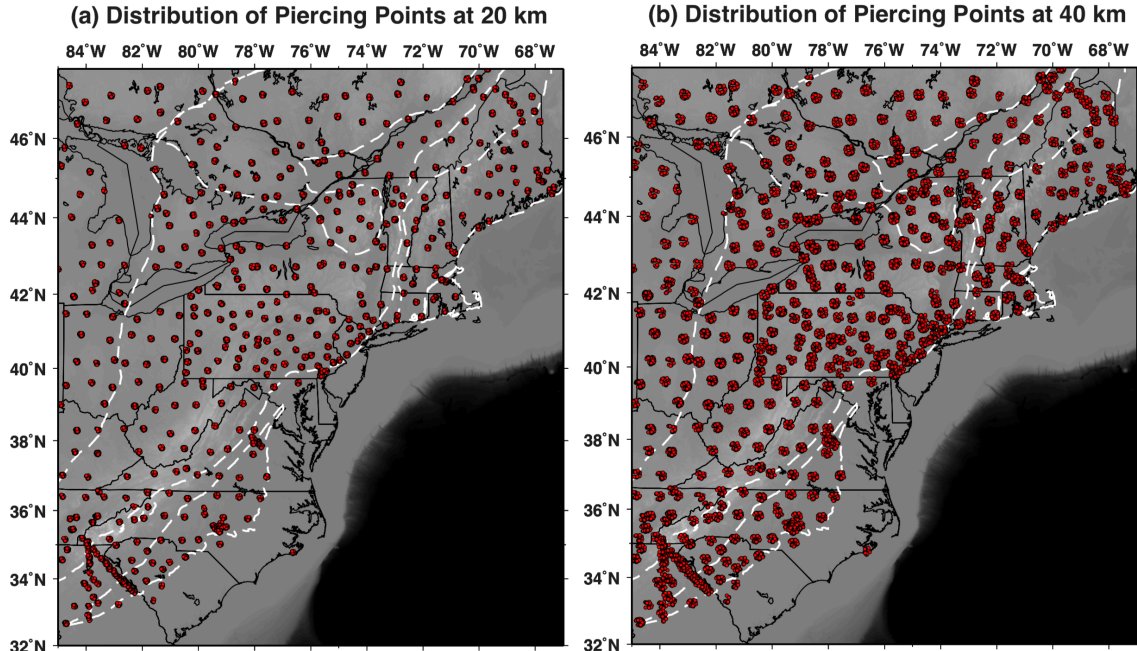


Figure B.5. Piercing point density of radial-component RFs (red dots) at depths of 20 km and 40 km, respectively, in our study region. The black solid lines mark the state boundaries and the white dashed lines mark the interpreted tectonic units, as in Figure 3.1a.

B.2 Moho depth uncertainties

The uncertainties of our extracted Moho depth mainly result from the resolution of the shear-wave velocity model used in the CCP stacking, the assumption of a constant V_p/V_s ratio, as well as possible presence of sedimentary layers. Here we examined the impact of these three factors on the Moho depth uncertainty using the ray-based RAYSUM method (Frederiken & Bostock, 2000). Other factors, such as presence of dipping interfaces and seismic anisotropies, can also contribute to the uncertainties of the Moho depth, which require a more comprehensive analysis in the future. We first defined three simple two-layer models for the uncertainty assessment. The first model was obtained by averaging

Appendix B

1797 the 3-D shear-wave velocity model from Shen & Ritzwoller (2016) within our study area
1798 both horizontally and vertically. The crust was assigned values for S wave velocity,
1799 density, and V_p/V_s ratio of $V_s=3.63$ km/s, $\rho=2.8$ g/cm³, and $V_p/V_s=1.78$, respectively.
1800 The mantle was assigned values of $V_s=4.5$ km/s, $\rho=3.38$ g/cm³, and $V_p/V_s=1.78$,
1801 respectively (Figure B.7). We defined the second and third models by changing the crustal
1802 velocities of the first model into 3.83 km/s and 3.43 km/s, considering that the error of
1803 crustal shear velocity in reference model is ~ 0.2 km/s (Shen & Ritzwoller, 2016). First,
1804 given a constant V_p/V_s ratio of 1.78, our tests show that a 4.25 s P_m s arrival time
1805 corresponds to a Moho depth of 35 km, 36.9 km and 33.1 km, respectively, from these
1806 three models (Figure B.7).

1807

1808 Second, we note an uncertainty for our Moho depth estimation from the delay time because
1809 our estimated depths do not have same values as those revealed by the prior velocity model.
1810 For example, we overestimate the Moho depth using mantle velocity for calculation when
1811 the Moho depth is deeper than the one in the prior velocity model. In order to estimate the
1812 uncertainty, we chose two stations TA.K61A and TA.L61B (see station locations in Figure
1813 3.4), where the sharp offset of Moho depth (45.2 km and 30 km, respectively) was observed
1814 in this study. The estimated Moho depth beneath these two stations is 43 km and 35 km,
1815 respectively, by Shen & Ritzwoller (2016). We retrieved the 1-D velocity profiles from the
1816 model by Shen & Ritzwoller (2016) at these two stations (Figure B.6), and then adjusted
1817 the velocity profiles in terms of the Moho depth. The modified velocity profiles lead to a
1818 44.7 km Moho depth at TA.K61A and a 29.8 km Moho depth at TA.L61B (Figure B.6).

1819

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1820 Third, previous studies demonstrated that the typical V_p/V_s ratios in the Grenville Province
1821 and the eastern margin of the old North American craton vary within a range of 1.73-1.86
1822 (e.g., Darbyshire et al., 2017; Levin et al., 2017; Ma & Lowry, 2017; Petrescu et al., 2016),
1823 and the V_p/V_s ratios in the Appalachian region fall between 1.70 and 1.76 (e.g., Ma &
1824 Lowry, 2017; Viegas et al., 2010). Our tests with the first model revealed that for a given
1825 P_{ms} arrival time of 4.25 s, the crustal thickness is 37.3 km, 35.0 km, and 33.2 km,
1826 respectively, in correspondence with a V_p/V_s ratio of 1.70, 1.78, and 1.86 (Figure B.8).
1827 These three simple tests suggested that the uncertainty of the estimated Moho depth mainly
1828 results from the V_p/V_s ratio instead of the absolute shear-wave velocity.
1829
1830 Lastly, the presence of thick sediments delays the phase arrival time, leading to a possible
1831 overestimate of the crustal thickness (Yeck et al., 2013). We added a 2 km sedimentary
1832 layer on the first model to test the effect of sediments on the Moho depth estimate. Based
1833 on the crustal velocity model by Laske et al. (2013), the shear-wave velocity in the
1834 sedimentary layer is set as 1.94 km/s and the V_p/V_s ratio is 2.0. Our test showed a 2-km
1835 sedimentary layer can lead to a 2.2 km error in the Moho depth (Figure B.9). Additionally,
1836 a strong velocity discontinuity at the sediment-bedrock interface leads to strong
1837 reverberations, which can overlap with the P_{ms} phase (Figure B.10). This may be the case
1838 for 77 stations that have strong reverberations in the Atlantic coastal plain and in the
1839 Tennessee basin. We thus excluded these stations from further analysis. In contrast, we did
1840 not see strong reverberations at stations in Appalachian basin where thick sediments (~5-8
1841 km) were suggested by previous studies (e.g., Laske et al., 2013). If the sedimentary rock
1842 is consolidated or has a relatively higher velocity, the corresponding multiples can be

Appendix B

separated from the P_{ms} phase (Figure B.10), which may be the case beneath the Appalachian basin.

Our simple tests demonstrate that we may expect a total of $\sim\pm 6$ km uncertainty for the estimated Moho depth in our study region, which should not change the general distribution pattern of the crustal thickness as well as possible interpretations.

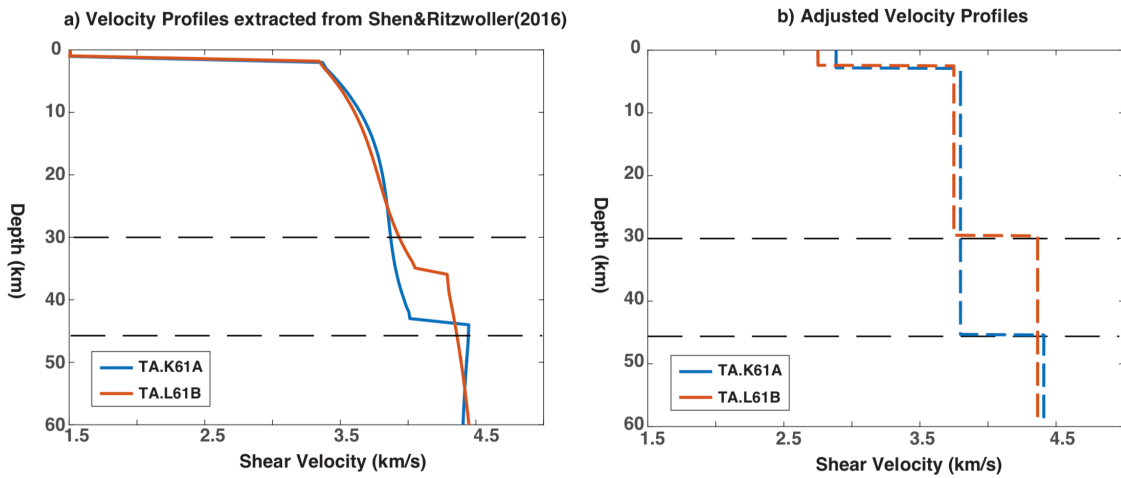


Figure B.6. (a) The velocity profiles at stations TA.K61A and TA.L61B extracted from the model by Shen & Ritzwoller (2016). The black dashed lines mark the Moho depths estimated in this study. (b) We simplified the velocity profiles in (a), and adjusted the velocity values near the estimated Moho in order to investigate the uncertainty on Moho depth due to the velocity variation near the true Moho.

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H= 35 km; $V_s = 3.63$ km/s
 $V_p/V_s = 1.78$; $\rho = 2.80$ g/cm³

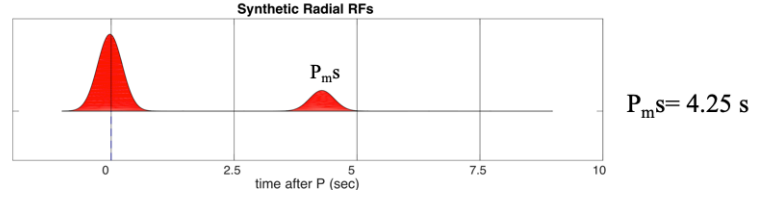
H= ~ km; $V_s = 4.5$ km/s
 $V_p/V_s = 1.78$; $\rho = 3.38$ g/cm³

H= 36.9 km; $V_s = 3.83$ km/s
 $V_p/V_s = 1.78$; $\rho = 2.80$ g/cm³

H= ~ km; $V_s = 4.5$ km/s
 $V_p/V_s = 1.78$; $\rho = 3.38$ g/cm³

H= 33.1 km; $V_s = 3.43$ km/s
 $V_p/V_s = 1.78$; $\rho = 2.80$ g/cm³

H= ~ km; $V_s = 4.5$ km/s
 $V_p/V_s = 1.78$; $\rho = 3.38$ g/cm³



1857

1858 **Figure B.7. Forward modeling of receiver functions using the method by Frederiksen**
 1859 **and Bostock (2000) to examine the effect of different velocity models.**

H= 35 km; $V_s = 3.63$ km/s
 $V_p/V_s = 1.78$; $\rho = 2.80$ g/cm³

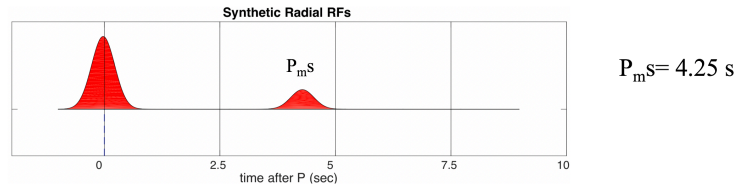
H= ~ km; $V_p = 4.50$ km/s
 $V_p/V_s = 1.78$; $\rho = 3.38$ g/cm³

H= 37.3 km; $V_s = 3.63$ km/s
 $V_p/V_s = 1.70$; $\rho = 2.80$ g/cm³

H= ~ km; $V_s = 4.50$ km/s
 $V_p/V_s = 1.70$; $\rho = 3.38$ g/cm³

H= 33.2 km; $V_s = 3.63$ km/s
 $V_p/V_s = 1.86$; $\rho = 2.80$ g/cm³

H= ~ km; $V_s = 4.50$ km/s
 $V_p/V_s = 1.86$; $\rho = 3.38$ g/cm³



1860

1861 **Figure B.8. Forward modeling of receiver functions to examine the impact of the V_p/V_s**
 1862 **on the Moho depth estimation.**

1863

Appendix B

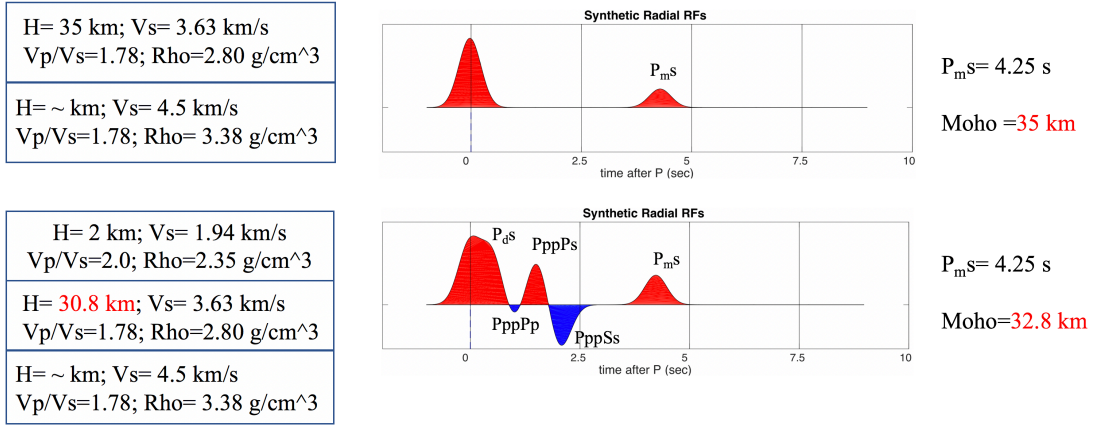


Figure B.9. Forward modeling of receiver functions to examine the effect of the sedimentary layers on the Moho depth estimation.

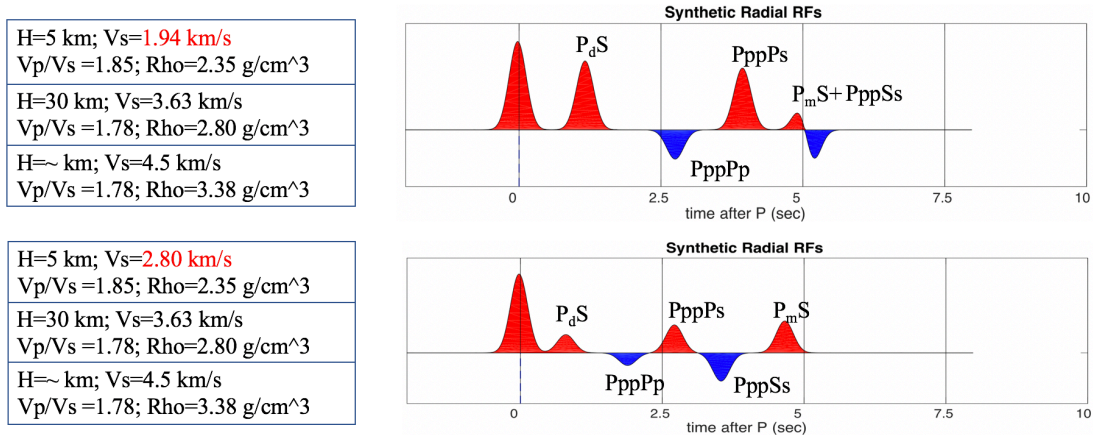


Figure B.10. Forward modeling of receiver functions to examine the reverberations generated by the sediment-bedrock interfaces.

Appendix B

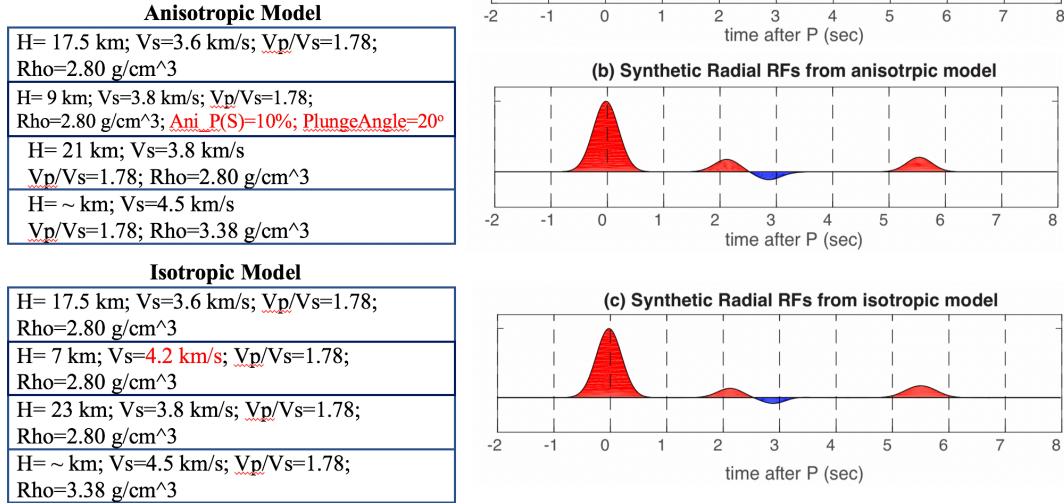


Figure B.11. Synthetic receiver functions at station PE.UPAO in order to explore the possibilities of the observed positive and negative phases within 2-3 seconds. (a) Stacked radial receiver function at station PE.UPAO. (b) Synthetic radial receiver function from anisotropic model (c) Synthetic radial receiver function from isotropic model. See the station location in Figure 3.6.

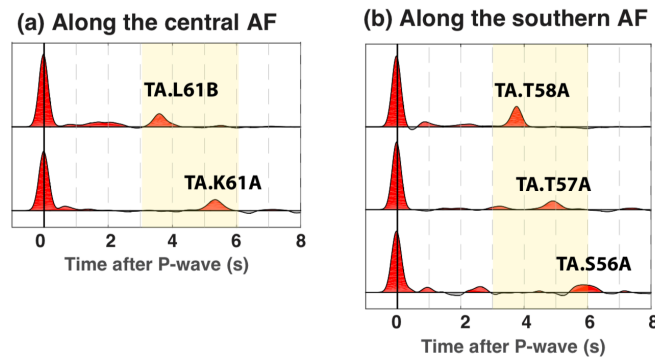
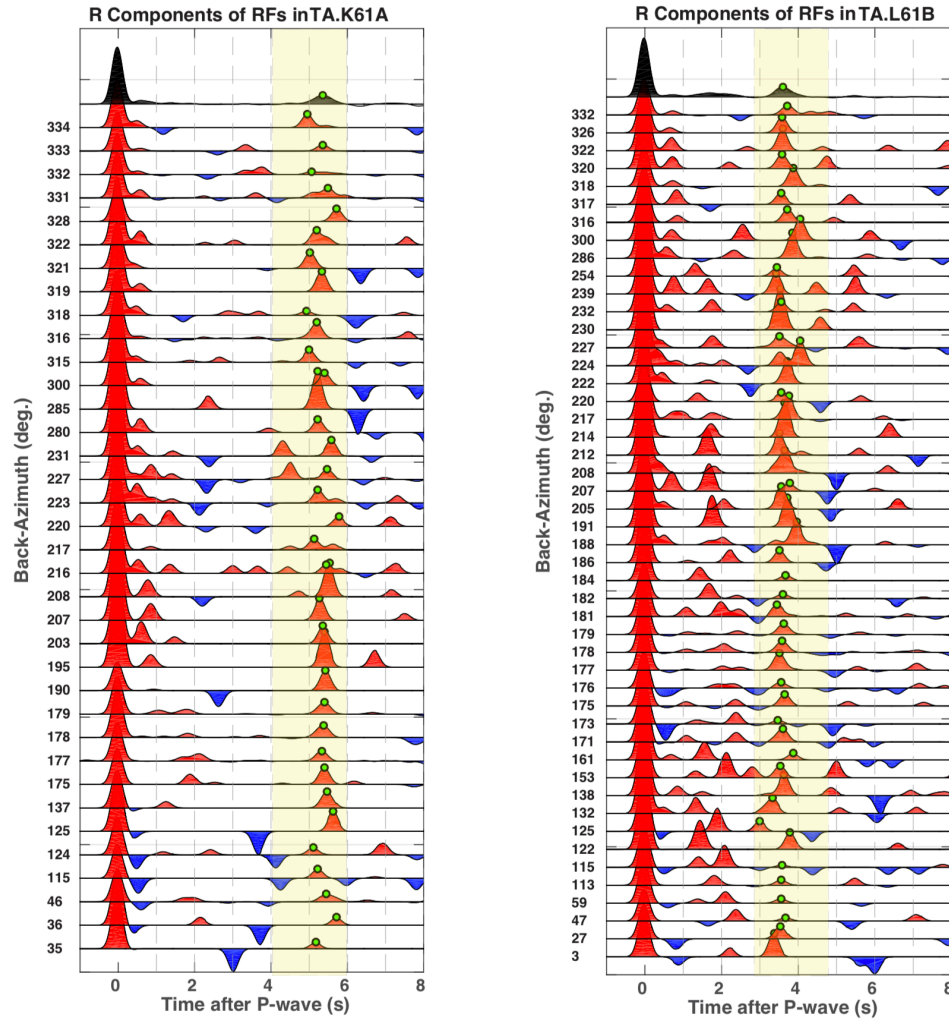


Figure B.12. Representative radial-component RFs to demonstrate variations of P_{ms} arrival times in eastern North America. For each station, the RFs are stacked from all the back-azimuthal directions. The waveforms are low-pass filtered at 2.4 Hz. The

Appendix B

1882 shaded yellow window highlights the difference in the P_{ms} arrival times. The locations
 1883 of stations are marked in Figure 3.4a.



1884
 1885 **Figure B.13.** Examples of the significant increase of P_{ms} arrivals in normalized radial-
 1886 component receiver functions for stations TA.K61A and TA.L61B, sorted by back
 1887 azimuths. The waveforms are filtered by a Gaussian filter with the parameter of 5 (~0-
 1888 2.4 Hz). See station locations in Figure 3.4.

1889

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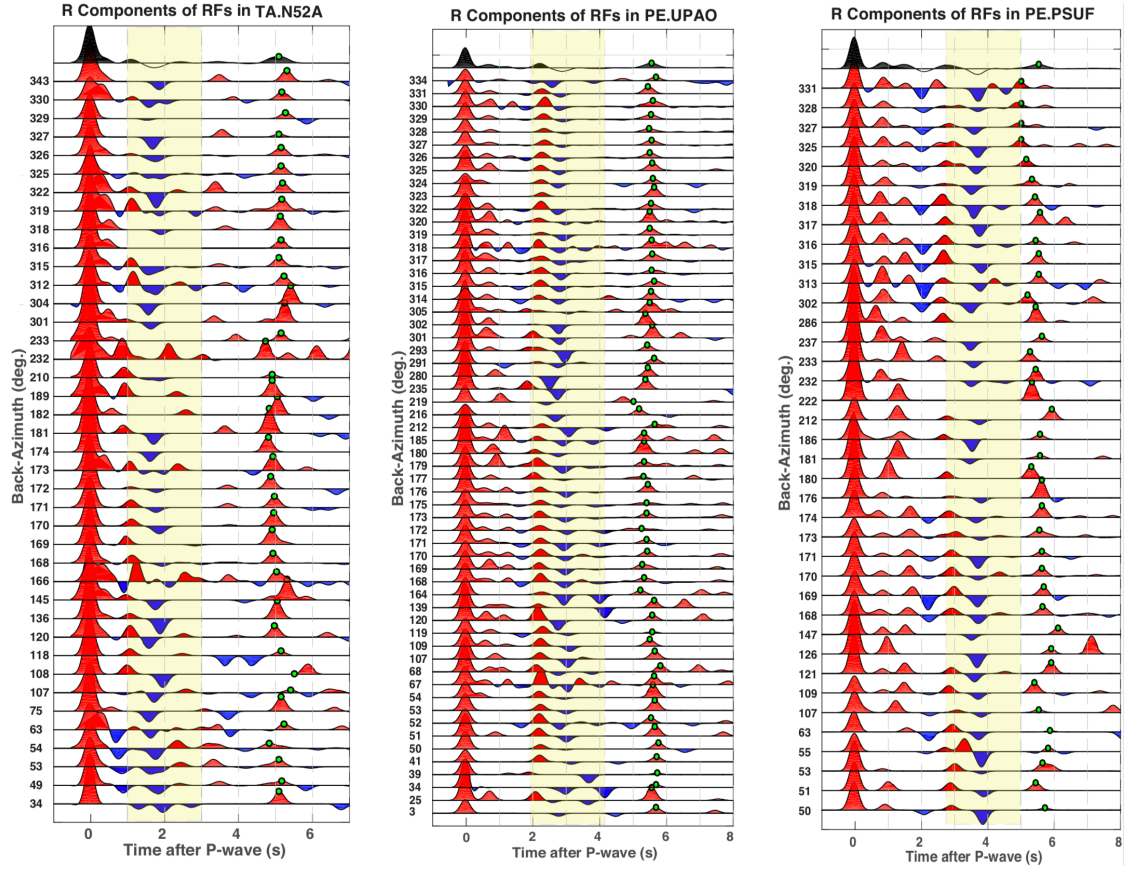
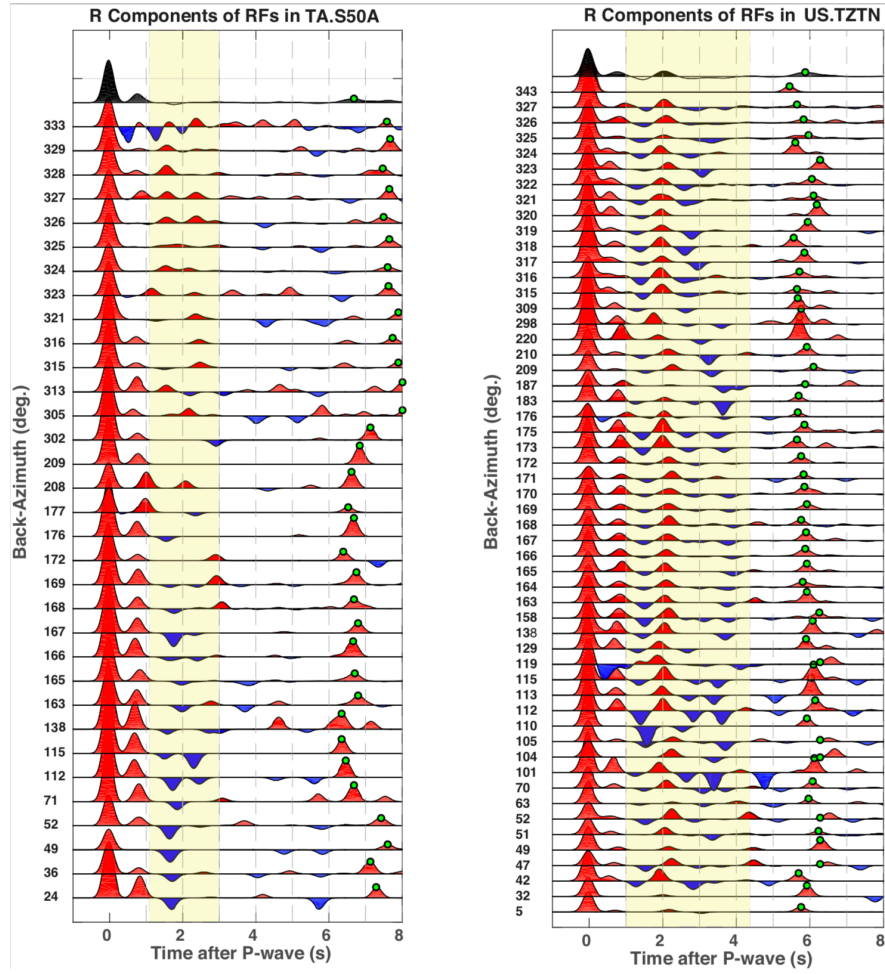


Figure B.14. Examples of strong intra-crustal negative signals in normalized radial-component receiver functions for stations TA.N52A, PE.UPAO and PE.PSUF, sorted by back azimuths. A positive phase was filled with red color while a negative was filled with blue color. The waveforms are filtered by a Gaussian filter with the parameter of 5 (~0-2.4 Hz). See station locations in Figure 3.7.



1897

1898 *Figure B.15. Examples of complex intra-crustal negative signals in normalized radial-*
 1899 *component receiver functions for stations TA.S50A and US.TZTN, sorted by back*
 1900 *azimuths. See station locations in Figure 3.4.*

1901

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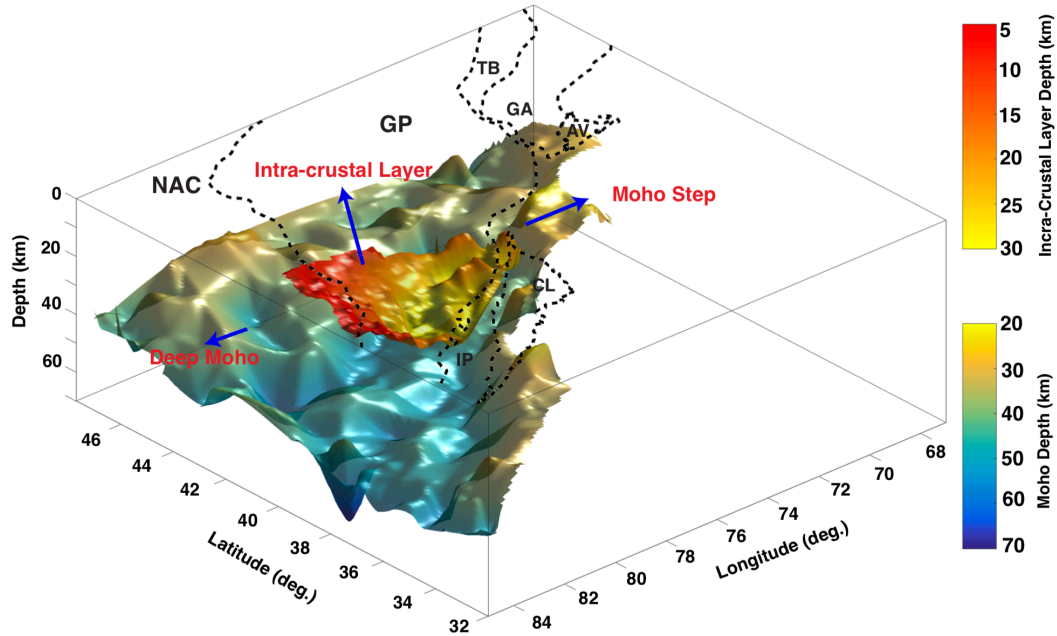


Figure B.16. Three-dimensional distribution of the Moho depth beneath eastern North America and the intra-crustal layer beneath the central Grenville Province. The dashed lines are the geologically-defined surface boundaries between two tectonic units. The abbreviations of the tectonic units are the same as in Figure 3.7.

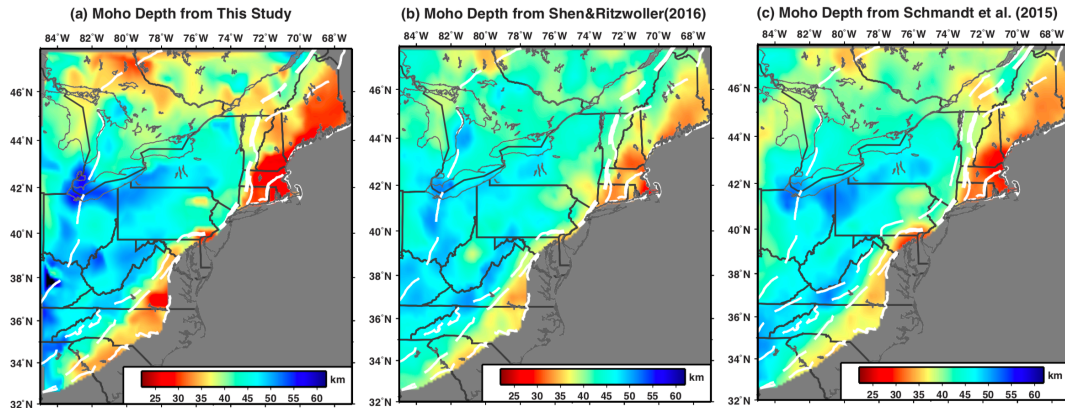


Figure B.17. The comparison of Moho depth distribution from (a) this study, (b) Shen & Ritzwoller (2016), and (c) Schmandt et al. (2015).

Appendix B

1912 **Table B.1.** *The number of selected Receiver functions in each station, the mean P_{ms} arrival*
1913 *times automatically picked from the stacked radial-component receiver functions for each*
1914 *seismic station, and the uncertainty of the P_{ms} arrival times of all individual events at each*
1915 *station. See the description about the mean values and the uncertainties of P_{ms} arrival time*
1916 *in Methodology part.*

1917

1918

1919

1920

APPENDIX C

1921

Supporting information for Chapter 4

1922

C.1 Data

1923

In this study, a total of 275 broadband seismic stations contributed to the dataset, including

1924

172 permanent stations from 12 long-running seismic networks and 103 temporary stations

1925

from 7 dense arrays. The long-running seismic networks include the South Carolina

1926

Seismic Network (CO; University of South Carolina, 1987), the CERI Southern

1927

Appalachian Seismic Network (ET; University of Memphis, CERI, 1982), the U.S.

1928

Geological Survey Network (GS; Albuquerque Seismological Laboratory/USGS, 1980),

1929

the Lamont-Doherty Cooperative Seismographic Network (LD; Lamont Doherty Earth

1930

Observatory, Columbia University, 1970), the Central and Eastern U.S. Network (N4;

1931

University of California San Diego, 2013), the Cooperative New Madrid Seismic Network

1932

(NM; Saint Louis University, 1980), the NetQuakes Network (NQ; U.S. Geological

1933

Survey, 1989), the Southeastern Appalachian Cooperative Seismic Network (SE), the

1934

South Carolina Earth Physics Project (SP; University of South Carolina, 2000), the Single

1935

Station Network (SS), the EarthScope U.S. Transportable Array (TA; IRIS Transportable

1936

Array, 2003), and the United States National Seismic Network (US; Albuquerque

1937

Seismological Laboratory/USGS, 1990). The temporary arrays contain stations from the

1938

Central Virginia and South Carolina Seismic Monitoring Experiment (5H; Martin

1939

Chapman, 2017), the Mid-Atlantic Geophysical Integrative Collaboration Network (7A;

1940

Long & Wiita, 2013), the Pre-Hydrofracking Regional Assessment of Central Carolina

1941

Seismicity Network (XQ; Wagner, 2012), the RAMP Virginia Network (YC; Meltzer,

1942 2011), the Eastern North American Community Seismic Experiment (YO; Gaherty et al.,
1943 2014), and the Appalachian Seismic Transect (Z4; Wagner, 2009).

1944

1945 **C.2 Methodology**

1946 **C.2.1 The Empirical Green's Functions**

1947 The empirical Green's functions (EGFs) were retrieved from the cross-correlation of
1948 processed waveforms between each station pairs. We first removed the instrument response
1949 of from the raw data, cut the continuous waveforms into one-day length and normalized
1950 the daily waveforms. In order to minimize the impacts of uneven-distributed large
1951 earthquakes on the EGFs reconstruction (Yao et al., 2009), we zeroed the amplitude of the
1952 time segments that recorded large earthquakes ($M_w > 5.5$). We then calculated the cross-
1953 correlation of processed daily waveforms between each station pairs in our study region.
1954 Finally, the daily cross-correlated data were stacked, and the negative time derivatives of
1955 stacked cross-correlation were taken as the EGFs. In addition, we obtained the monthly
1956 stacks of cross-correlations, whose variation provides the estimation of uncertainty of
1957 EGFs reconstruction.

1958

1959 The EGFs show clear Rayleigh wave signals on both positive and negative time lags at
1960 periods of 5-150 s between land-land station pairs and at periods of 8-50 s for land-ocean
1961 and ocean-ocean station pairs (see examples in Figure 4.2). The signals of positive portion
1962 represent for Rayleigh waves generated by the virtual sources and recorded by other
1963 receivers, while the signals of negative portion show for Rayleigh waves propagated in an
1964 opposite direction. In order to illustrate the data quality, we calculated signal-to-noise ratios

Appendix C

(SNR) for the EGFs on both positive and negative time segments between each station pairs (see examples in Figure C.1). We defined the SNR as the ratio of maximum amplitude of EGFs to the standard deviation of monthly stacks of cross-correlations. The SNR of EGFs varies in a range of 5-40 dB for land-land station pairs and in a range of 2-10 dB for land-ocean and ocean-ocean station pairs (see examples in Figure C.1). In order to better measure Rayleigh-wave phase delays between the observed EGFs and the synthetics, we required a minimum signal-to-noise ratio of the observed EGFs on both positive and negative time segments to be 6 for land-land pairs and 4 for land-ocean and ocean-ocean station pairs, respectively.

C.2.2 Inversion of velocity perturbations

The perturbations of P wave and S wave velocity were inferred from the following equation:

$$dt_{ij} = \int_V [K_\alpha(s_i, r_i; T_j; x) \delta\alpha + K_\beta(s_i, r_i; T_j; x) \delta\beta] dx^3$$

where dt_{ij} is the measured phase delay, K_α and K_β are the Rayleigh wave sensitivity kernels for V_p and V_s , and $\delta\alpha$ and $\delta\beta$ represent the perturbations of V_p and V_s , respectively. We carried out the inversion using the conjugate gradient method with a variety of smoothing and damping factors. The preferred model was chosen by minimizing model norm and data misfit. The data misfit (or Chai square) is defined as

$$\text{Misfit} = \left(\sum dt \right)^2 / N$$

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1985 Where dt is the phase delay time between the observed and synthetic waveforms, and N is
1986 the number of measurements. The reference model was then updated iteratively by adding
1987 the velocity perturbations during each iteration. After 5 iterations of inversion, both model
1988 norm and data misfit decrease significantly (Figure C.2). We notice that the Chi square
1989 decreases into 1.35 at the 5th iteration (Figure C.2a), suggesting the misfit is independent
1990 and Gaussian distributed. We also see a small variance reduction after 5 iterations (Figure
1991 C.2b). Those observations suggest our model is nearly stable after 5 iterations of inversion.

1992

1993 **C.3. The impact of reference model on the tomographic results**

1994 Our final model shows a variety of interesting features within the crust and upper mantle.
1995 A key question is that to what extent those observed features in our final model depend on
1996 the reference model. In order to answer this question, we make a comparison between our
1997 final model and the reference model with different lateral slices and vertical profiles (see
1998 Figures 4.3, C.7-C.9).

1999

2000 Several similar features can be seen in both the reference model and our final model. For
2001 example, both models show high P-wave velocity beneath West Virginia and southeastern
2002 Georgia and low P-wave velocity in the oceanic part at 7 km depth (Figures C.7a and C.8a).
2003 We also see a lateral variation of S-wave velocity from the oceanic to the continental part
2004 at shallow depths in both models (Figures C.7b, C.7c, C.8b and C.8c). However, our final
2005 model highlights these observed features in comparison with the reference model. For
2006 example, the final model has a tighter constraint on the distribution of low S-wave velocity
2007 (≤ 3.5 km/s) in the oceanic part, and has larger velocity in the continental part comparing

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2008 with the reference model (Figure C.9). As a result, the lateral variation of S-wave velocity
2009 across the ocean-continent margin can be seen more clearly in our final model. In addition,
2010 the slow-to-fast S-velocity change from offshore to onshore in our final model matches the
2011 Moho depth variation better comparing with the reference model, emphasizing geometry
2012 of oceanic-continental transitional crust (Figure C.9). More importantly, our final model
2013 shows two low velocity anomalies within the upper mantle beneath the transitional crust
2014 and West Virginia volcanoes that have not been observed in the reference model (Figure
2015 C.8d-C.8f, C.9). These observations suggest that our final tomographic imaging does not
2016 strongly depend on the selection of the reference model and that our final model can
2017 provide better constraints on the crustal and upper mantle structure beneath the southern
2018 ENAM.

2019

2020 C.4. Uncertainty of velocity model

2021 The uncertainties of our final model mainly result from the estimation of P-wave velocity
2022 reference model using a constant V_p/V_s ratio as well as the ignorance of topography above
2023 the sea level. Here we discuss the impact of these two factors on the uncertainty of our
2024 tomographic imaging.

2025

2026 First, the crustal P-wave velocity in the reference model is converted from the S-wave
2027 velocity by assuming a constant V_p/V_s ratio of 1.76. Previous studies have demonstrated
2028 that the typical V_p/V_s ratios within crust in our study region vary within a range of 1.73-
2029 1.78 (e.g., Ma & Lowry, 2017). Our assumption of constant V_p/V_s ratio therefore would
2030 cause uncertainties in the P-wave tomographic results, especially within the upper crust

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2031 where the P-wave velocity is most sensitive. In order to estimate the effect of this
2032 uncertainty on our result, we compare the average P-wave velocity of upper crust between
2033 the P-wave reference model, our final model and the velocity model from Tesauro et
2034 al.,2014 (Figure C.11). We observe that the average P-wave velocity values from the model
2035 of Tesauro et al.,2014 are clearly larger than the velocities from the reference model and
2036 our final result. For example, the P-wave velocity value beneath southern West Virginia is
2037 ~ 6.2 km/s in the model of Tesauro et al.,2014. In contrast, the velocities are ~ 6.0 km/s in
2038 the reference model and ~ 5.8 km/s in our final model, respectively. We also see the velocity
2039 along the margin in the model of Tesauro et al.,2014 is ~ 0.5 km/s larger comparing with
2040 the reference model and our final result. However, we see a similar west-east variation of
2041 P-wave velocity within the upper crust in general, although local-scale features are
2042 different between those three models. For example, we observe a general decrease of P-
2043 wave velocity from the continental interior, through the margin, to the oceanic part. This
2044 simple comparison suggests that the uncertainty of P-wave velocity estimation due to the
2045 assumption of constant V_p/V_s ratio will not strongly impact on the pattern of velocity
2046 variation as well as the geometry of observed features in our main text.

2047

2048 Second, we have ignored the elevation of reference model above sea level when we
2049 simulated wave propagation. This ignorance of topography variation would cause
2050 uncertainty of our tomographic imaging. Previous studies have demonstrated that the
2051 topography variation will mainly change the sensitively kernels of Rayleigh waves in short
2052 periods of ~ 3 -5s, and the shallow subsurface structure at ~ 2 -3 km depth will be impacted
2053 for ignoring every 1 km elevation (e.g., Wang et al., 2017). The elevation in our study

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2054 region varies within ~1-2 km and the influenced depth of subsurface structure due to
2055 topography is thus estimated as ~2-6 km. Considering that we mainly focus on the
2056 structures within the lower crust and upper mantle in this project, the ignorance of
2057 topography above sea level will not impact on our major observations and interpretation.

2058

2059 **C.5. Resolution Tests**

2060 The full-wave ambient noise tomographic imaging demonstrates distinct variation patterns
2061 of seismic velocities from the uppermost crust down to the mantle lithosphere within our
2062 study area (Figures 4.3 and 4.4). We conduct a series of resolution tests in order to assess
2063 the lateral and vertical resolutions of the seismic observations (Figures C.13-C.20).

2064

2065 We first ran a variety of checkerboard resolution tests for P-wave velocity (Figures C.13-
2066 C.15) and S-wave velocity (Figures C.16-C.18), respectively, with horizontal dimensions
2067 of 50 km, 75 km, and 100 km. Our tests show that P-wave velocities can be better resolved
2068 than S-wave velocities at depths shallower than 17 km (Figures C.13- C.15). The minimum
2069 lateral scale of resolvable structures in P-wave model is ~50 km for the continental part
2070 and ~75 km for the oceanic part (Figures C.13 and C.14). At depths greater than 17 km,
2071 the S-wave velocity model has better resolutions. For the continental part, the lateral scales
2072 of resolvable structures are on the order of ~50 km at shallower depths (≤ 30 km) (Figure
2073 C.16) and increase to ~100 km at greater depths (Figure C.18). For the oceanic part, the S-
2074 wave velocity model can resolve structures with a lateral scale of ~75 km within a depth
2075 range of 17-60 km (Figure C.17). The oceanic mantle lithosphere at depths deeper than 60

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2076 km cannot be well resolved, which reflects the insufficient data coverage on the oceanic
2077 part at longer periods (Figures C.3h, C.3i, C.4h and C.4i).

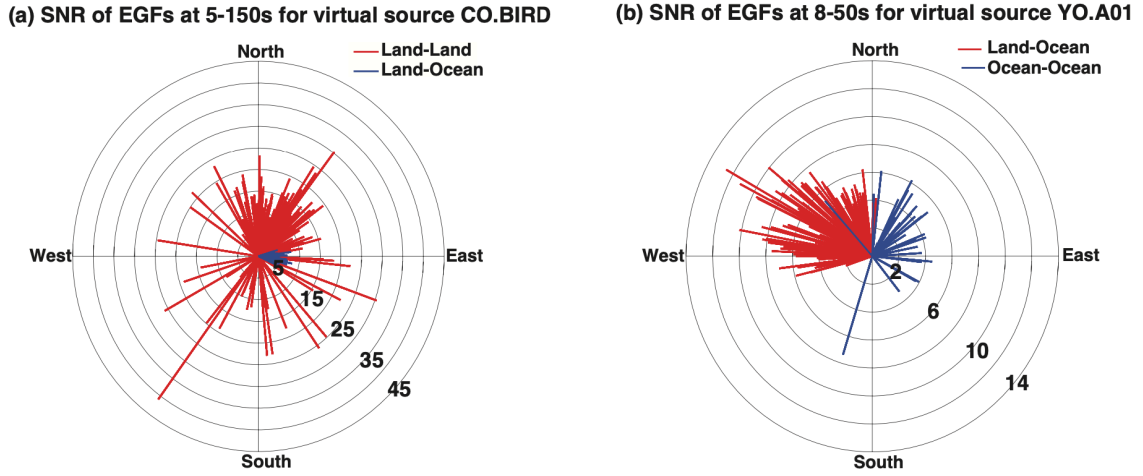
2078

2079 We then conducted two model recovery tests to further validate the scale and geometry of
2080 observed key features in our model. The first model is to check our ability to resolve the
2081 lateral variation of crustal thickness (Figure C.19). Based on our tomographic results
2082 (Figures 4.3 and 4.4), we set the crustal thickness of 13 km for the oceanic part and 35 km
2083 for the continental part, with a linear thickening over a horizontal distance of 70 km from
2084 ocean to continent (Figures C.19a and C.19b). The velocity perturbation is set as -10%
2085 within the crust and +10% for the mantle lithosphere. The recovery tests demonstrate that
2086 our full-wave tomography is capable of resolving a crustal thickness variation from ocean
2087 to continent (Figures C.19c). However, the amplitude of the input velocity perturbation
2088 cannot be fully recovered at the shallow depths (<5 km for the continental part and <15 km
2089 for the oceanic part) (Figures C.19c).

2090

2091 We also validate the geometries of observed low-velocity column beneath the continental
2092 interior and the low-velocity mantle lithosphere beneath ocean-continent transitional crust
2093 (Figures C.20a-C.20c). We set the velocity perturbations and geometry for these two
2094 anomalies based on our tomographic results (Figures 4.3 and 4.4). The key geometry and
2095 scale for both low-velocity anomalies can be clearly recovered (Figures C.20d-C.20f),
2096 though we cannot fully recover the amplitude of the input velocity perturbations. Note that
2097 the recovered model is obtained by a single iteration of inversion. A non-linear inversion
2098 with multiple iterations will therefore improve the reconstruction of velocity model.

2099



2100

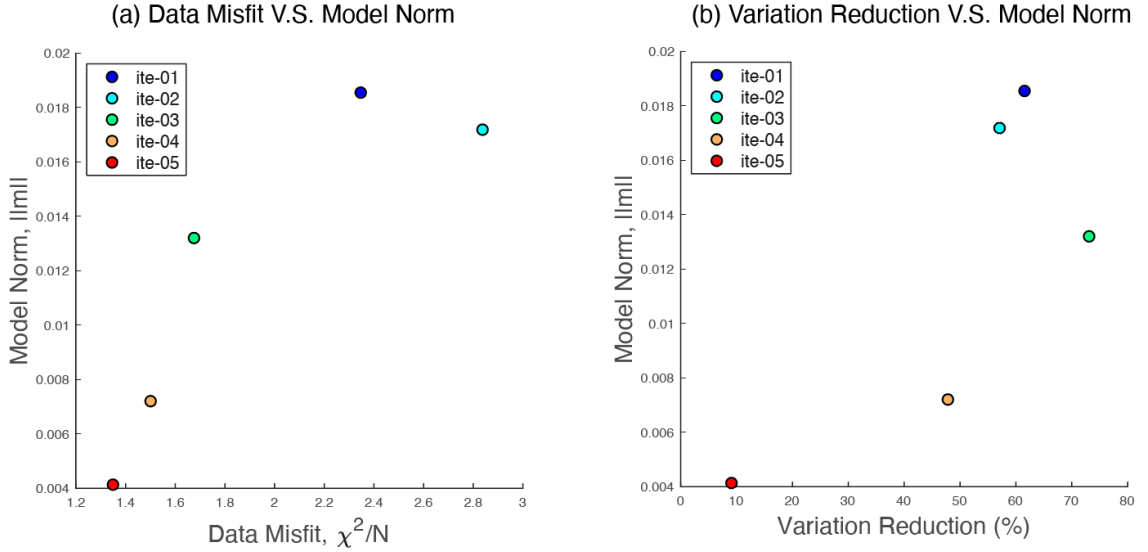
2101 *Figure C.1. Examples of average signal-to-noise ratios for empirical Green's functions*
 2102 *(EGFs) on both positive and negative time segments from the virtual sources CO.BIRD*
 2103 *(a) and YO.A01 (b) to the receivers. The red lines mark the signal-to-noise ratios of EGFs*
 2104 *between land-land station pairs and the blue lines mark the signal-to-noise ratios of*
 2105 *EGFs between land-ocean or ocean-ocean station pairs. The length of lines shows the*
 2106 *magnitude of signal-to-noise ratio and the direction of lines marks the azimuthal angle.*

2107

2108

2109

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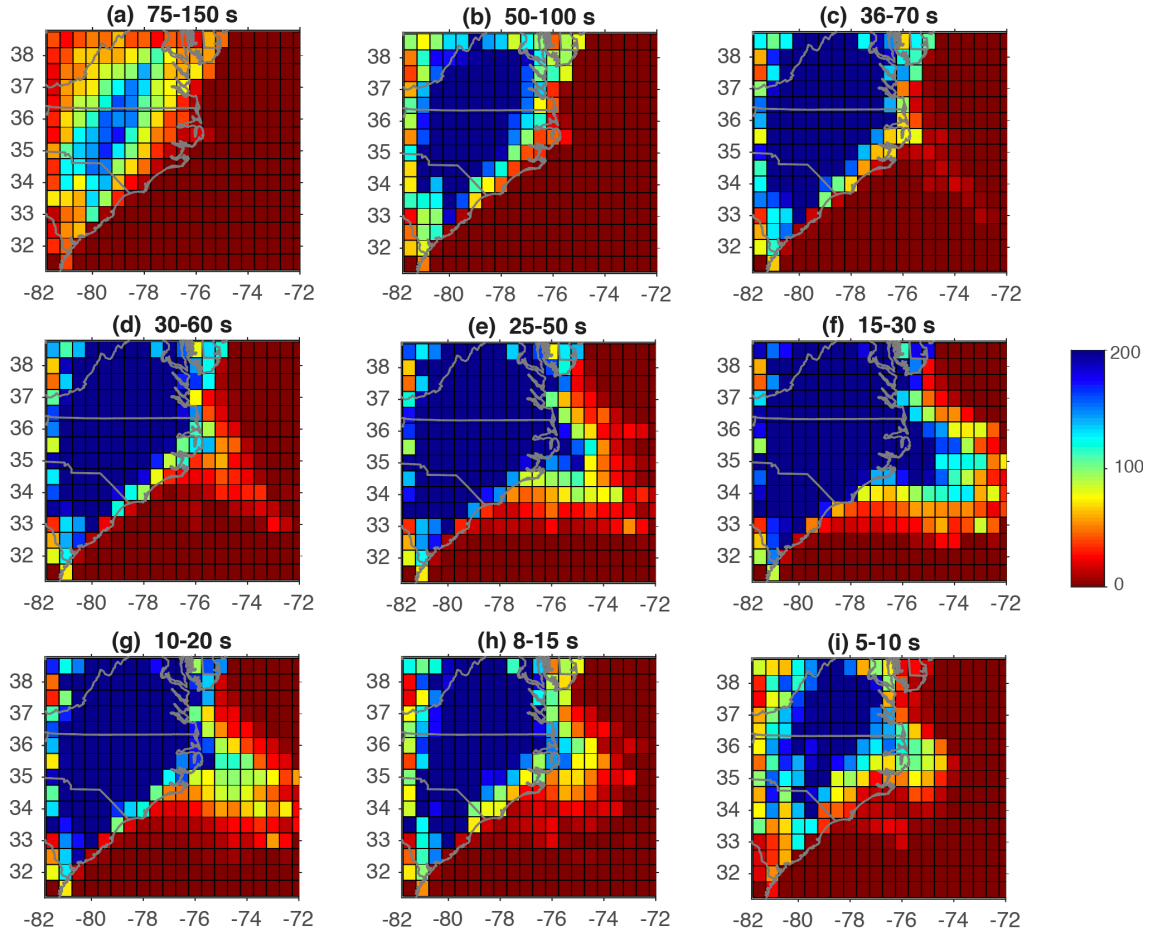


2110

2111 **Figure C. 2. (a) Distribution of data misfit versus the model norm after each inversion**
 2112 **for a damping factor of 8 and a smoothing factor of 8. (b) Distribution of data variance**
 2113 **reduction versus the model norm after each inversion for a damping factor of 8 and a**
 2114 **smoothing factor of 8.**

2115

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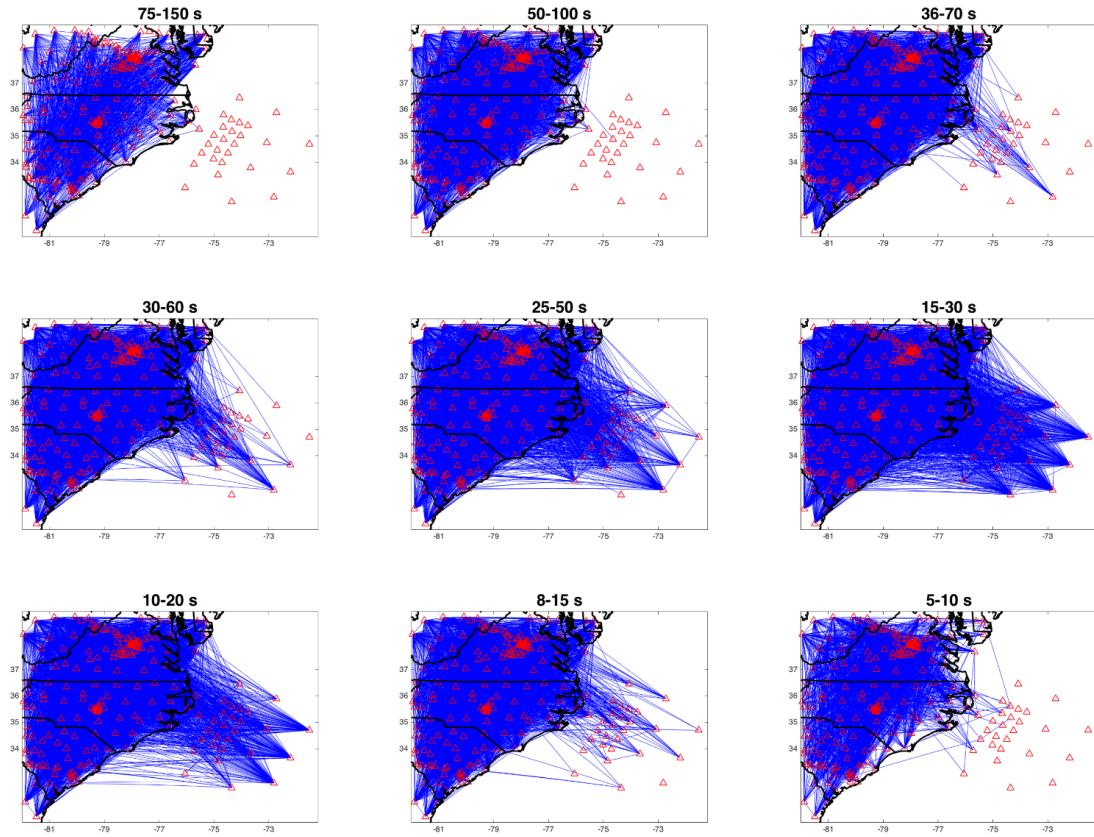


2116

2117 *Figure C. 3. Seismic raypath density of the phase delay measurements that were used to*
 2118 *invert for the final tomographic velocity model. The coverage is colored by the number*
 2119 *of seismic rays within each $0.5^\circ \times 0.5^\circ$ cell. The phase delays are measured through cross-*
 2120 *correlations between the observed EGFs and synthetic waveforms at multiple overlapped*
 2121 *period ranges.*

2122

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2123

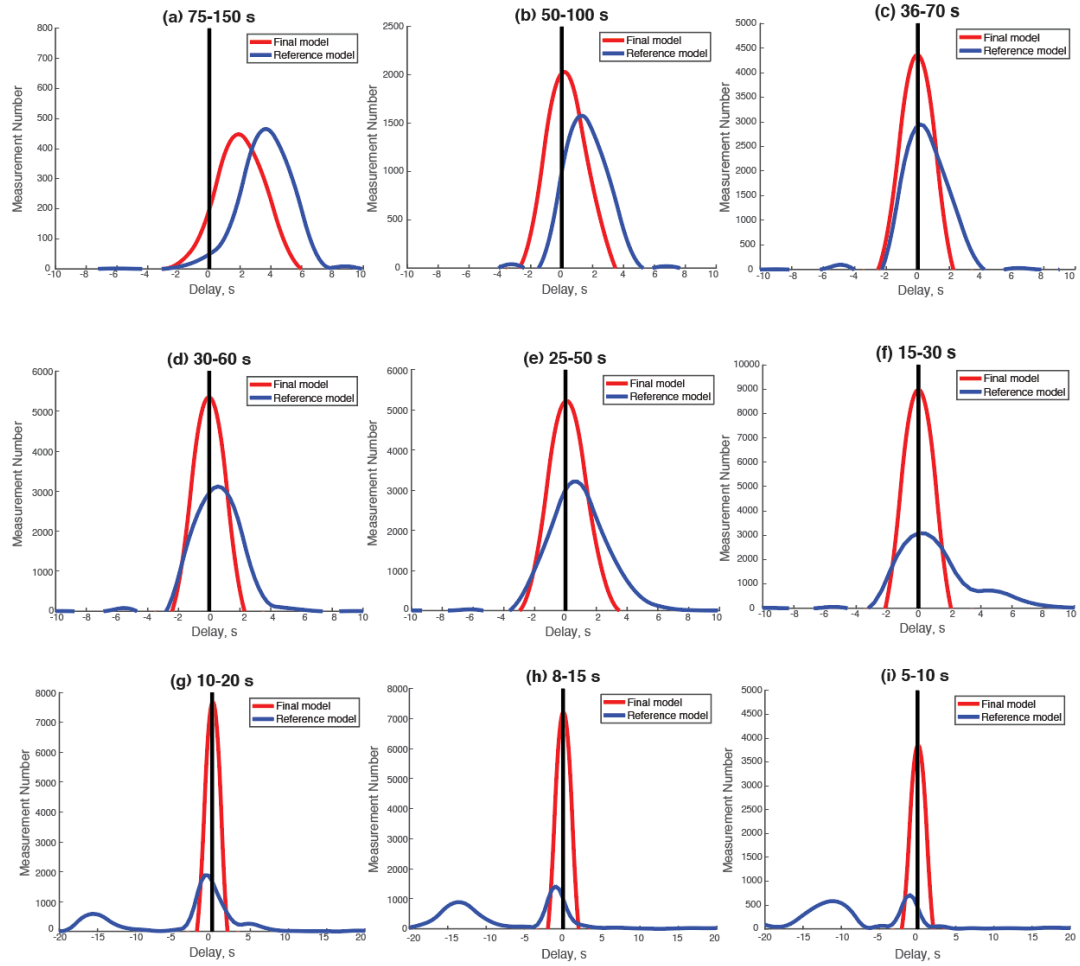
2124 *Figure C. 4. Directional distribution of seismic raypaths that were in our tomography.*

2125 *The red triangle marks the station and the blue line indicate the raypath between two*

2126 *stations.*

2127

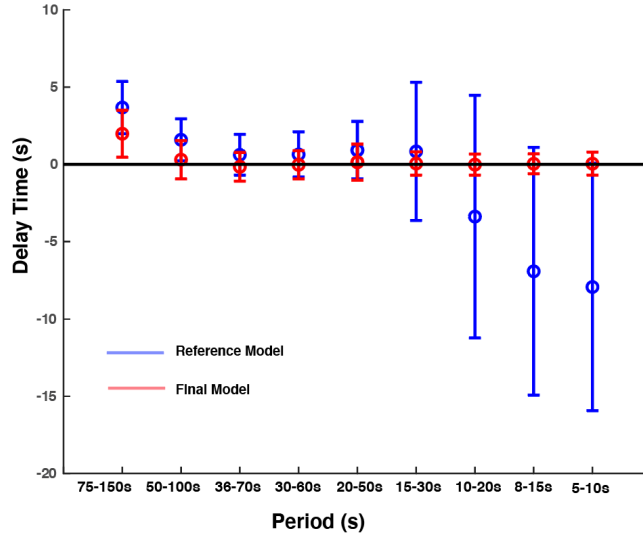
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2128

2129 **Figure C.5. Comparison of phase delay time between the observed and synthetic**
 2130 **waveforms from the initial reference model (blue) and our full-wave ambient noise**
 2131 **tomography (red) at multiple periods.**

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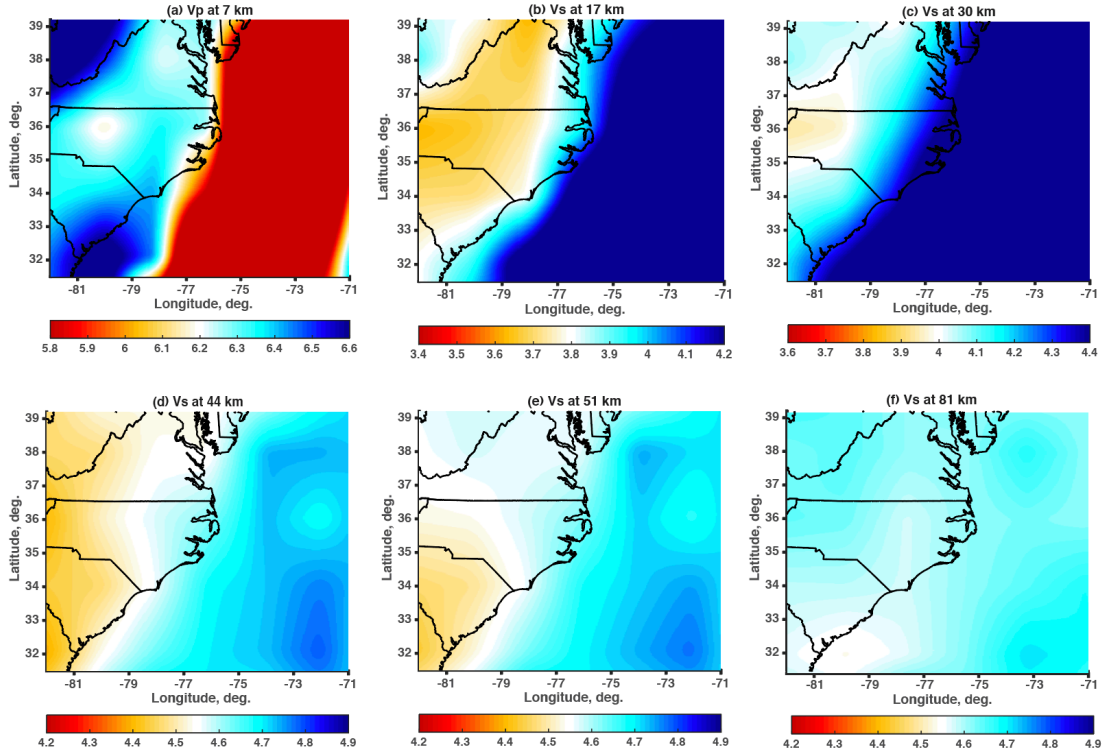


2132

2133 *Figure C. 6. The average of the phase delays at each period from the reference and the*
 2134 *final preferred model after 5 iterations. The error bar shows the standard deviation for*
 2135 *each period corresponding to panels (a) to (i) in Figure C.5. The blue bars are*
 2136 *measurements from the reference model and the red bars are from the final preferred*
 2137 *model.*

2138

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2139

2140 **Figure C.7. Seismic velocity structures (in km/s) from the initial reference velocity model**
 2141 **provided by Shapiro & Ritzwoller (2002). (a) P-wave velocities at the depth of 7 km; (b)-**
 2142 **(f) S-wave velocities at the depths of 17 km, 30 km, 44 km, 51 km, and 81 km, respectively.**

2143

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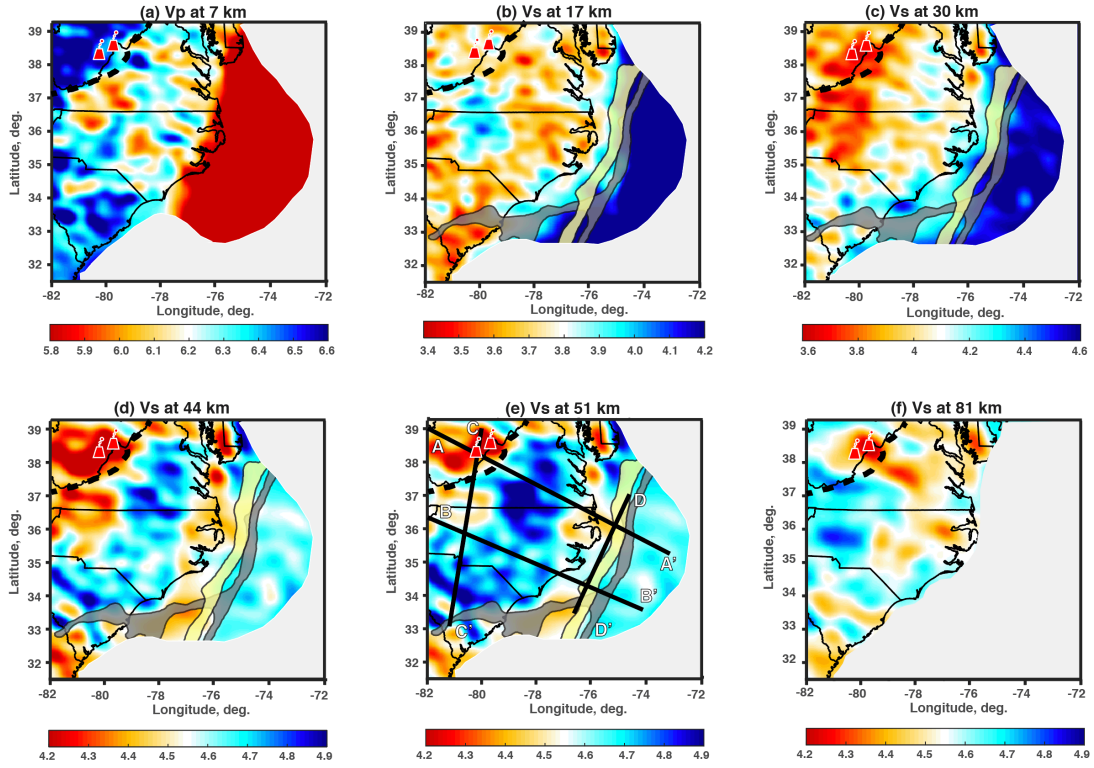
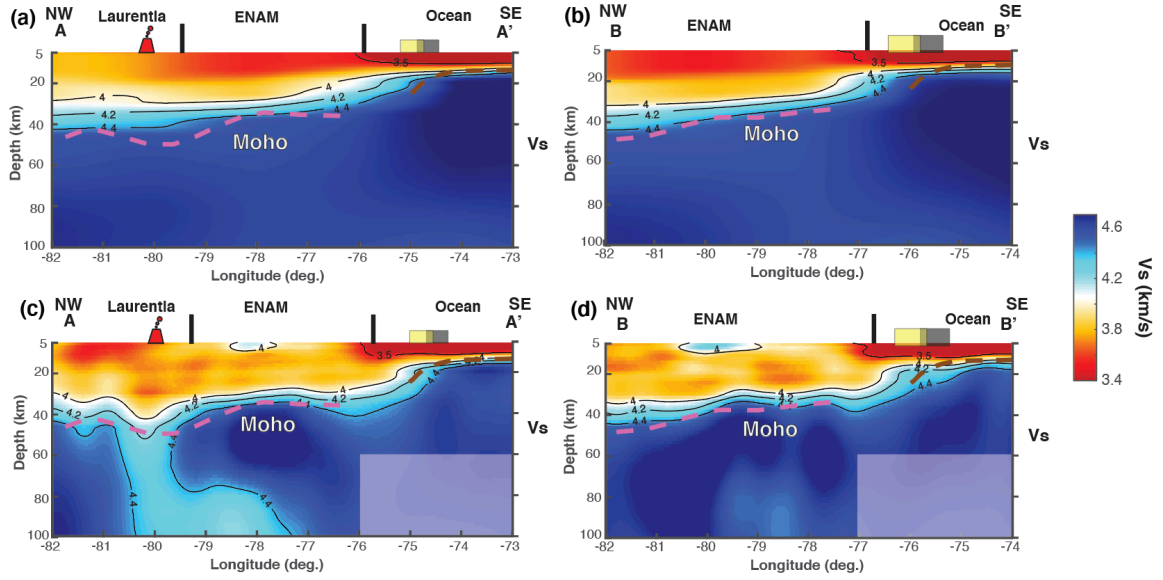


Figure C. 8. Seismic velocity structures (in km/s) from our preferred model. (a) P-wave velocities at the depth of 7 km; (b)–(f) S-wave velocities at the depths of 17 km, 30 km, 44 km, 51 km, and 81 km, respectively.

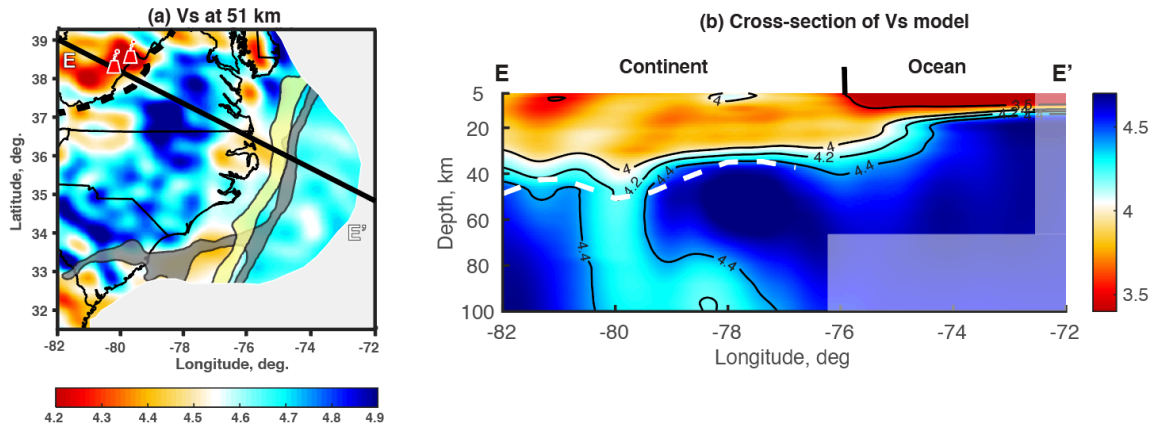
Appendix C



2150

2151 *Figure C. 9. Comparison of the initial reference velocity model provided by Shapiro &*

2152 *Ritzwoller (2002) (a-b) and the S -wave velocity models from this study (c-d)*

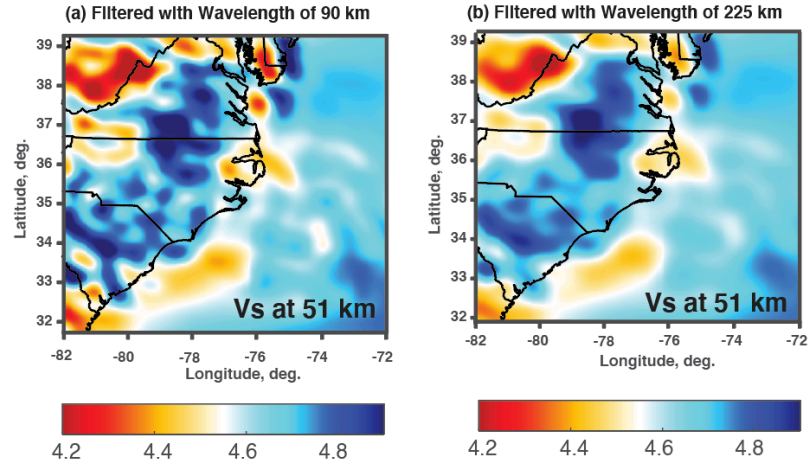


2153

2154 *Figure C. 10. (a) Variation of S -wave velocity at depth of 51 km (b) Cross-sections of the*

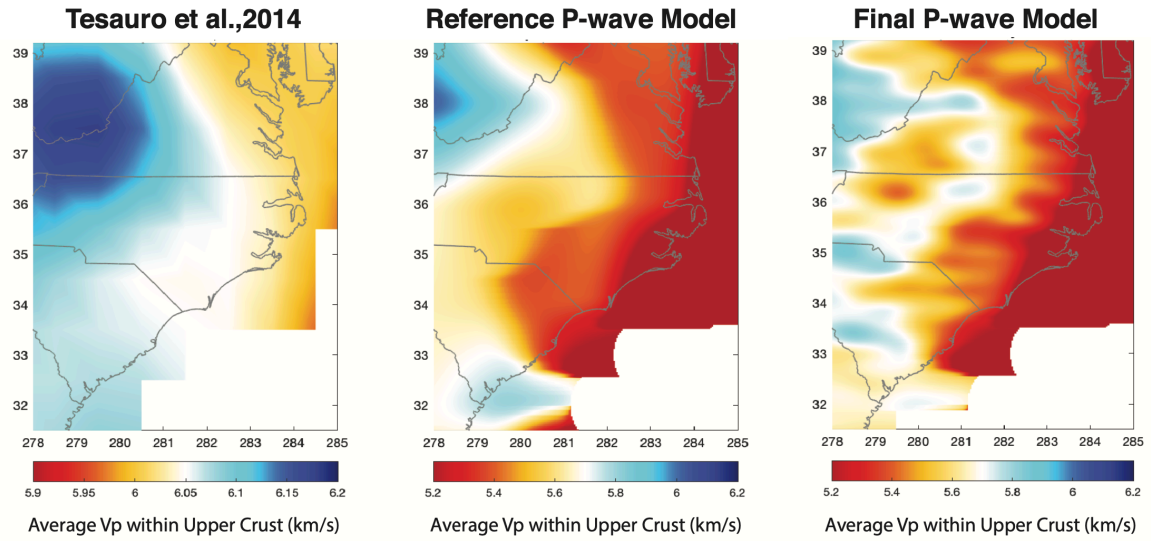
2155 *seismic tomographic model. The location of Cross-section marks in (a)*

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2156

2157 **Figure C. 11.** *S-wave velocity at 51 km filtered with wavelengths (a) 90 km and (b) 225*
 2158 *km.*

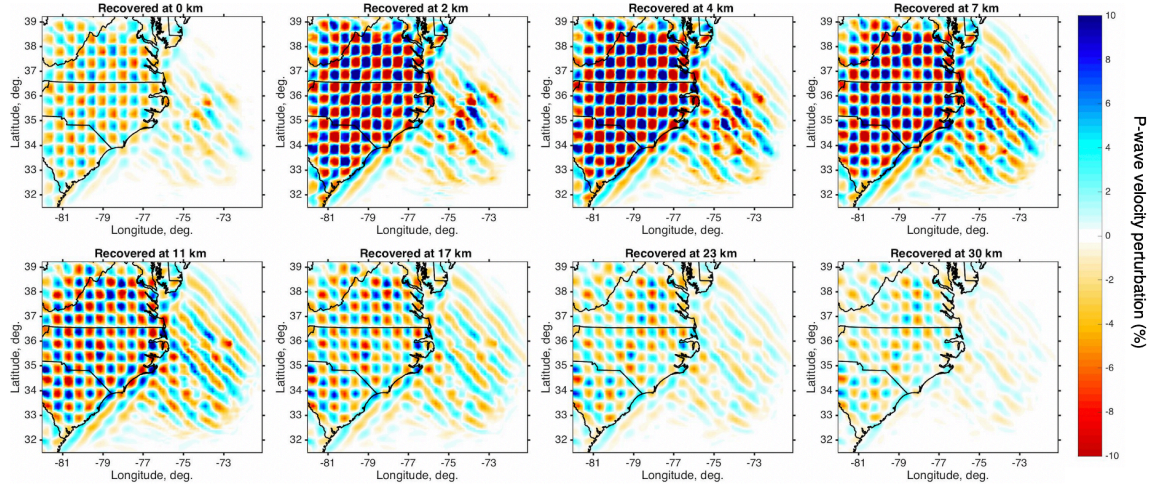


2159

2160 **Figure C. 12.** *The comparison of average P-wave velocity (in km/s) within the upper*
 2161 *crust from (a) Tesauro et al., (2014), (b) Shapiro & Ritzwoller, (2002), and (c) This study*

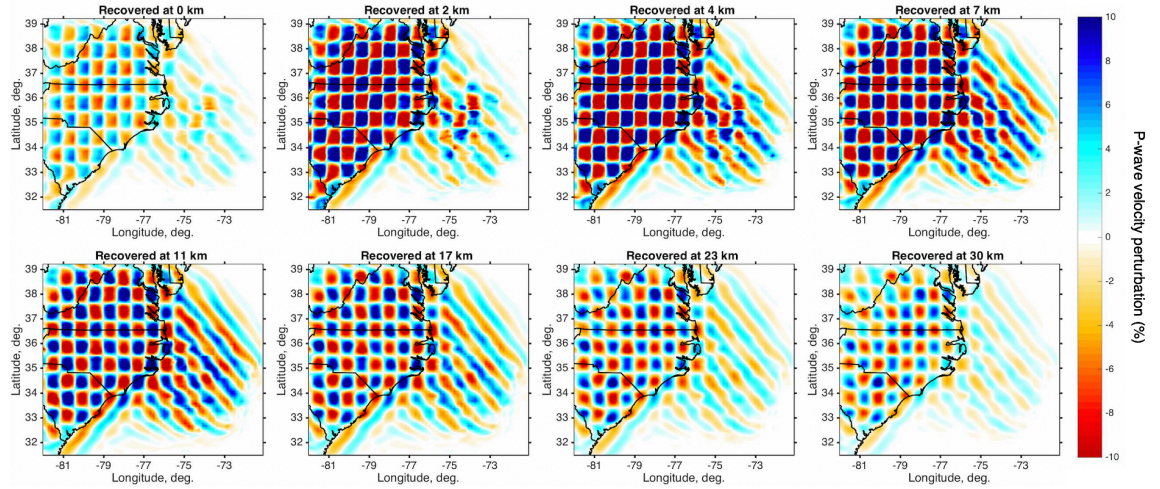
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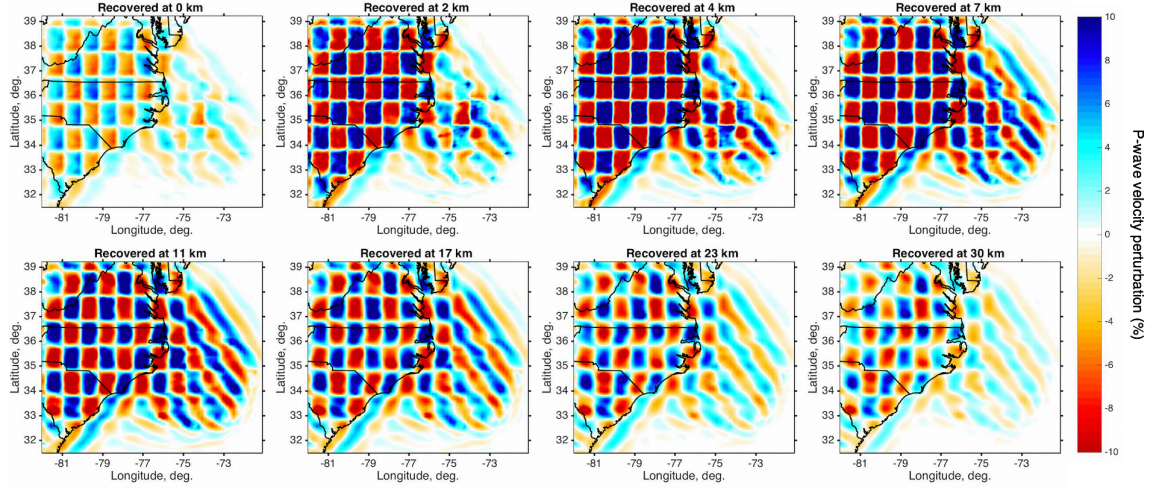
2164 **Figure C.13.** 3D checkerboard resolution tests for the P-wave velocity model. The
 2165 velocity perturbation varies within $\pm 10\%$ in the input models. The horizontal scale (cell
 2166 size) is 50 km.



2167

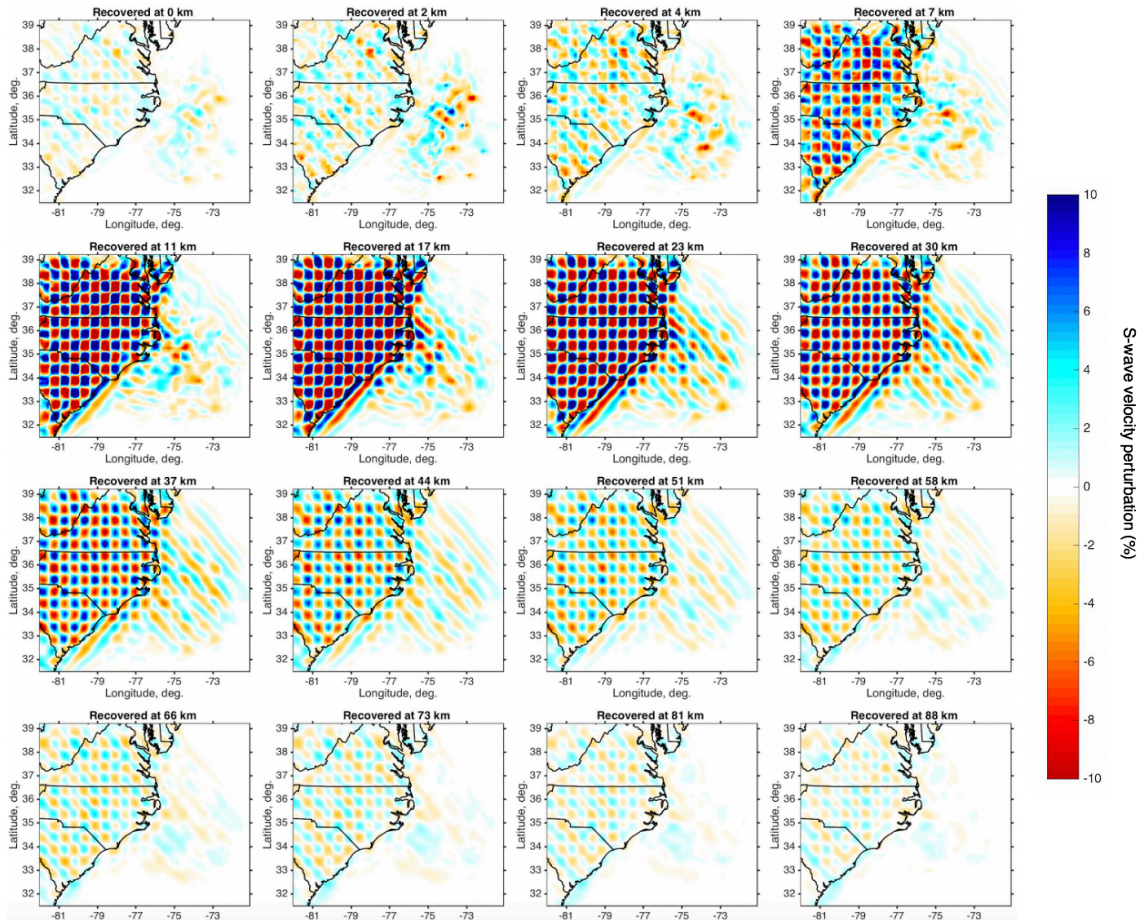
2168 **Figure C.14.** 3D checkerboard resolution tests for the P-wave velocity model. The
 2169 velocity perturbation varies within $\pm 10\%$ in the input models. The horizontal scale (cell
 2170 size) is 75 km.

Appendix C



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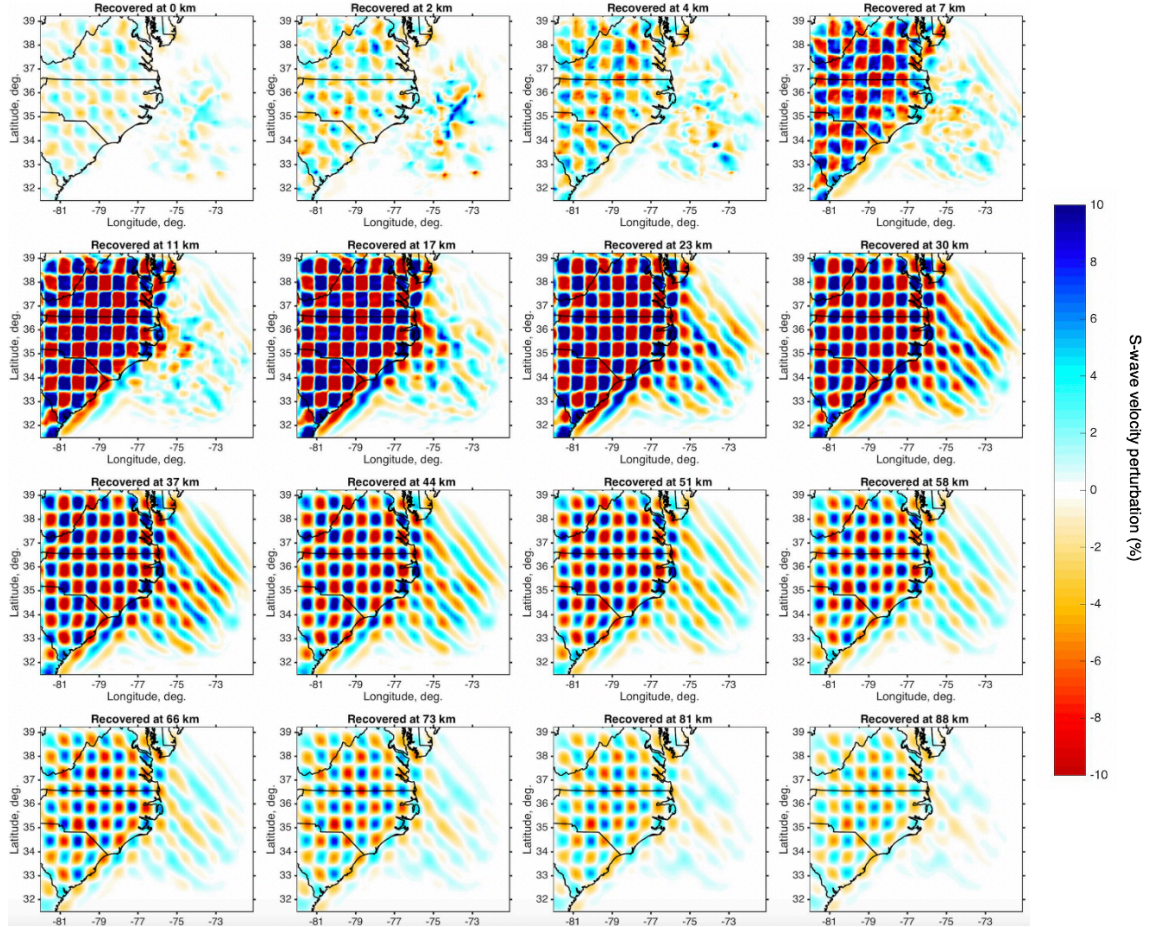
2172 *Figure C.15. 3D checkerboard resolution tests for the P-wave velocity model. The*
 2173 *velocity perturbation varies within $\pm 10\%$ in the input models. The horizontal scale (cell*
 2174 *size) is 100 km.*



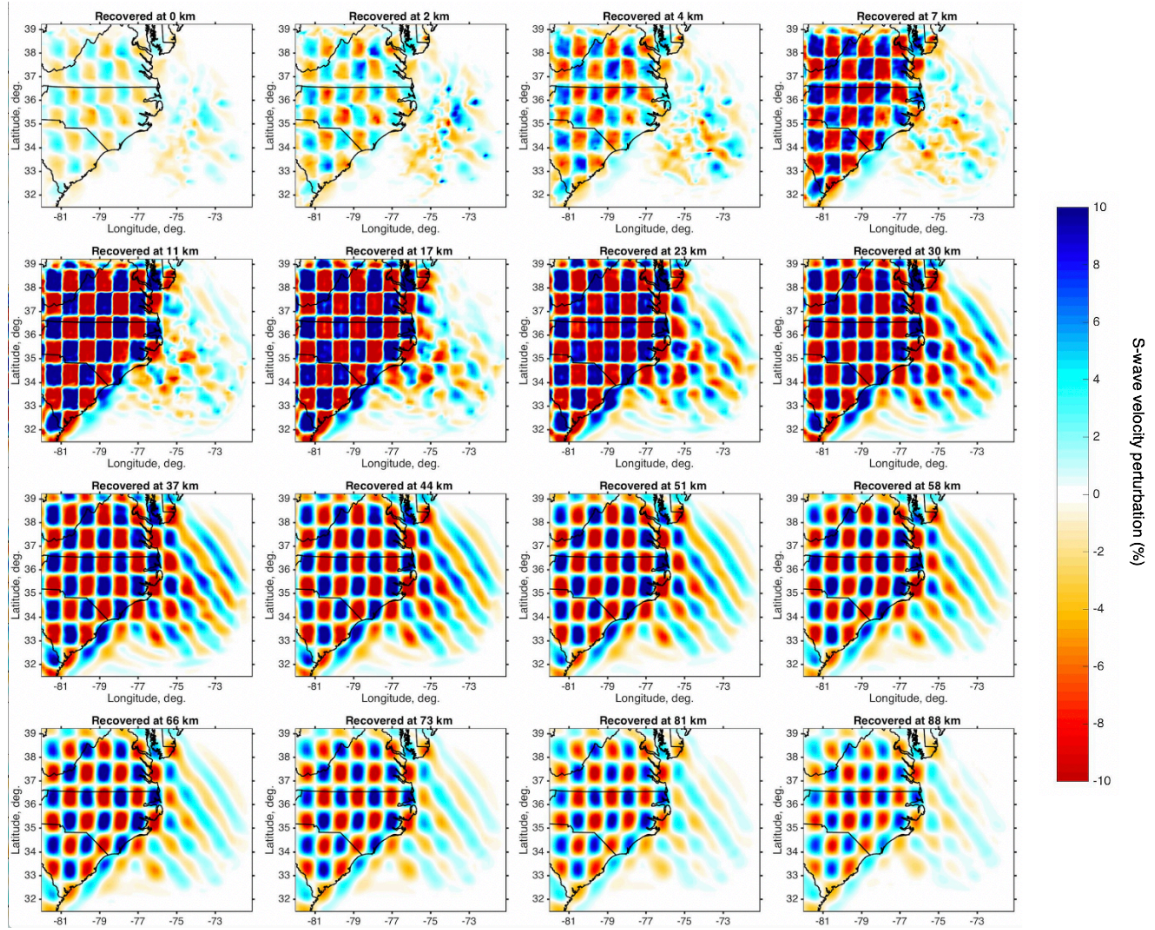
2175

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2176 *Figure C.16. 3D checkerboard resolution tests for the S-wave velocity model. The*
 2177 *velocity perturbation varies within $\pm 10\%$ in the input models. The horizontal scale (cell*
 2178 *size) is 50 km.*



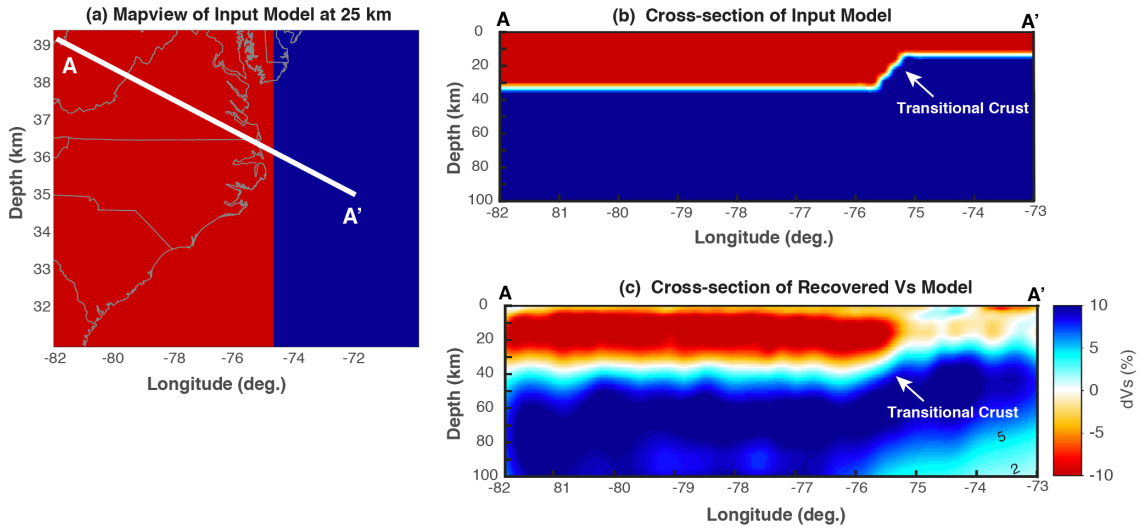
2179
 2180 *Figure C.17. 3D checkerboard resolution tests for the S-wave velocity model. The*
 2181 *velocity perturbation varies within $\pm 10\%$ in the input models. The horizontal scale (cell*
 2182 *size) is 75 km.*



2183

2184 *Figure C.18. 3D checkerboard resolution tests for the S-wave velocity model. The*
 2185 *velocity perturbation varies within $\pm 10\%$ in the input models. The horizontal scale (cell*
 2186 *size) is 100 km.*

Appendix C



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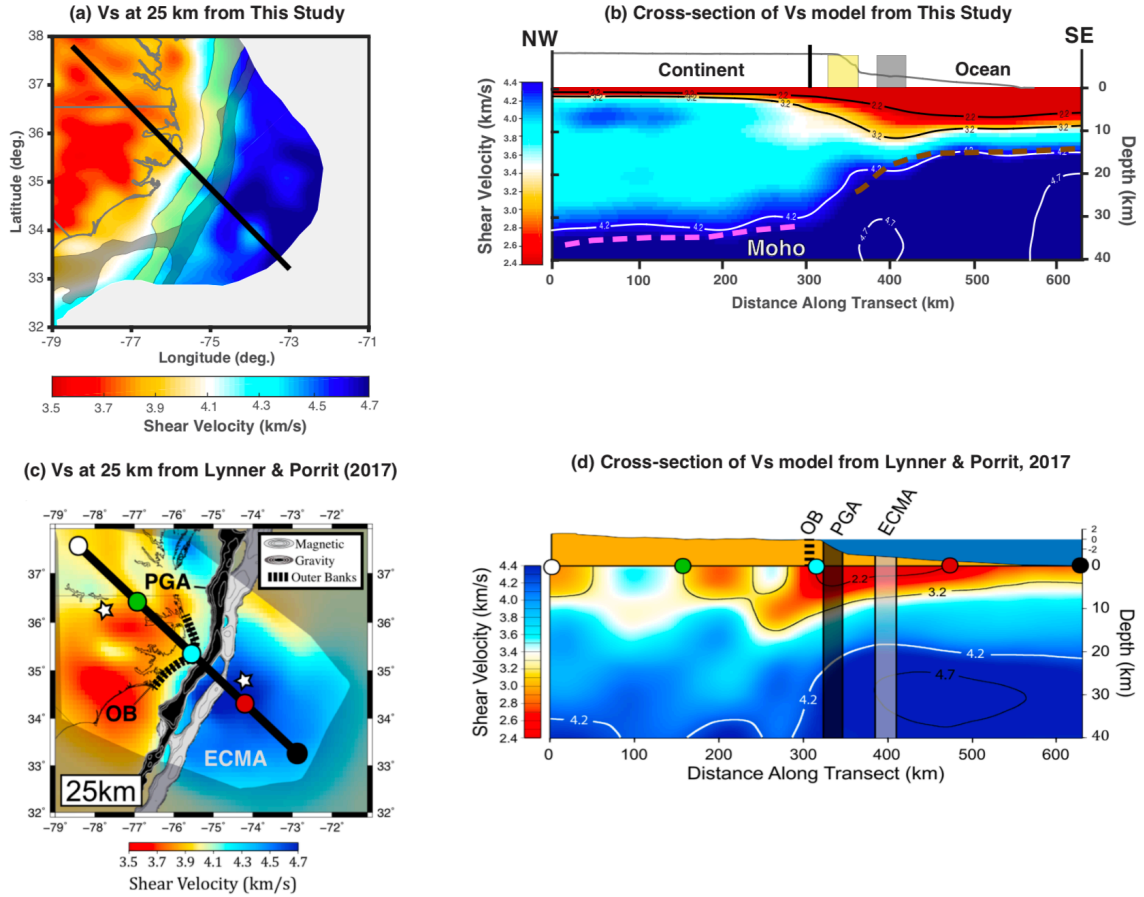
2188 **Figure C.19.** *The model recovery test for the observed crustal thickness variation across*
 2189 *the oceanic-continental transitional zone. (a-b) The input model based on our*
 2190 *tomographic imaging. We assume a 10% velocity perturbation for the upper mantle and*
 2191 *a -10% perturbation for the crust. The white solid line in (a) marks the location of*
 2192 *velocity profile in (b) and (c). (c) The cross-section of recovered models for Vs*
 2193 *perturbation within a depth range of 0-100 km. The solid lines mark the velocity*
 2194 *perturbation contours of -3%, 0%, 2% and 5%, respectively.*

2195

2196

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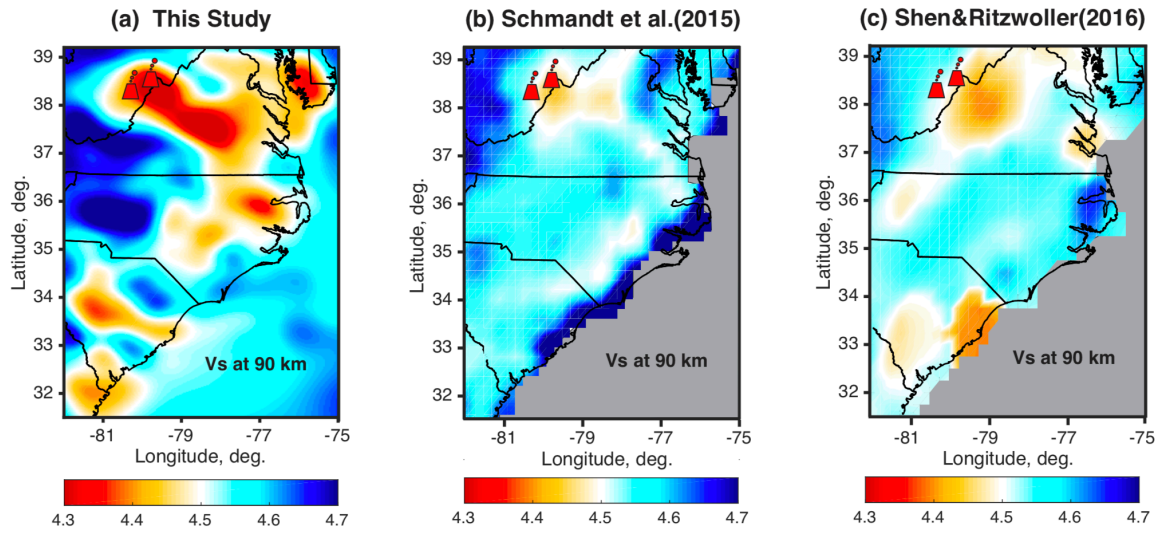
Appendix C



2206

2207 *Figure C.21. Comparison of S-wave velocity models from (a-b) this study and (c-d)*
 2208 *Lynner and Porrit (2017). The yellow and gray patches in (a) and (b) denote the observed*
 2209 *positive gravity anomaly (PGA) and the East Coast magnetic anomaly (ECMA),*
 2210 *respectively. The pink and brown dashed line in (b) represents the Moho depth picked*
 2211 *from teleseismic receiver function analysis by Li et al. (2020) and the active-source*
 2212 *seismic surveys from Holbrook et al. (1994), respectively. The location of cross-sections*
 2213 *in (b) and (d) is marked by the black solid lines in (a) and (c).*

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2214

2215 **Figure C. 22. Comparison of S-wave velocities (in km/s) at the depth of 90 km from (a)**
 2216 **this study, (b) Schmandt et al. (2015), and (c) Shen and Ritzwoller (2016). The red cones**
 2217 **mark the location of Virginia volcanoes.**

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